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DELAWARE RIVER BASIN

SWINGING BRIDGE DAM

SULLIVAN COUNTY, NEW YORK INVENTORY NO. N.Y. 696

PHASE I INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM

Swinging Bridge Dam (Inventory Number NY 696).

Delaware River Basin, Sullivan County,

New York. Phase I Inspection Report,



APPROVED FOR PUPLIS FILEACE;
DISTRIBUTION UNIVERSED CONTRACT NO DACW-51-79-60001

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NEW YORK DISTRICT CORPS OF ENGINEERS

JUNS 1973.

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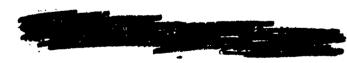
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18. SUPPLEMENTARY NOTES



19. KEY WORDS (Continue on reverse side if necessary and identify by block number)

Dam Safety

National Dam Safety Program

Visual Inspection

Hydrology, Structural Stability

SECURITY CLASSIFICATION OF THIS PAGE (When Date Entered)

Swinging Bridge Dam Sullivan County Fowlerville

ABSTRACT (Continue on reverse side if necessary and identify by block number)

This report provides information and analysis on the physical condition of the dam as of the report date. Information and analysis are based on visual. inspection of the dam by the performing organization.

The examination of documents and visual inspection of Swinging Bridge DAM and appurtenant structures did not reveal conditions which constitute an immediate hazard to life or property. The dam, however, has a number of deficiencies which if not remedied, may have the potential for developing into hazardous conditions, These deficiencies are as follows:

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SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

- 1. Seepage at the toe and along the east abutment of the dam was evident. A depression was observed in the downstream slope above the original penstock near the center of the dam. Investigation of these conditions must be completed within 6 months of notification, and monitoring devices to measure flow and movement must be installed immediately with recording of information at weekly intervals until completion of the investigation.
- 2. Structural cracking of the gate tower (reportedly due to ice loading) and deterioration of the spillway slabs and flood gate supports was noted. Investigation of these conditions must be completed within 1 year of notification and repairs completed within the next construction season.
- 3. Removal of tree and vegetative growth observed in the spillway channel, on the spillway channel slopes, on the embankment slopes, at the abutment contacts and along the toe of the dam is required, and must be completed within this construction season.
- 4. Repair the depressions noted on the crest of the embankment (western end) and on the upstream slope near the watch tower during this construction season.
- 5. Initiate a program of periodic inspection and maintenance of the dam and appurtenances. Document this information for future reference and develop an operations manual.

The discharge capacity of the spillway and the adjacent bedrock channel at both ends of the spillway is adequate to pass the Probable Maximum Flood (PMF = 47,800 crs), without overtopping of the embankment portion of the dam, which is located approximately 1,000 feet southeast of the spillway. The maximum reservoir level during the PMF will be nearly equal to the top of the embankment at elevation 1080. The actual apillway capacity is only 39% of the PMF. However, the adjacent non-erodable bedrock channel at the north and south ends of the spillway will provide the additional capacity necessary to discharge the outflow from the PMF.

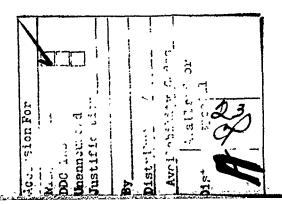
PREFACE

This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigation, and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected and only through continued care and maintenance can these conditions be prevented or corrected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the Spillway Test flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. Because of the magnitude and rarity of such a storm event, a finding that a spillway will not pass the test flood should not be interpreted as necessarily posing a highly inadequate condition. The test flood provides a measure of relative spillway capacity and serves as an aide in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.



PHASE 1 INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM
SWINGING BRIDGE DAM I.D. No. NY-696
DEC #148D - 155
DELAWARE RIVER BASIN
SULLIVAN COUNTY, NEW YORK

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DRAWINGS

F.

PHASE 1 REPORT NATIONAL DAM SAFETY PROGRAM

Name of Dam: Swinging Bridge Dam (I.D. No. NY-696)

State Located: New York

County Located: Sullivan

Stream: Mongaup River (tributary of Delaware River)

Dates of Inspection: November 8, 1978 and April 20, 1979

ASSESSMENT

The examination of documents and visual inspection of Swinging Bridge Dam and appurtenant structures did not reveal conditions which constitute an immediate hazard to life or property. The dam, however, has a number of deficiencies which if not remedied, may have the potential for developing into hazardous conditions. These deficiencies are as follows:

- 1. Seepage at the toe and along the east abutment of the dam was evident. A depression was observed in the downstream slope above the original penstock near the center of the dam. Investigation of these conditions must be completed within 6 months of notification, and monitoring devices to measure flow and movement must be installed immediately with recording of information at weekly intervals until completion of the investigation.
- 2. Structural cracking of the gate tower (reportedly due to ice loading) and deterioration of the spillway slabs and flood gate supports was noted. Investigation of these conditions must be completed within 1 year of notification and repairs completed within the next construction season.
- 3. Removal of tree and vegetative growth observed in the spillway channel, on the spillway channel slopes, on the embankment slopes, at the abutment contacts and along the toe of the dam is required, and must be completed within this construction season.

- 4. Repair the depressions noted on the crest of the embankment (western end) and on the upstream slope near the watch tower during this construction season.
- 5. Initiate a program of periodic inspection and maintenance of the dam and appurtenances. Document this information for future reference and develop an operations manual.

The discharge capacity of the spillway and the adjacent bedrock channel at both ends of the spillway is adequate to pass the Probable Maximum Flood (PMF = 47,600 crs), without overtopping of the embankment portion of the dam, which is located approximately 1,000 feet scutheast of the spillway. The maximum reservoir level during the PMF will be nearly equal to the top of the embankment at elevation 1080. The actual spillway capacity is only 39% of the PMF. However, the adjacent non-erodable bedrock channel at the north and south ends of the spillway will provide the additional capacity accessary to discharge the outflow from the PMF.

George Koch

Chief, Dam Safety Section
New York State Department
of Environmental Conservation

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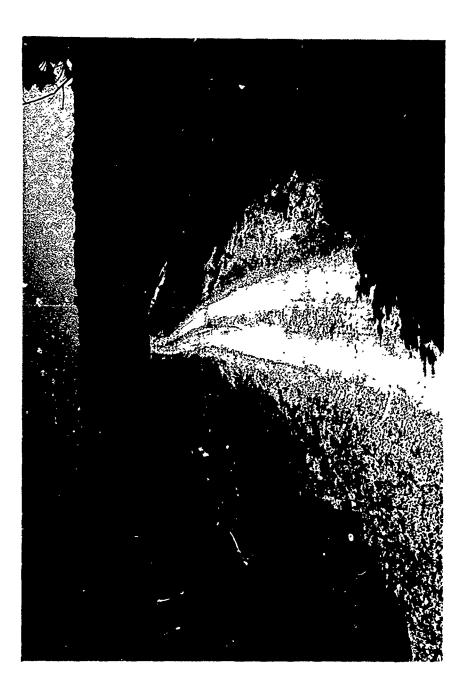
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Approved By:

Col. Clark H. Benn

New York District Engineer

Date:



Overview of Swinging Bridge Dam

Photo #1

PHASE 1 INSPECTION REPORT
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SULLIVAN COUNTY, NEW YORK

SECTION 1: PROJECT INFORMATION

1.1 GENERAL

a. Authority

The Phase 1 inspection reported herein was authorized by the Department of the Army, New York District, Corps of Engineers, to fulfill the requirements of the National Dam Inspection Act, Public Law 92-367.

b. Purpose of Inspection

Evaluation of the existing conditions of the subject dam to identify deficiencies and hazardous conditions, determine if they constitute hazards to life and property and recommend remedial measures where necessary.

1.2 DESCRIPTION OF PROJECT

a. Description of Dam and Appurtenant Structures

The Swinging Bridge Dam is a 975 feet long hydraulically placed earth dam with a spillway located approximately 1000 feet northwest of the dam. The maximum height of the dam is 135 feet. The upstream slope is 1 vertical on 3.5 horizontal, the downstream slope is 1:2.5 and the crest width is 25 feet. The dam is composed of a clay and fine sand core extending from the center of the crest with slopes of 1:1 to the original grade, a core trench with maximum dimensions of 50 feet wide, 10 feet deep (side slopes = 1:1), an outer zone of boulders, gravel and sand, and rockfill toes, the material of which was obtained from spillway excavation. All exposed surfaces of the embankment were riprapped.

An intake located at the toe of the upstream slope and a gate tower located in the upstream face of the dam control the flow through the 10 feet diameter penstock to the number 1 generator. Two 24 inch diameter pipes serve as reservoir drains and are located in the conduit below the penstock. A second penstock and intake was installed at a later date near the west abutment of the dam to provide flow to generator number 2. This penstock is 10 feet in diameter. Both generators are located at the toe of the dam. The spillway is founded on sandstone. Five electrically operated flood gates each 22.6 feet wide and 6 feet high control the flow thru the spillway. Flashboards located on the north end of the flood gates are 122 feet long and 6 feet high.

b. Location

Swinging Bridge Dam is located on the Mongaup River, a tributary of the Delaware River, about 2 miles northwest of the Village of Forestbury and 7 miles southwest of the City of Monticello. c. Size Classification

The dam is 135 feet high and stores 36,800 acre-feet of water. It is classified as a "large" dam (in excess of 100 feet).

Hazard Classification

The dam is classified as high hazard because of its location, about ll miles north of the Village of Mongaup and upstream of 2 other large dams.

e. Ownership

The dam is owned and operated by Orange and Rockland Utilities Inc., 1 Blue Hill Plaza, Pearl River, NY, 10955, Tel: (914) 627-2410 or (914) 343-0621.

f. Purpose of the Dam

The dam provides storage for power development. Recreation is permitted except at the southern end of the reservoir where the dam and spillway are located.

g. Design and Construction History

The dam was designed in 1925 by Charles H. Tenny & Co., Engineers, 200 Devonshire Rd., Boston, Mass. for the Catskill Power Corporation, Middletown, NY. The dam was constructed in 1929 by Fred T. Ley Inc., Central Contractor, Boston, Mass. The second generating plant and penstock system was constructed in 1938.

h. Normal Operating Procedures

Water releases from the Swinging Bridge Reservoir are passed through either of the two penstock systems from intakes to the generating stations located at the toe of the dam. Generation discharges are intended to maximize power development and minimize spillage through the spillway section. The generating capacity of the Swinging Bridge Reservoir is supplemented from Toronto and Cliff Lake Reservoirs by use of conduits. (See Section 4 - Operation and Maintenance Procedure).

1.3 PERTINENT DATA

a.	Drainage Area	(sq. mi)	118
	Height of dam	(feet)	135

b. Discharge at Dam Site (cfs)

Maximum known Flood	9,143 in August 1955
Spillway at Design Pool (El. 1073*)	23,600
Spillway at Maximum Pool (El. 1080*)	•
Maximum Capacity of Reservoir drains	2-24" 80 C.F.S.
Total Discharge, Max. Pool	-
Average Daily Discharge	Varies
Maximum Capacity of Penstock	$2 \times 585 = 1170$

c. <u>Elevation</u> (ft. above MSL-Datum)

Top of Dam	1080
Design Pool	1073
Spillway Crest	1065
Tailrace Chamnel	938.5
Tailwater Elevation	945.5
Invert Reservoir Drain Inlet	951
Unit #1 Invert Penstock Inlet	964.5
Unit #2 Invert Penstock Inlet	1015

d.	Reservoir Length of maximum Pool, Miles Length of Shoreline (Spillway Surface area (Top of Flashboar	Crest) miles	7 15.23 1000
e.	Storage, (Acre-feet) Spillway Crest Maximum Design Pool Top of Dam		27,350 34,700
f.	Dam Type: Length (fc.) Upstream slope Downstream slope Emperations Core	Hydraulic Fill Clay and Fine Sand.	(Earth fill) 975 3.5:1 2.5:1
	Crest elevation for Crest Width, it- Grout Curtain		1080 25 None
g,	Spillway Type: Length, ft. Crest Elevation Mill Unstream Channel Downstream Channel	Comb. Flashborads & Gates	(125' each) 250' 1065 Natural Rock Natural Rock & Concrete
a.	Regulating Outlet	Unit #2 - 10' diameter per inverted "U"; Unit #1 - 10' diameter per	
١.	Peservoir drain	2-24" pipe.	

SECTION 2: ENGINEERING DATA

2.1 DESIGN

a. Geology

The Swinging Bridge Dam is located in the "Appalachian Uplands" physiographic province of New York State. This province (northern extreme of the Appalachian Plateau) was formed by dissection of the uplifted but flat lying sandstones and shales of the middle and upper Devonian Catskill Delts. Relief is high to moderate. Maximum dissection occurs in the Catskill Mountain area, where only the mountain peaks approximate the original plateau surface. Drainage is generally south or southwest toward the Delaware River system.

b. Subsurface Investigation

A subsurface investigation was conducted and this information has been included in Appendix F - Drawings #KK 3-16 and KK 3-18. In general, the borings indicate that the soils at this site are of glacial till origin (sand, clay and stone of varying mixtures), over shale and sandstone bedrock.

The "General Soil Map of New York State" prepared by Cornell University Agriculture Experiment Station indicates that the surficial soils are of the Lackawanna series. This soil series has poor internal drainage characteristics. Boulders are common and depth to bedrock is variable. Sandstone bedrock was observed outcropping in the excavated spillway channel.

c. Dam and Appurtenant Structures

The dam was designed by Charles H. Tenny, 200 Devonshire Rd. Boston, Mass. All drawings available have been included in Appendix F. The design of the dam includes a hydraulically placed core of clay and sand with adjacent zones of boulders, gravel and sand, and slopes protected by riprap. Rockfill toes using spillway excavation material was incorporated in the design. The dam has a core trench which extends to "impervious material", with maximum dimensions of 50 feet wide and 10 feet deep. The spillway is located approximately 1000 feet northwest of the dam and is founded on sandstone bedrock.

THE SECOND SECON

2.2 CONSTRUCTION RECORDS

No information regarding the construction of the dam was available other than the year of completion and the contractor, that being 1929 and Fred T. Ley Inc. A second generating system was completed in 1938.

2.3 OPERATION RECORD

All information concerning operation and maintenance of the dam is on file at the power house.

2.4 EVALUATION OF DATA

Some of the data presented in this report has been made available by representatives of Orange and Rockland Utilities Inc. This information has been invaluable in the preparation of this report. All information gathered appears to be adequate and reliable for Phase 1 Inspection purposes.

SECTION 3: VISUAL INSPECTION

3.1 FINDINGS

a. General

Visual inspection of Swinging Bridge Dam and the surrounding watershed was conducted on November 8, 1978 and April 20, 1979. The weather was clear and the temperature ranged in the fifties. The reservoir level at the time of inspection was 1064.5, 15.5 feet below the top of the dam.

b. Embankment

The earth embankment shows no signs of major distress. However, the following conditions were observed: A minor depression was evident on the crest near the west end of the embankment. No signs of active movement were observed and the alignment of the crest appeared good. A depression was observed on the downstream slope approximately onethird the slope length from the toe and above the penstock. This depression is approximately 10 feet in diameter with a maximum depth of 2 feet. No evidence of current movement was apparent. A third depression was observed on the upstream slope near the watch tower structure. This depression is approximately 10 feet by 15 feet with a maximum depth of 1 foot. No evidence of on-going movement was discovered. The cause of this depression is most likely wave action from the reservoir. Numerous small trees and vegetation were observed on the slopes, at the abutment contacts and along the toe of the dam. No erosion or seepage was discernible on the slopes or at the abutments of the dam. No evidence of subsidence, depressions, or movement was present in the downstream area below the dam. Numerous areas of seepage emerged in this area and are described in the following sub-section. As a consequence of this seepage, the surfacial soils in the vicinity were soft, particularly on the west side of generation station #1.

c. Seepage

Five zones of seepage were observed at and below the toe of the dam and along the original grade near the east abutment. Section 3-e of the "Visual Inspection Checklist" - Appendix C contains a sketch of these areas; the following numbers correspond to the numbers shown in the Appendix.

- 1) A catch basin north of generation station #1 between the access road and the toe of the dam was observed. Examination of this basin revealed flow at a rate of approximately 10 gallons per minute (gpm) entering the basin from a drain which extends eastward along the toe of the dam and partially up the east abutment. Flow from the catch basin is directed under the access road toward the generation building. No evidence of particle migration or sedimentation within the catch basin was observed.
- 2) Near the southwest corner of generation building #1, a 6 inch diameter pipe was placed to collect seepage water. This pipe was not taking the full flow and water was by-passing the pipe along the west side. This area was very wet and the soil very soft. Flow was estimated to be 10 gpm through the pipe and 10 gpm by-passing the pipe. The source of the seepage is unknown and no transportation of fine soil material was noted (Photo #10).

- 3) Approximately equidistant from the generation buildings and below the access road, a 4 inch diameter pipe was exiting from the slope. A metal container, presumable used to collect or measure flow, was placed beneath the outlet of this pipe. No flow was observed and its previous performance is uncertain (Photo #9).
- 4) Seepage was noted exiting from and in the vicinity of two 15 inch diameter pipes located in a swale area (original grade) southeast of the toe of the dam below an abandoned camp. Flow is estimated to 10 gpm with no migration of soil particles. The two pipes appear to have provided control of run-off along the east abutment, but are now plugged with soil and vegetation (Photo #12 and 12).
- 5) A wet area was encountered near and beneath the northeast corner of the abandoned camp. No flow was observed exiting this level area (Photo #13).

Maintenance personnel reported observing the seepage as described above for their duration of employment at the site.

d. Spillway

Considerable concrete spalling and deterioration was observed on the flood gate supports and the spillway slabs. In certain areas, the spalling has progressed to the point where reinforcing bars are exposed. This spalling appears to be related to exposed surfaces where ice and water have initiated deterioration. Leaking of flashboards was also noted. Considerable tree growth was observed in the tailrace channel and along the channel slopes. The flood gate system was reported to be operational.

e. Regulating Outlets

All reservoir drains, power generation systems, and associated valves were reported operational. The gate tower located in the upstream face of the dam was examined, and structural cracking of the concrete was discovered 84.8 feet below the tower floor at Elevation 995.2. This cracking was reported to be a result of ice pressure from the reservoir. Steel straps, secured to the concrete on either side of the cracked areas, were installed to insure the integrity of the structure. Calcification was also apparent on the walls of the tower at various locations.

f. Downstream Channel

The downstream channel appears to be in good condition. Since the spillway and outlet channel foundations are sandstone bedrock, the condition of the downstream channel will probable not influence the performance of the spillway and appurtenances.

g. Reservoir

There are no visible signs of instability or sedementation problems in the reservoir area.

3.2 EVALUATION OF OBSERVATIONS

Significant conditions were observed which require immediate investigation to determine the type of corrective action necessary to insure the stability of the dam and appurtenances. The following is a summary of the problem areas encountered, in order of importance.

- 1. The seepage observed at various locations at or near the toe of the embankment and along the east abutment.
- 2. The depression observed on the downstream slope above the penstock (10 feet in diameter, 2 feet deep maximum).
- 3. The cracking noted in the gate tower.
- 4. The deterioration of concrete located on the spillway slabs and flood gate supports.
- 5. Tree and other vegetative growth noted in the spillway channel, at the abutments and along the toe of the embankment.

These conditions do not represent any imminent danger, however, remedial action must be undertaken to prevent the development of hazardous conditions.

SECTION 4: OPERATION AND MAINTENANCE PROCEDURE

4.1 PROCEDURE

The Swinging Bridge Dam is a power generating dam for Orange and Rock-land Utilities Inc. Two penstocks carry water from the reservoir to the two power plants located at the toe of the dam. Flow through the 10 feet diameter penstock to generating station #1 is controlled by an 8 feet diameter remote controlled electrically operated butterfly valve, located in the gate tower. Below this valve, two 24 inch diameter gate valves serve as reservoir drains. Flow through the 10 feet diameter penstock to generating station #2 is controlled by a remote controlled electrically operated butterfly valve located in the gate house at the northwest corner of the embankment. This penstock is connected to a surge tank. In addition, flow at the entrance to each generator can be controlled by wicket gates.

Five flood gates located on the south end of the spillway control the discharge not utilized for power generation. These gates are operated by electric motors placed on the bridge above the gates.

Two water supply conduits, one from Cliff Lake Reservoir and one from Toronto Reservoir, augment the storage capacity of Swinging Bridge Reservoir, so that during low flow conditions, power can still be generated.

All valves are remote controlled by the systems operator located on Dolson Avenue, Middleton, NY.

4.2 MAINTENANCE OF DAM

The operation and maintenance manual and records for the facility are on file in the generating building. Maintenance of the dam appears to be adequate with the exceptions noted in "Section 3: Visual Inspection". Maintenance of the spillway is inadequate in as much as deterioration of concrete surfaces is well advanced.

4.3 MAINTENANCE OF OPERATING FACILITIES

Maintenance of generating equipment and associated valves, conduits, etc.. appear to be excellent.

All valves are reported to be operational. No operations manual is on file. A record of maintenance operations is on file with the maintenance staff.

4.4 WARNING SYSTEM IN EFFECT

An excellent warning system has been developed by the owner, in accordance with the Federal Energy Regulating Commission standards. This system was recently updated (Dec. 7, 1978) and is included in Appendix F.

4.5 EVALUATION

Certain remedial measures are required to provide the proper maintenance. Deterioration of concrete surfaces in the spillway system, and cracking in the gate tower are areas which need further maintenance. Vegetative growth in the spillway channel and at the dam must be removed.

SECTION 5: HYDRAULIC/HYDROLOGIC

5.1 DRAINAGE AREA CHARACTERISTICS

The Swinging Bridge Dam is located on the Mongaup River, a tributary of the Delaware River. The drainage area at the dam site is 118 square miles. The topography is characterized by steep slopes interspersed by swamps, ponds and lakes.

5.2 ANALYSIS CRITERIA

Information on the Standard Project Flood (SPF) for the Swinging Bridge Dam and its watershed was obtained from the "Upper Delaware River Basin Hydrologic Flood Routing Model" prepared in 1976 by Water Resources Engineers, Inc. for the New York District of the U.S. Army Corps of Engineers. The rainfall-runoff mathematical model HEC-1 developed by the U.S. Army Corps of Engineers was used to reconstruct major floods and to simulate the SPF considered in the study. SPF is approximately one-half of Probable Maximum Flood (PMF).

The Swinging Bridge Dam watershed is located within the subbasin 50 of the Delaware River Basin. The inflow was routed through the reservoir and the peak outflow was determined to be 23,900 cfs for the SPF.

5.3 SPILLWAY CAPACITY

The spillway is 122 feet long and is topped by 6 feet high flashboards. There are 5 electrically operated floodgates, each 22.6 feet wide, located south of the spillway. The capacity of the spillway and floodgates is 18,600 cfs with the flashboards removed and gates completely opened.

5.4 RESERVOIR CAPACITY

The reservoir capacities at the crest of spillway, and at the top of the flashboards are 27,400 acre-feet (AF) and 34,100 AF respectively. The storage capacity curve is shown in Appendix D. The curve indicates a surcharge storage of 4,400 AF which is equivalent to a runoff depth of 0.70 inches over the drainage area.

5.5 FLOODS OF RECORD

Maximum flood recorded is 9100 cfs on August 1955.

5.6 OVERTOPPING POTENTIAL

The maximum combined capacity of the floodgates and spillway is 18,600 cfs compared to a SPF of 23,900 cfs. Hence, the floodgates and spillway can pass 78 percent of the SPF. Since the SPF is approximately one-half of PMF, the floodgates and the spillway are capable of passing only 39 percent of PMF (47,800 cfs). The adjacent non-erodable bedrock channel which extends to the north and south at the ends of the spillway will provide additional discharge capacity. This additional capacity will be such that the reservoir level will approximate the top of embankment (clevation 1080) during the PMF. Therefore, no overtopping of the dam will result.

5.7 EVALUATION

The spillway and adjacent bedrock channel is adequate to pass the PMF, and no overtopping of the earth embankment section, approximately 1000 feet southeast of the spillway, will result.

SECTION 6 STRUCTURAL STABILITY

6.1 EVALUATION OF STRUCTURAL STABILITY

a. Visual Observations

The following visual observations indicate distress within the earth embankment, although these observations do not indicate conditions which pose an immediate hazard to human life or property:

- 1. Seepage at the toe and along the east abutment.
- 2. Depression on the downstream slope above the penstock (10' diam, 2' deep).

The following visual observations indicate deterioration or distress in the concrete elements of the dam, but do not pose an immediate hazard to life or property:

- 1. Cracking of the gate tower due to reservoir ice loading.
- 2. Deterioration of concrete at the spillway slabs and flood gate supports.

b. Design and Construction Data

No design computations or construction information regarding the structural stability of the dam are available.

c. Operating Records

No operational problems were reported which would influence the stability of the structure.

d. Post-Construction Changes

A second generating system was installed in 1938 with the intake at the western edge of the embankment. Steel straps, used to repair the cracking of the gate tower, were installed in 1971.

e. Seismic Stability

Seismic forces in this zone are not considered to be of significant magnitude to influence the stability of the structure.

SECTION 7: ASSESSMENT/RECOMMENDATIONS

7.1 ASSESSMENT

a. Safety

The Phase 1 Inspection of Swinging Bridge Dam did not indicate conditions which constitute an immediate hazard to human life or property. The embankment is not considered to be unstable. However, seepage along the toe of the dam and at the east abutment, and the depression on the downstream face above the original penstock, require investigation and observation at periodic intervals to prevent the development of hazardous conditions. In addition, deterioration of the spillway concrete and structural cracking of the gate tower must be investigated and repairs instituted.

b. Adequacy of Information

The information reviewed is adequate for Phase 1 Inspection purposes.

c. Urgency

Investigation of the observed seepage and depression must be completed within 6 months of notification to the owner. In addition, weirs should be immediately constructed and measurements taken to monitor the flow of the seepage at all locations. Investigation of the structural cracking in the gate tower and deterioration of concrete on the spillway slabs and flood gate supports must be completed within 1 year of notification and repairs completed within the next construction season. Tree and other vegetative growth noted in the spillway channel, at the abutments and along the toe of the embankment must be removed during this construction season.

d. Need for Additional Investigations

To prevent the development of potentially hazardous conditions, investigations are required in the following areas:

- 1. Seepage at toe and along east abutment of the dam.
- Depression observed on the downstream face of the dam above the penstock.
- 3. Structural cracking of the gate tower and deterioration of concrete on the spillway slabs and flood gate supports.

7.2 RECOMMENDED MEASURES

a. Results of the aforementioned investigations will determine the type and extent of remedial measures required for the observed seepage, depression, structural cracking and concrete deterioration.

The following improvements can be accomplished by maintenance forces:

b. Remove the tree and vegetative growth observed in the spillway channel and on the spillway slopes, on the embankment slopes, at the abutment contacts, and along the toe of the dam.

- c. Repair the depressions noted on the crest of the embankment (western end) and on the upstream slope near the watch tower.
- d. Initiate a program of periodic inspection and maintenance of the dam and appurtenances. Document this information for future reference. Also develop an operations manual.

APPENDIX A

PHOTOGRAPHS



Upstream slope looking west at Intake #2 Photo #2

THE PROPERTY OF THE PROPERTY O



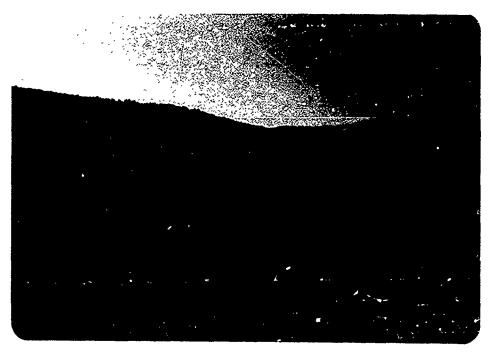
Upstream slope looking east note depression in riprap Photo #3



Transfer of the same of

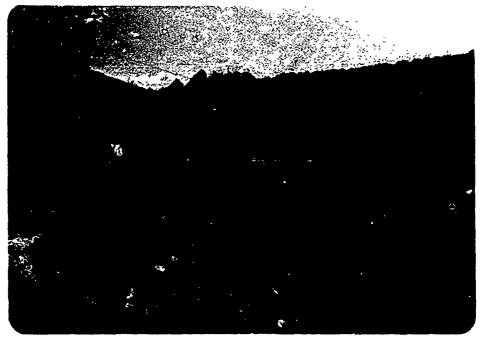
The state of the s

Gate Tower for Intake #1 Photo #4



AND MENTERS OF THE PROPERTY OF

Downstream Channel viewed from top of dam Photo #5



Downstream Slope looking east Photo #6

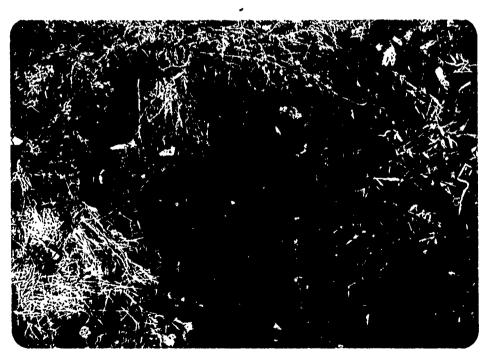
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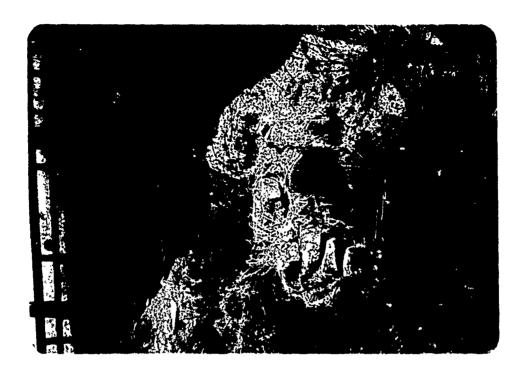
Downstream Toe & Generator #1 looking east Photo #7



Depression in Downstream Slope above penstock #1 Photo #8

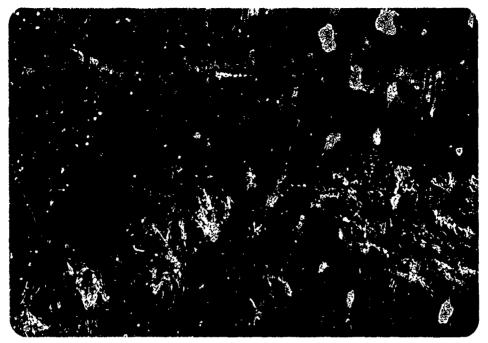


Seepage Collector (inactive)
Seepage point #3
Photo #9





Seepage near Southwest Corner of Generator #1 Seepage point #2 Photos #10 A&B



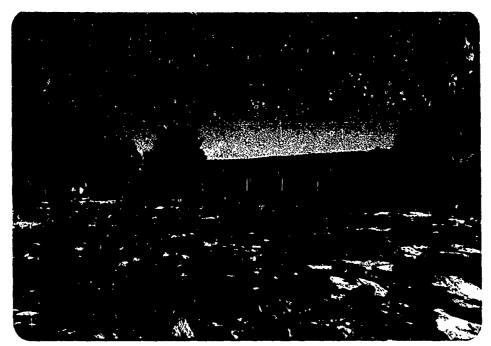
Seepage Point #4 East Abutment Area Photo #11



Seepage Point #4 Note seepage at 15" pipe Photo #12



Seepage Point #5 Near abandoned camp Photo #13



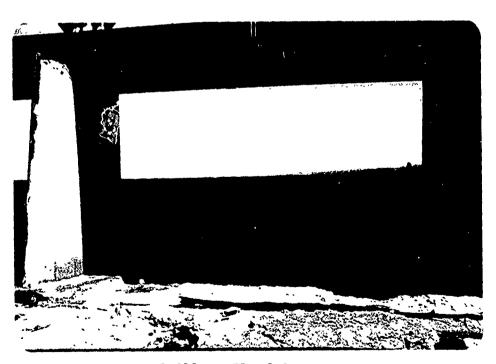
Spillway Viewed from Downstream Channel Photo #14



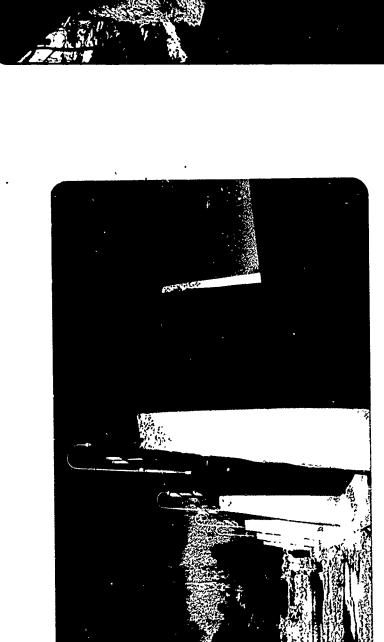
Downstream Channel Viewed from Spillway Photo #15



Spillway Flood Gate System Looking north Photo #16



Spillway Flood Gate Note Deteriorated Concrete Slab Photo #17



Spillway Flood Gate Supports Note Deteriorated Concrete Photo #18



。 1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,1900年,19

Spillway - Deteriorated Concrete of South Abutment Photo #19

APPENDIX B ENGINEERING DATA CHECKLIST

	Check List Engineering Data Design Construction Operation	Name of Dam Stative tental
Item		Remarks
	Plans Details	Typical Sections
Dam	87	
Spillway(s)		
Outlet(s)	£	
Design Reports	Do≥#	
Design Computations	No MA	
/ Discharge Rating Curves		
Dam Stability	202	
Seepage Studies		•
Subsurface and Materials Investigations	£53	

Item	. Re	Remarks
Construction History	yes	
Surveys, Modifications, Post-Construction Engineering Studies and Reports	9	₩207
Accidents or Failure of Dam Description, Reports	2	None .
Operation and Maintenance Records Operation Manual	∀	S Ra

Emergency Action plan (recently updated)

OPERATION AND IN AINTENANCE

RECORDS ONLY

APPENDIX C

VISUAL INSPECTION CHECKLIST

VISUAL INSPECTION CHECKLIST

Basic Data

		·
	a.	General .
		Name of Dam SWINGING BRIDGE
		1.D. # NY 696
		FORESTBURG & County SULLIVAN
		Stream Name MONGAUP River
		Tributary of DELAWARE RIVER
v		Longitude (W), Latitude (N) 74°47'00", 41° 34°25"
		Hazard Category C
		Date(s) of Inspection NOV. 8, 1978, APRIL 20, 1979
		Weather Conditions 50's . CLEAR SUNNY
	b.	Inspection Personnel ROBERT MCCURTY, MUHAMMAS ISLAM.
		KENNETH FIELD. Robert Stuber. Edward Kiene, Joseph Case
	c.	Persons Contacted KENNETH FIELD T. 1 914, 627-2410
		Robert Stuber, 721 914-786-3310
	d.	History:
		Date Constructed A PRIL 1929, 2nd generaling plant constructed 1938
		OWNER ORANGE AND ROCKLAND UTILITIES, 1 BLUEHILL PLAZA PEARL RIVER, N.Y.
		Designer CHARLES H. TENNEY 200 DEVONSHIPE RD, BUSTON., MASS.
		Constructed by FRED T. LEY Inc. Central Contractor
2)	Tec	hnical Data Boston Mass.
	Тур	e of Dam HYDRAULIC FILL
	Dra	inage Area 117.6 SQUARE MILES
	Hei	ght 135 FEET Length 975 FEET
	Ups	tream Slope 3.5: Downstream Slope 2.5:
		<u>,</u>

2)	Technica:	l Data (Cont'd.))						
	External	Drains: on Dow	nstream Face	NONE	@ Down	stream :	roe R	oukfill to	<u>υε</u> ΄
	Internal	Components:						•	
		Impervious Core	CLAY AND	FINE .	SAND				
		Drains	, NONE			······		•	
		Cutoff Type	CUTOFF	TRENCH	FILLED	WITH	CLAT	AND FINE	SANT
		Grout Curtain _	N	ONE				<u> </u>	

۱.	Cres	st
	(1)	Vertical Alignment generally good - I miner depression
		near the west and of the embankment . not considered to be
	(2)	Horizontal Alignment
		- Good
	(3)	Surface Cracks
	(4)	Miscellaneous
b.	Slop	pes
	(1)	Undesirable Growth or Debris, Animal Burrows
•		sof grale on steatings trained at about contacts and along toe
	(2)	Sloughing, Subsidence or Depressions Depression on downstream slope a
		up from toe, appens, above penstock - dimensions so 10' indianter &
	(3)	approx 10'x15' near worth towar on upstream from - probably related to wave actions
		Both upstream & downstream slupes riproped.
	(4)	Surface Cracks or Movement at Toe none evident
	(5)	Seepage none evident
	(6)	Condition Around Outlet Structure 9000 condition
		except supere as noted in "3 d 4 e"

(1)	Erosion at Embankment and Abutment Contact
(2)	Seepage along Contact of Embankment and Abutment
(3)	Seepage at toe or along downstream face
Dow	nstream Area - below embankment
(1) · .	Subsidence, Depressions, etc. Ooia evident
(2)	Seepage, unusual growth as described an med page
	Seepage, unusual growth as
(3)	
(3)	Evidence of surface movement beyond embankment toe no widence Miscellaneous Surface soil is soft on west side

(2) Discharge from Drainage System (Number system corresponds to	
(2) Discharge from Drainage System (Number system corresponds to	
(2) Discharge from Drainage System (Number system corresponds to	
(2) Discharge from Drainage System (Number system corresponds to	
(2) Discharge from Drainage System Number system corresponds to	
	•
areas en plan below)	
about 10 Spm in front of generator #1 blowing from abutant areas to entat basin - no migration of bines	
(3) About 10 Spm thru & pipe of 10 Spm by-passing pipe all from the same general area west of generator #1 - origin unknown,	no li
(1) Drain between generators #1 d #2 no blow observed from 41p	
@ Supage in smale on slopes of original grade near east about	-
blom = 10 Sbw - this flow has been occurring as for peoples they can	remen
oppose to have provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged with the provided control for run-off but are now plugged control for run-of	es (soi \
(5) A wet area was observed beneath the correlations house	
no llew was observed.	
a N	
The state of the s	
Earth Embankmit	
designated by 1	
O Pipe D	
Access (abandoned)	
generalors designated Road results	
#1 - 1929	
#2-1938	

The second secon

(1)	Monumentation/Surveys	NONE		·
	reservoirmeter level gage	an intake tower		
(2)	Observation Wells	Nong		
(3)		None		
(4)		None		
				• •
(5)	Other			
Res	<u>ervoir</u>		and property and the last of t	
а.	Slopes	. ok	and the state of the state of	
b.	Sedimentation	NONE REPORTED	~~.	Obse

6)	Spi	llway(s) (including tail race channel)
	-	5 electrically aparated flood gater each 22.6 feet mide & 6' high
•		blackboard = 122 fort long of 6' high north of blood gates - spillway N.W. of do
<i>:</i>		General The conserve spillway is founded on bedrock. The failmen
-		Channel & downstream channel is bedrock formed as controlled
		Conscrete deterioration e) flood gate supports = (som re-bace
•		ace exposed) a) o) spillmy slabs
	b.	Principle Spillway
Hund		Tizz'wide 6' high collapsible plashboards during
o isol.	-3ª	- 1 loot evertopping (designal)
only		L some miner leakage of blackboarde.
	ناما	Jer pour generalier described in section 8
	c.	Emergency or Auxiliary Spillway 5 Flood galas 27.6 wide
		& 6' high electrically operated from motor units above
)		
	d.	Condition of Tail race channel Bedrock - Some rock debris
		with a few trees directly below the spillway
		· · · · · · · · · · · · · · · · · · ·
	e.	Stability of Channel side/slopes Rock and ripraped channel
2		no problems visible - however trees on banks should
•		be trimmed out
اروم إدور	نمزم	
) 2' '	, [30′ 36°
3	3	
		22.6
		Looking west of downstream
eroson Sidi.	•	The state of the s

******	harad lander
a.	Condition (debris, etc.)
	Jenerally in good condition used only during
b.	Slopes
c.	Approximate number of homes 8 homes - residents - Mongaup
	reallements D
	scellaneous Power generation existem: 7 indates and Jac
	rionessa ni nemot esteri (1859) 14 notomenage notomenage han
E	low from intake tower to the penstock is controlled by an
E	low from intake tower to the penstock is controlled by an
El e t	low from intake tower to the penstock is controlled by an lectrically operated butterfly value. The control is in the rower. Flow from the reservoir to the 2nd generator is also
El e t	be generated generated by C1929) intoke tower in reservoir low from intake tower to the penstock is controlled by an lectrically operated butterfly valve. The control is in the rower. Flow from the reservoir to the 2nd generator is also controlled by a butterfly valve (electrically controlled) and the control is located at the gate house at the north-eves
El e t	be generator generated #1 (1929) intoke tower in reservoir low from intake tower to the penstock is controlled by an lectrically operated butterfly value. The control is in the rower. Flow from the reservoir to the 2nd generator is also controlled by a butterfly value (electrically controlled) and the control is located at the gate house at the north-enes.
El e t	be generator generated #1 (1929) intoke tower in reservoir low from intake tower to the penstock is controlled by an lectrically operated butterfly valve. The rontrol is in the rower. Flow from the reservoir to the 2nd generator is also controlled by a butterfly valve (electrically controlled) and the control is located at the gate house at the north-costs corner of the embankment. This penctock is connected to a
El e t	be generaler generales #1 (1929) intoke tower in reservoir low from intake tower to the penstack is controlled by an lectrically operated butterfly value. The control is in the rower. Flow from the reservoir to the 2nd generator is also controlled by a butterfly value (electrically controlled) and the control is located at the gate house at the north-eves corner of the embankment. This penctock is connected to a surge trank. In addition, water supply at entrance
El e t	be generator generated #1 (1929) intoke tower in reservoir low from intake tower to the penstock is controlled by an lectrically operated butterfly valve. The rontrol is in the rower. Flow from the reservoir to the 2nd generator is also controlled by a butterfly valve (electrically controlled) and the control is located at the gate house at the north-coses come of the embankment. This penctock is connected to a

9)	-	uctural .				
	a.	Concrete Surfaces cracking and spalling of concrete surfaces				
		state powlligs & stronger stap coolf ni				
		_ concrete intak tower has som calcification				
	b.	Structural Cracking eracking of intake towar due to				
		ice Loading metal straps have been used to stiffed				
		this area				
	c.	Movement - Horizontal & Vertical Alignment (Settlement)				
		· none observed				
	d.	Junctions with Abutments or Embankments				
		· · · · · · · · · · · · · · · · · · ·				
	e.	Drains - Foundation, Joint, Face				
		see embental section #3				
	•					
	f.	Water passages, conduits, sluices				
		Lanciberado positional de operational				
	g.	Seepage or Leakage none evident related to concrete				
		detarioration				

JO 11	nts - Construction, etc.
<u></u>	good condition
	
Fou	intake restant - jour destion waknown
	INTERE SCHEME - JOURNALION CARNOUN
Abu	tments no problems
Con	trol Gates opentional electric motors
	for each of s flood godes
	intake agatens electrically aparolad
4pp	bedrock chooms
	303.000 CR88ACT3
Ene	rgy Dissipators (plunge pool, etc.)
	· 00~
Int	ake Structures generally good eardid: ex
Sta	bilitys goed
Mis	cellaneous
	;

APPENDIX D

HYDROLOGIC/HYDRAULIC

ENGINEERING DATA AND COMPUTATIONS

CHECK LIST FOR DAMS HYDROLOGIC AND HYDRAULIC ENGINEERING DATA

AREA-CAPACITY DATA:

		Elevation (ft.)	Surface Area (acres)	Storage Capacity (acre-ft.)
1)	Top of Dam	1080		
2)	Design High Water (Max. Design Pool)	1073		38,500
3)	Auxiliary Spillway Crest			
4)	Pool Level with Flashboards	1070		34,100
5)	Service Spillway Crest	1065	1,100	27,400

DISCHARGES

	,	Volume (cfs)
1)	Average Daily	Unknown
2)	Spillway @ Maximum High Water	18,600
3)	Spillway @ Design High Water	18,600
4)	Spillway @ Auxiliary Spillway Crest Elevation	<u></u>
5)	Low Level Outlet	
6)	Total (of all facilities) @ Maximum High Water	18,600
7)	Maximum Known Flood	Unknown

CREST:	ELEVATION: 1080
Type: HYDRAULIC FILL	
Width: 25 FEET	Length: 975 FEET
Spillover In a cut section locate	d at partitionest of dam: length 122 22.6 ft wide (each)
Location Northwest of embank	ment and not connected to embanka
SPILLWAY:	
PRINCIPAL	EMERGENCY
1065 Elevation	on Non∈
channel in a cut section. Type	
122 feet, 5 flood gal Geneh 226 Width	
Type of Contr	<u>-01</u>
Uncontrolle	ed
Controlled	i:
6 th high flashboards Type (Flashboards; ga	nte)
Number	
Size/Length	
Invert Materia	
Anticipated Ler of operating ser	ngth vice
Chute Length	1
Height Between Spil & Approach Channe (Weir Flow	

OUTLET STRUCTURES/EMERGENC	Y DRAWDOWN FAC	LITIES:	
Type: Gate	Sluice	Conduit Yes	Penstock YES
Shape : INVERTE	D U SHAPED	(upper condi) (T)
Size: 10 FEET	DIAMETER .	ALSO Z - 24" PIPE	IN LOWER CONDUIT
Elevations: Entrance	Invert	959'50	
Exit Inve	rt	957.50	
Tailrace Channel: Ele	vation	1065:0	******************************
HYDROMETEROLOGICAL GAGES:		•	
Type :	None		**************************************
Location:			
Records:			
Date -			
Max. Reading			
FLOOD WATER CONTROL SYSTEM		. •	•
Warning System:	Non	UE. EMERGENCY	ACTION
PLAN UPDAT	ED RECEN	rly.	
Method of Controlled Re	eleases (mechani	sms):	
USUALLY THRO	OGH PENSTUCE	C. BUTTERFLY VALV	E OF PENSTOCK
is operated b	ELECTRICALLY.	WATER CAN ALSO	BE RELBALED
T4200GH 7-2	4" VALVEC IN	I CONTRACTOR	

AINAGE A	AREA:	117.6	SQUARE	Mi	LES	
NINAGE B	BASIN RUNOFF (CHARACTERISTI	CS:			
Land U	Jse - Type:		_	·		
Terrai	in - Relief:		~			
	ce - Soil:					
Runoff	f Potential (d	existing or p surface or su				co existing
			None			
Potent		ation problem	areas (natu	ral c	or man-made; p	present or future
Potent	tial Backwate	•	as for level:			•
		_	N/2xim			
			•			
Dikes	- Floodwalls Reservoir per	(overflow &			Low reaches a	
	Location:		NONE			···········
	Elevation: _					
Reserv	voir:					
	Length @ Max	Imum Pool	·		<u> </u>	(Miles)
	Length of She	oreline (@ Sp	illway Crest)	17.5	(Miles)

SWWGING BRIDGE DAM

Drainage avec = 118 square miles.

From "Upper Delawane River Basin Hydrologic Flood Pouting Model" study, subbasein 50 _ pages T8 to F7:

Avea of subbasin 50 = 118 Square miles.

me entire subbasin 50 is the drawinger ones of dringing. Bridge Dam.

Modified Standard Project Flord (MSPF) = 1 Probable May Flord
(PMF)

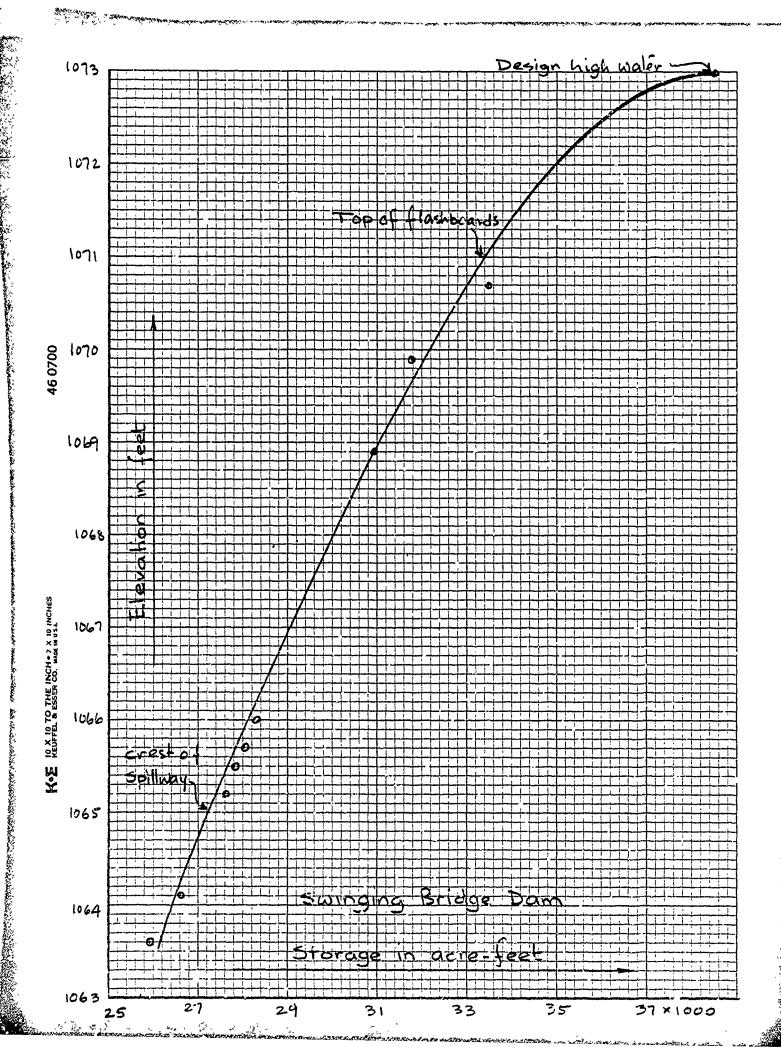
msp= 23861 cfs = 23900 cfs.

SWINGING BRIDGE

STORAGE CAPACITY CURVE

		storage	Elevation
ect)		(acre-feet)	(feet)
ન ા		25691	106310
52		2 5 8 5 2	1063.2
34	,	26634	1064.1
יי		27,577	1065.2
30		£1\$30	1062.2
14		28014	1065.7
٠ <u>٠</u> ٠٠ ٠		28267	1066.0
89		30889	1068.9
09		31,809	1069.9
<u>: </u>		33.511	1070:1
\$00		3 \$ \$00	1073.0

Capacity figures quien above are based on zero ztorage at minimum operating pool level El. 1010.0



SWINGING BRIDGE Spillway Rating Conve

1. Floodgates
2. Spillway

H= Head, L= Length, c= Coefficient of discharge, Q=discharge

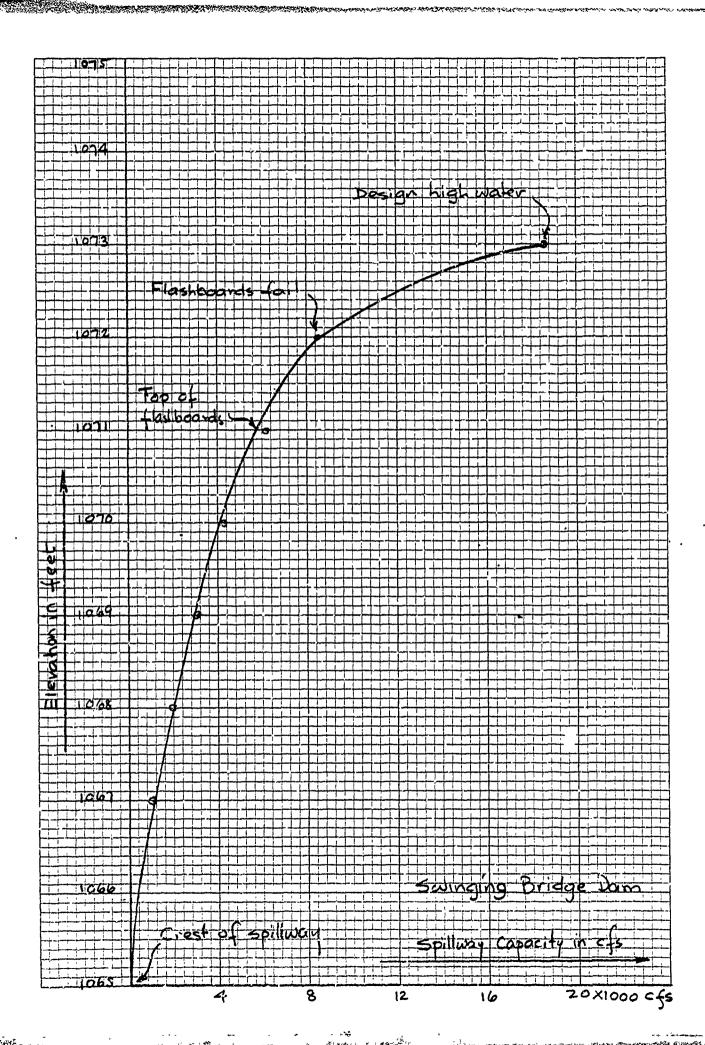
5 floodgale; each 22.6' wide. 6' high follopsible flashboards on spillway.

Q = CLH^{3/2}

Ekreten (feet)		С	L, (feet)	۵, (د{ه)	Hz (feet)	c	Le (fect)	Q2 (eys)	91+92 Q 45	Remarks
1066	f	3·3	113	373	0		122			
1067	2	3.3	113	1055	0		122			,
1068	3	3.4	113	1996	0		122			
1069	4	3.4.	113	3074	0		122			
פרפו	5	3.4	·113	4295	0		122		_	
1071	6	3.5	113	5313		3.3	122	403	5216	
1072	٦	3.2	113	7325	2	3.3	122	1139	6464	Flachberra
1073	8	3.5	113	8949		3.2	122	9662	18610	foil

Flashboard collapses with z' of water over flushboards.

Values of C assumed for simplification.



OVERTOPPING

Q & CLH3/2

where

a sischarge in cfs
c = coefficient of discharge

L= Laught of floodgates espillway

H = Head

For 1 PMF = SPF

Q = 23900 ck, C=3.5, L=235 ft., H=?

23,900 = 3.5 × 235 × H2

H = 9.5 feet . . .

Elevation at H= 9:5' is 1065.0 +9:5- 1074.5 ft.

For Pm

a = 47.800 cf , c=3.5th, L=235th, H=?

47,000 = 3.5 × 235 × H312

H = 15 fut

Elevation at H= 15 ft is 1065.0 + 15 = 1080 ft.

Top of dam

LIST OF REFERENCES

APPENDIX E

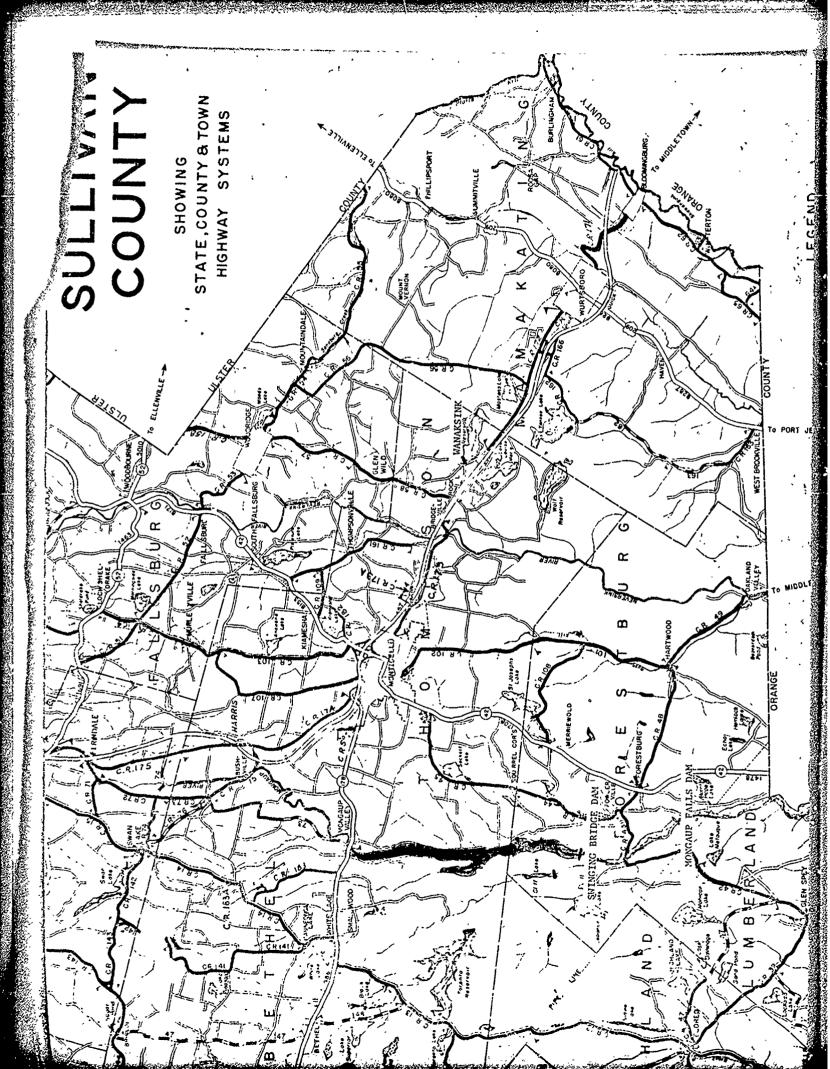
APPENDIX E

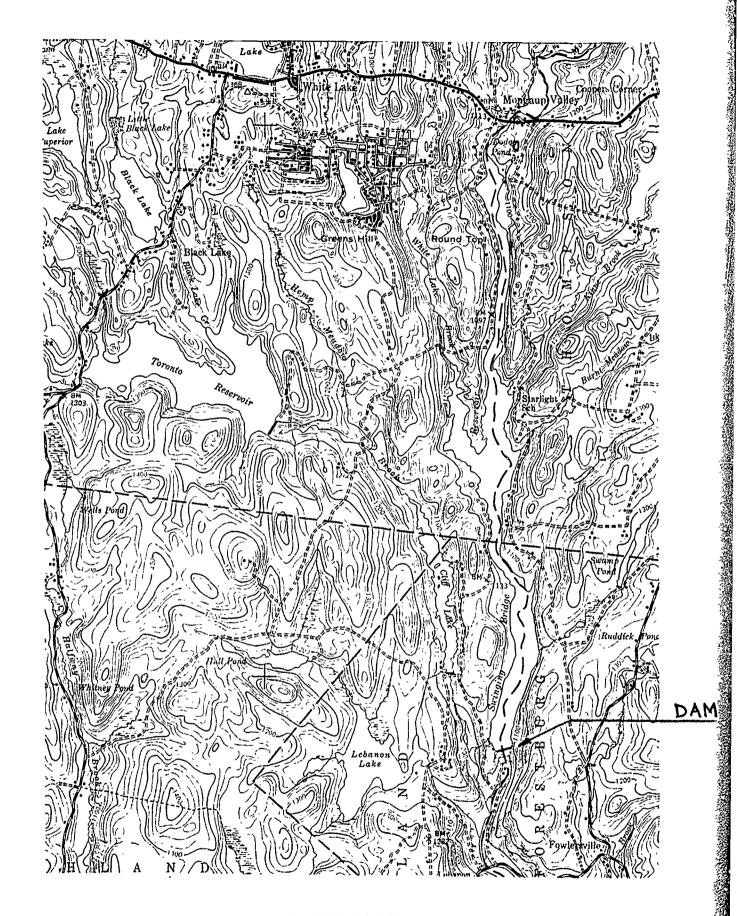
REFERENCES

- 1) U.S. Department of Commerce, Technical Paper No. 40, Rainfall Frequency Atlas of the United States, May 1961.
- 2) Soil Conservation Service, <u>National Engineering Handbook</u>, Section 4, Hydrology, August 1972 (U.S. Department of Agriculture).
- 3) H.W. King and E.F. Brater, <u>Handbook of Hydraulics</u>, 5th edition, McGraw-Hill, 1963.
- 4) T.W. Lambe and R.V. Whitman, <u>Soil Mechanics</u>, John Wiley and Sons, 1965.
- 5) W.D. Thornbury, <u>Principles of Geomorphology</u>, John Wiley and Sons, 1969.
- 6) University of the State of New York, Geology of New York, Education Leaflet 20, Reprinted 1973.
- 7) Cornell University Agriculture Experiment Station (compiled by M.G. Cline and R.L. Marshall), General Soil Map of New York State and Soils of New York Landscapes, Information Bulletin 119, 1977.

APPENDIX F
DRAWINGS

A CANAL CANAL CONTRACTOR





TOPOGRAPHIC MAP

L. C. Stuhen

ORANGE AND ROCKLAND UTILITIES, INC.

one blue hill plaza, pearl river, new york, 10965 914-352-6000

writer's direct dialinumber 914-627-2420

December 7, 1979

Mr. James D. Hebson, Regional Engineer New York Regional Office Federal Energy Regulatory Commission 26 Federal Plaza New York, New York 10007

Subject: Emergency Action Plan in the Event of Dam Failure at Project Nos. 2578, 2592 and 2605

Dear Mr. Hebson:

In accordance with your letter dated October 16, 1978, enclosed are three (3) copies of our revised "Monitoring and Emergency Action Plan, Mongaup River Hydroelectric Facilities." The plan provides a detailed procedure for notification of the proper authorities in the event of an emergency, including a list of telephone numbers of persons to be contacted. A contingency plan for alternate means of communication as well as documentation of correspondence with the New York State Police are also attached.

The Company Duty Officer changes each week and a copy of the Duty Officer schedule is provided to the System Operator's office. By copy of this letter the revised Emergency Action Plan is being transmitted to the Superintendent-Hydro Maintenance for immediate posting in his office. All subsequent revisions shall be likewise forwarded to him.

The revised plan includes a list of parties to be notified in the event of an emergency with the State Police having the primary responsibility and authority to effect any orderly evacuation of the areas of potential flooding. Since Orange and Rockland Utilities is the only operator of water-related facilities along the Mongaup River subject to potential flooding in the event of dam failure, the notification of other such operators is not applicable.

The Company's rigid inspection program, which is summarized in the Emergency Action Plan, affords us the opportunity to determine where repairs are required main to have been to it in the first critical stage. Materials necessary to effect such repairs on a

timely basis are on hand or are readily available in the area. Therefore, we do not feel the necessity to stockpile additional materials for emergency repairs.

Coordination of flows based on weather forecasts is included in instructions to System Operators. This flow coordination is designed to reduce the risk and amount of potential flooding in the down tream areas.

If we can be of further assistance to you regarding this matter, please do not hesitate to contact us.

Very truly yours,

BZBjr/ct Atts.

Frank E. Fischer Vice President

cc: B. Muthig, Capt. (NYS Police)

bcc: T. A. Griffin, Jr.

K. B. Field

B. Z. Baxter, Jr.

F. J. Kiernan (4 copies for distribution)

J. F. Kragh

W. H. Smith

J. O. Trudeau

K. D. Archer

ORANGE AND ROCKLAND UTILITIES, INC. MONITORING AND EMERGENCY ACTION PLAN

MONGAUP RIVER HYDROELECTRIC FACILITIES

(Revised December 1, 1978)

Inspection Procedures Used To Monitor Condition Of Dams

Swinging Bridge, Mongaup and Rio dams are inspected daily by attendant-operators.

Toronto, Cliff Lake and Lebanon dams are inspected on Monday, Wednesday and Friday of each week by Hydro Maintenance crew members.

Each dam will be inspected once a year by a licensed Civil Engineer.

All dams are inspected every five years by consulting engineers representing the Company Bond Holders.

Other Monitoring Procedures

Pond elevations at Swinging Bridge, Mongaup and Rio are recorded by operators at these plants and relayed to Orange and Rockland System Operators at least every 4 hours during normal working hours and 24 hours per day during times of severe floods. When the new Energy Control Center goes into service in mid-1979, these elevations will be monitored continuously and automatically logged hourly at the System Operator's office in Spring Valley, New! York.

Instructions to System Operators and Superintendent-Hydro Maintenance

In case of major floods (over 4 inches of rain in 24 hours or 6 inches in 48 hours), or when the in-flow at Swinging Bridge exceeds 2,000 c.f.s., Superintendent-Hydro Maintenance is instructed to close Toronto reservoir gates (if open) and start opening Swinging Bridge

• 70

flood gates at a rate which will hold the Swinging Bridge pond elevation at Elev. 1070 or less.

If the Swinging Bridge pond water elevation rises to Elev. 1071, the top 1.2 feet of flashboards will release over the 125 foot length of boards. When this condition occurs the Superintendent-Hydro Maintenance shall notify the System Operator. The System Operator shall notify the New York State Police that a possible emergency condition is imminent and request that Police stand by, but take no action until further notice. If this release by the top 1.2 feet of flashboards does not cause a drop in the elevation of the Swinging Bridge pond, or if the pond again rises to Elev. 1071, the Superintendent-Hydro Maintenance shall notify the System Operator who will notify the State Police to evacuate the houses in Mongaup Village at the lower end of the Mongaup River. The System Operator shall notify the Company Duty Officer, Manager-Electric Production, and Security Manager of the emergency condition and the action taken. The System Operator shall notify the New York Regional Engineer of the Federal Energy Regulatory Commission or his alternate.

If Swinging Bridge pond level continues to rise to above Elev. 1072, the remaining 5.0 feet of flashboards will be released and the maximum spillway capacity will then be available. The sill of this spillway is at Elev. 1065.

The operation of the entire flashboard system with all gates wide open should control the Swinging Bridge pond level for any anticipated flood. If after the operation of the entire flashboard system the pond level does not drop below Elev. 1071, the Superintendent-Hydro Maintenance shall notify the System Operator who will notify the State Police to evacuate the remaining endangered properties located immediately down-

stream of the Mongaup dam and the Rio recreation area. Notification of the Duty Officer, Manager-Electric Production, Corporate Communications, and Security Manager shall also be accomplished.

In the event, during an emergency condition, the Superintendent-Hydro Maintenance cannot make telephone contact with the System Operator, he shall use the Company two-way radio system. If the System Operator cannot make telephone contact with the State Police, he shall request a messenger with a radio vehicle be immediately dispatched from the Company's Western Division Operations Center in Middletown, New York to go directly to the State Police headquarters, also located in Middletown, to notify them of the emergency condition. The messenger shall remain at police headquarters to maintain direct radio contact between the Superintendent-Hydro Maintenance, System Operator, and the State Police.

MONGAUP RIVER HYDROELECTRIC FACILITIES EMERGENCY ACTION PLAN

NOTIFICATION LIST

New York State Police		(914) 343-1424
Superintendent-Hydro Maintenance Joseph B. Case	Office: Home:	(914) 856-2109 (914) 754-8271
Manager-Electric Production Frank J. Kiernan	Office: Home:	(914) 352-6000, X-441 (914) 342-0521
Security Manager John F. Kragh	Office: Home:	(914) 352-6000, X-558 (914) 496-4964
Corporate Communications John P. Murphy	Office: Home:	(914) 627-2473 (914) 942-0246
Federal Energy Regulatory Commission New York Regional Engineer James Hebson	Office: Homa:	(212) 264-3687 (201) 998-2845
Chief Civil Engineer (Alternate) Martin Inwald	Office: Home:	(212) 264-3687 (516) 285-5964
Operations Duty Officer		ations Duty Officer and Guidelines)

In answering this, please use the same subject heading as on this letter

Subject

Monitoring and Emergency Action Plan

To

FILE

From

B. Z. Baxter, Jr.

cc: Mr. F. E. Fischer

Mr. J. Kragh Mr. K. B. Field

July 14, .1978

On July 7, 1978 a meeting was held at the New York State Police Headquarters, Troop F, in Middletown, New York to review our June 30, 1978 submittal of subject plan to the Federal Energy Regulatory Commission. Attendees were J. Kragh (O&R), B. Z. Baxter, Jr. (O&R), B. Muthig, Capt. (NYS Police) and J. McMahon, Lt. (NYS Police).

Since we had forwarded a copy of the plan to the NYS Police prior to the meeting, only a short discussion as to the purpose of the plan and the function of the State Police was required. We advised that they were the only group being asked to coordinate this Emergency Action Plan in the event implementation was necessary and we would forward them a list of residences not controlled by O&R that would be affected in the Mongaup Village area. The State Police felt that since there were few residences involved, notification would not be difficult.

They were informed that any changes in the Emergency Action Plan would be forwarded to them as they occurred.

The meeting was highly productive since we will be able to obtain their cooperation.

BZBjr/ct

15. 3 Barles J.
B. Z. Baxter, Jr.

July 17, 1978

Blake Muthig, Captain New York State Police Troop F Middletown, New York 10940

> Subject: Monitoring and Emergency Action Plan Mongaup River Hydroelectric Facilities

Dear Captain Muthig:

As agreed during our July 7, 1978 meeting, attached is a list of residences in the Mongaup Village area not controlled by Orange and Rockland which could be flooded due to upstream dam failure. We also attach a drawing showing location of the homes with respect to the expected area of flooding.

In the event of any changes in the Emergency Action Plan, you will be promptly notified.

Very truly yours,

BZBjr/ct Atts.

B. Z. Baxter,/Jr. Assistant Vice President

cc: Mr. J. Kragh

bcc: Mr. F. E. Fischer Mr. K. B. Field

Mongaup Village Residences Not Controlled By O&R

Donald A. Gregory 856-8324

Tri State Diesel McKerrill's Garage

856-664**6**

Gilson No Phone Listed

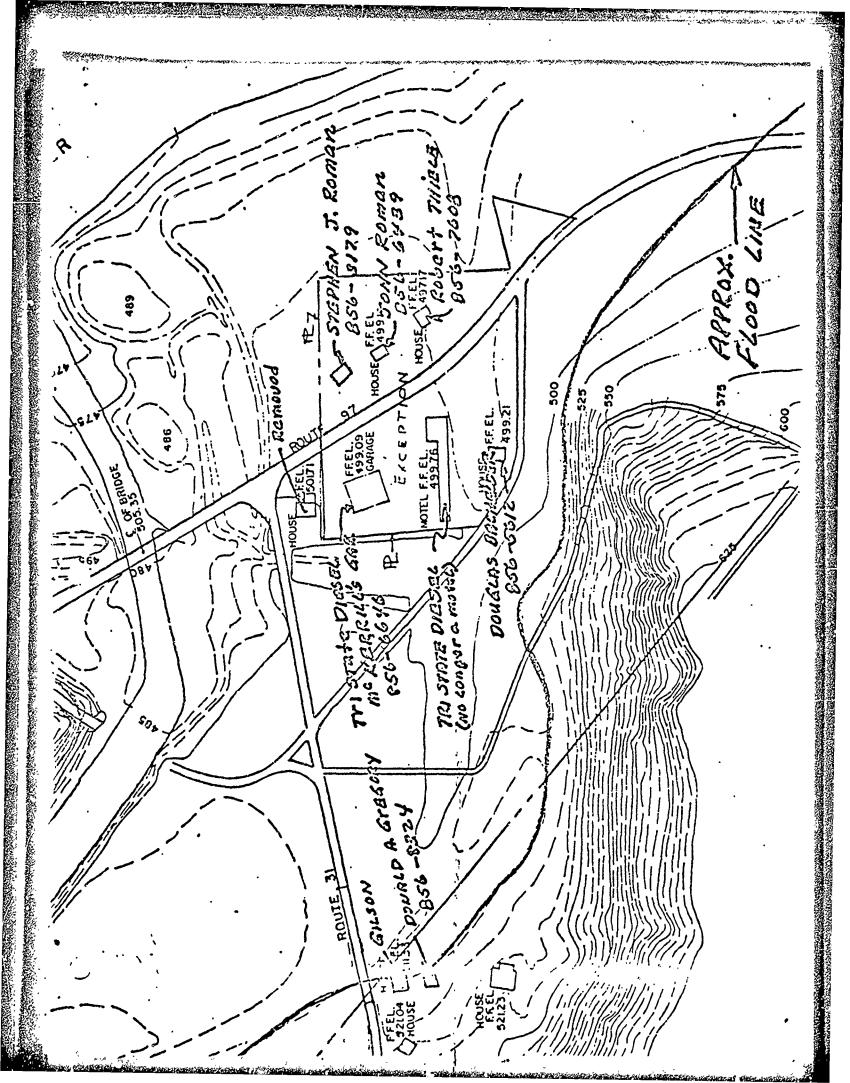
Douglas Bachelder 856-5612

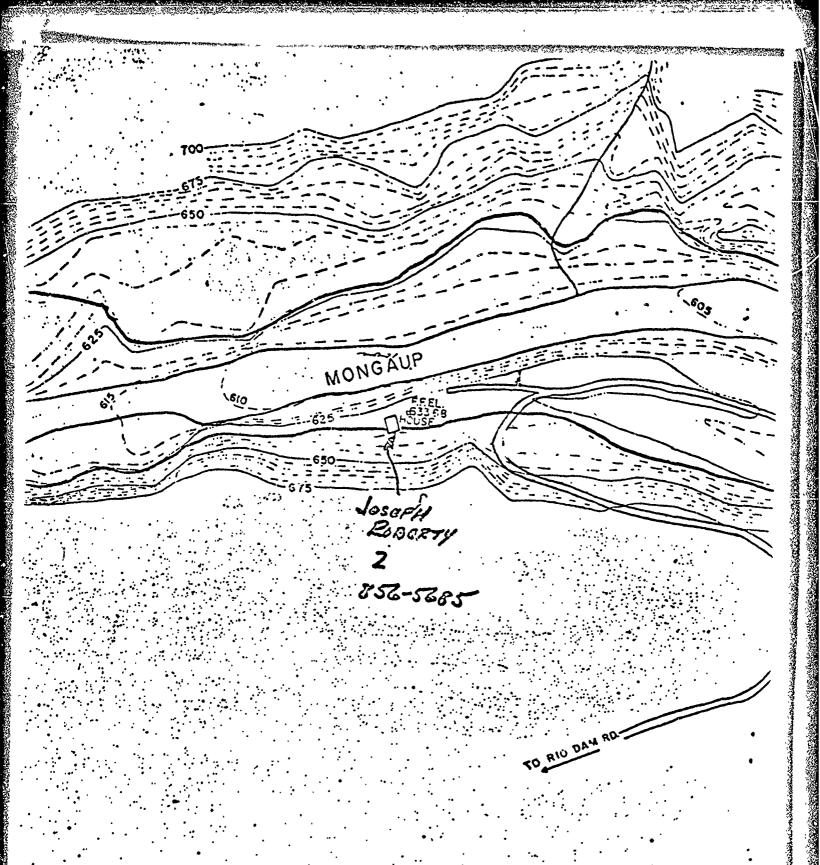
Stephen J. Roman 856-3179

John Roman 856-6439

Robert Thiele 856-7608

Joseph Roberty 856-5685





DUTY OFFICER GENERAL GUIDELINES

PURPOSE

To provide for the availability of a person of sufficient rank to act in the capacity of Company spokesman and provide high level management direction, if required in the event of an incident or accident within the Company which would have a significant impact in terms of our customers, the general public, regulatory agencies, news media and other interested publics. This is consistent with our Company Policy of providing continuous service to our customers in a safe and efficient manner.

To provide an equitable distribution of Operating Department responsibilities during those periods outside of the normal business hours.

To provide the opportunity for the exposure of the Duty Officer to all facets of operations, thereby developing understanding, appreciation and flexibility of personnel within the Company.

GENERAL GUIDELINES

- 1. Copies of the Duty Officer Schedule for Company operations will be made available to the Service Operator Supervisor and Service Operators to facilitate contacting the appropriate person when an incident or accident occurs which may have a significant impact on the Company.
- 2. Persons scheduled for duty may change with other parties on the Duty Officer Schedule and will be obligated to inform the Service Operator Supervisor of such change.
- 3. The availability of the Duty Officer will be required during the entire week that the person is scheduled. Availability is not construed to mean that the person must stay at home by the telephone. However, it does mean that the person may be contacted in a timely fashion.
- 4. The person designated as Duty Officer for the week will act as the Company spokesman concerning any incident or accident that occurs during that week, until such time as another appropriate individual becomes available to act as the Company spokesman.
- 5. The availability of a Duty Officer will not supersede or change established procedures for emergency notification of functionally responsible Officers or other personnel.

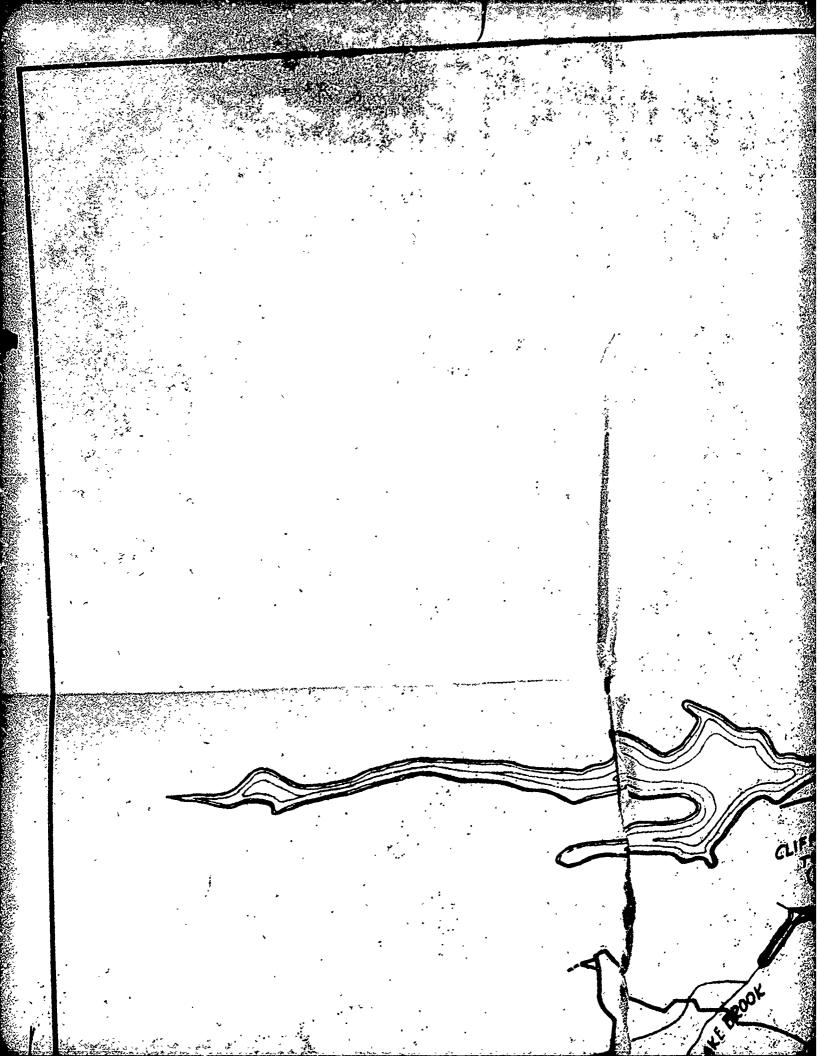
GENERAL GUIDELINES - (Continued)

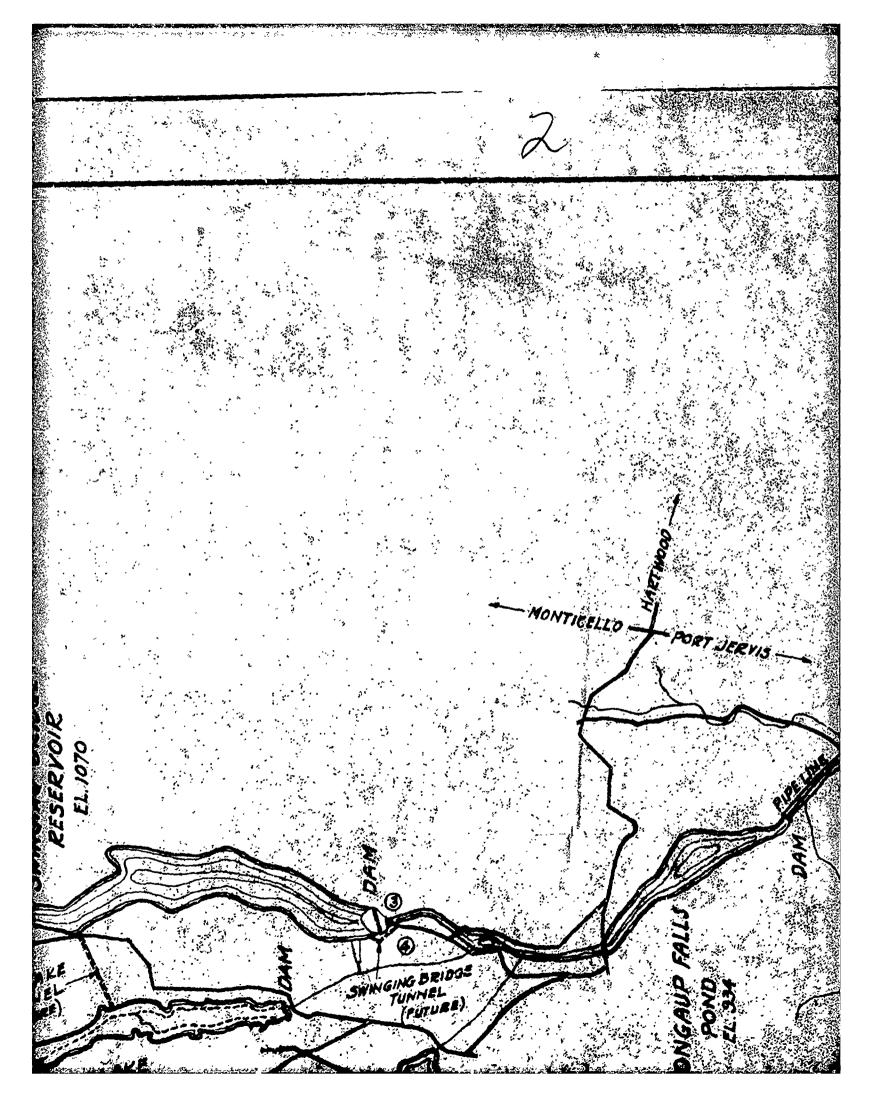
- 6. The Duty Officer shall act as the liaison authority across all departments, such as Transportation, Stores, etc. during the period outside of normal business hours. Problems which may develop after the standard Operating Procedures have been exhausted at lower levels of management, concerning the coordination of support services will be resolved by the Duty Officer.
- 7. Included with the Duty Officer Schedule are Emergency Procedures that are to be followed either by the Standby Duty Supervisor and/or persons within the operating departments in compliance with established requirements. It shall be the responsibility of the Duty Officer to ensure that these requirements are accomplished in a timely manner.

List of Drawings

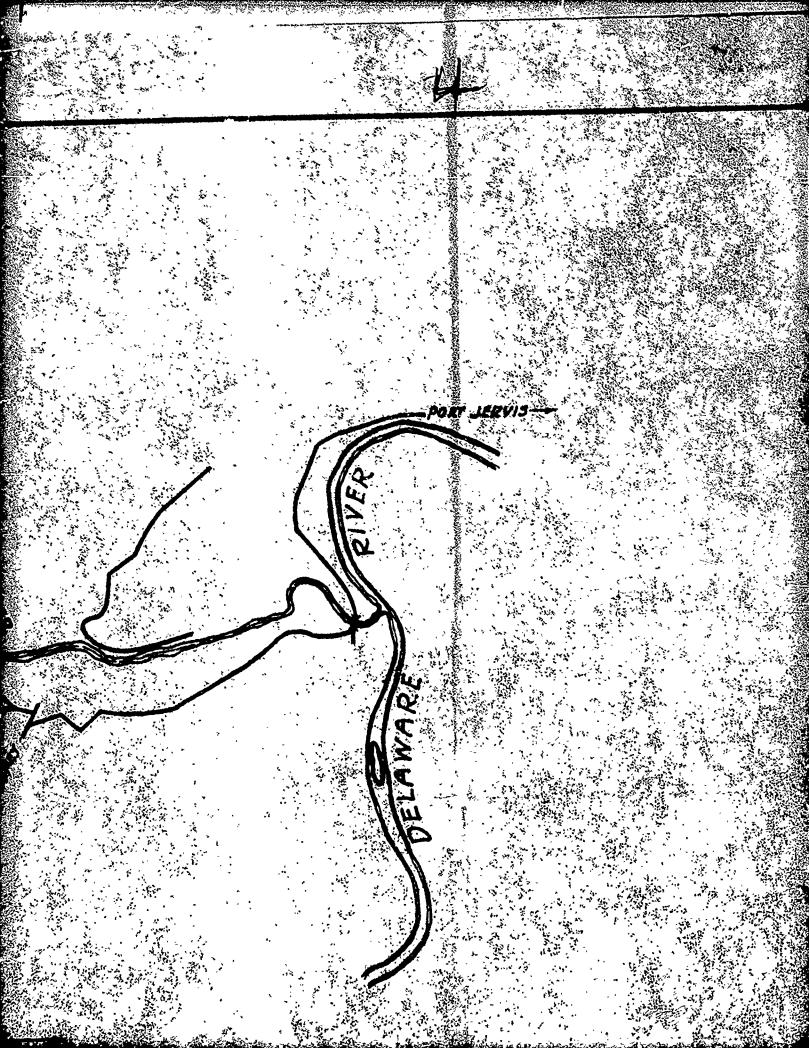
Swinging Bridge Dam

	Drawing Number
Developments on Mongaup River General Plan	1.300-50
Borings	KK3-16
Dam	KK3-17
Spillway	KK3-18
Intake	KK3-19
Gate Tower	KK3-21
Conduit	KK3-25
Conduit Outlet	KK3-27
Powerhouse - General Plan (Generator #1)	KK3-28

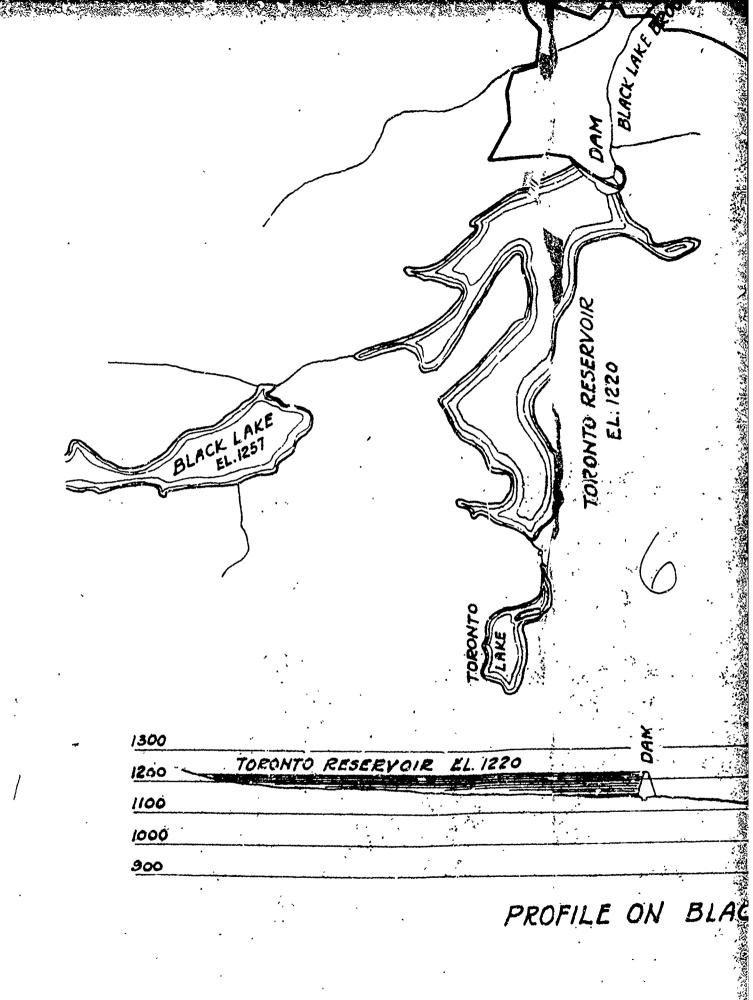




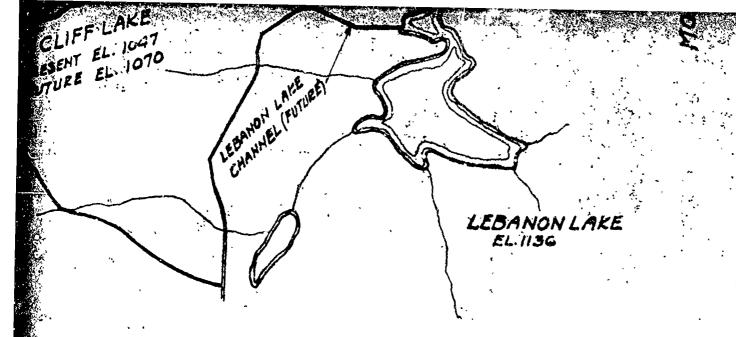








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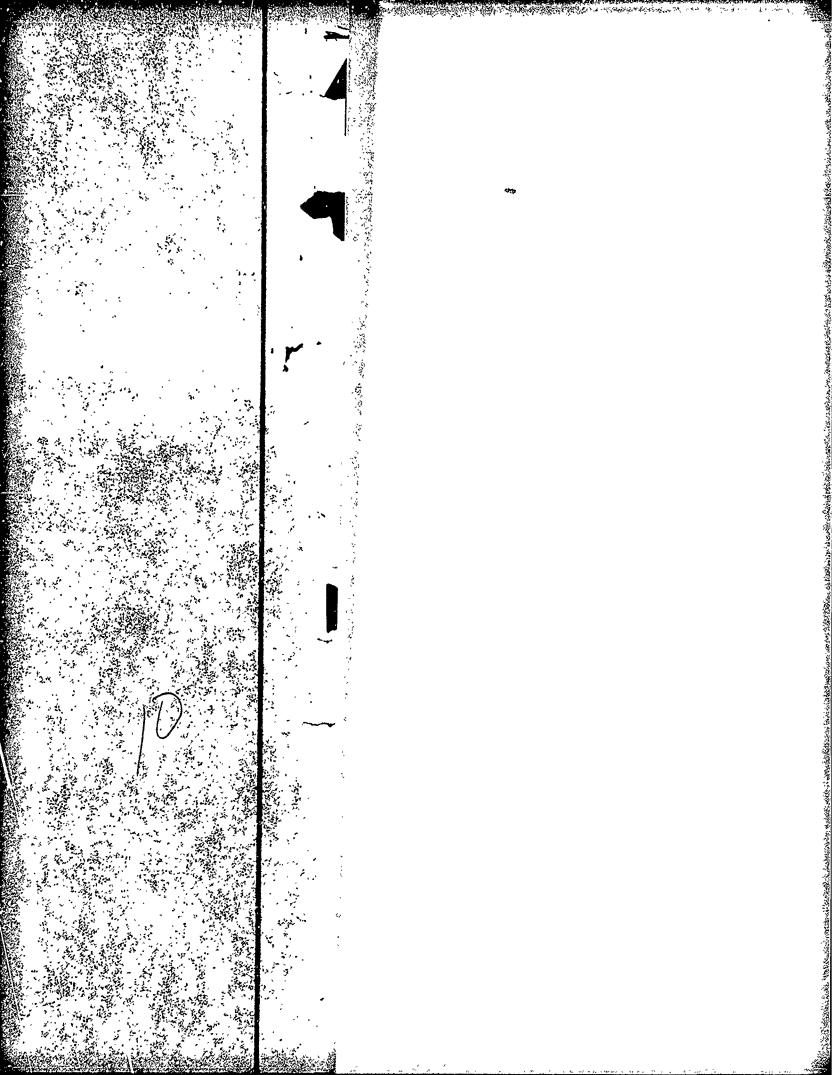
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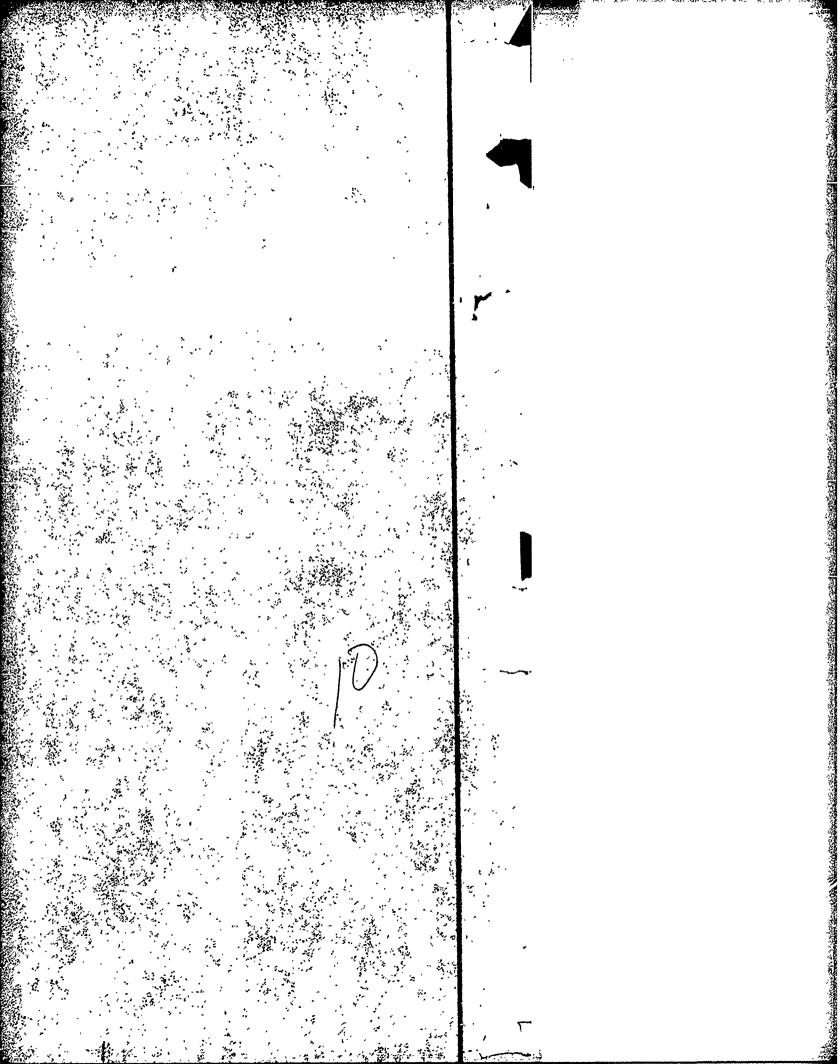
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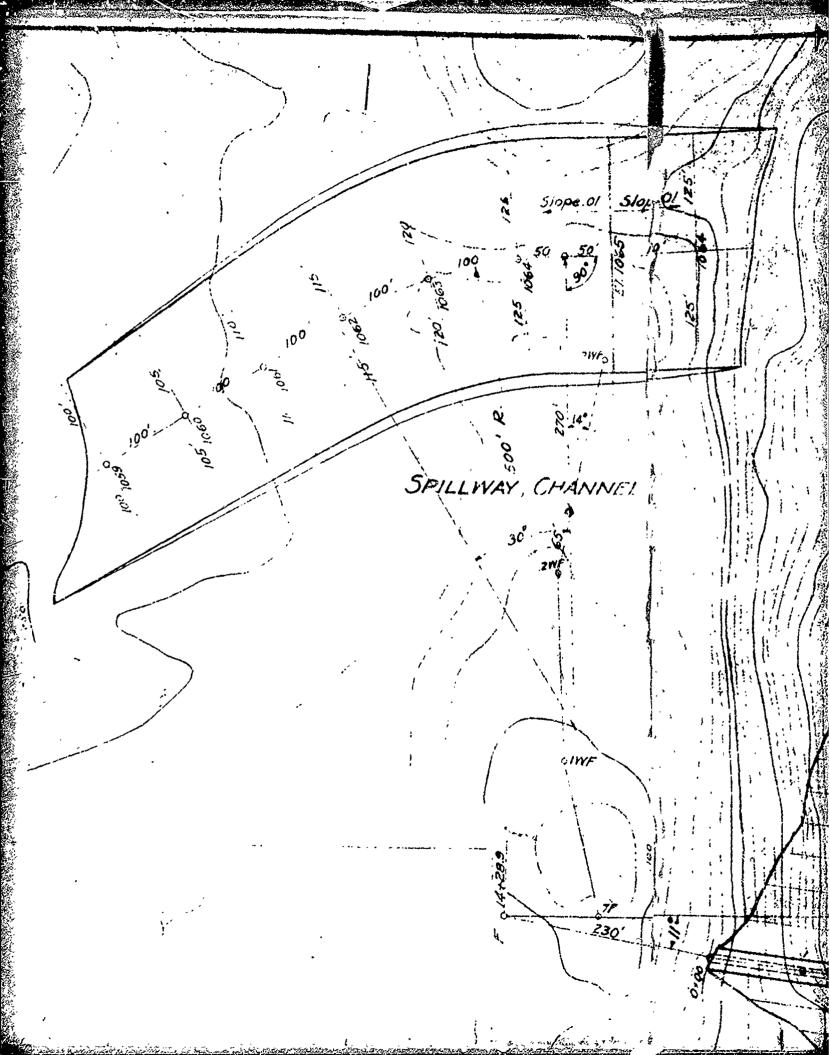
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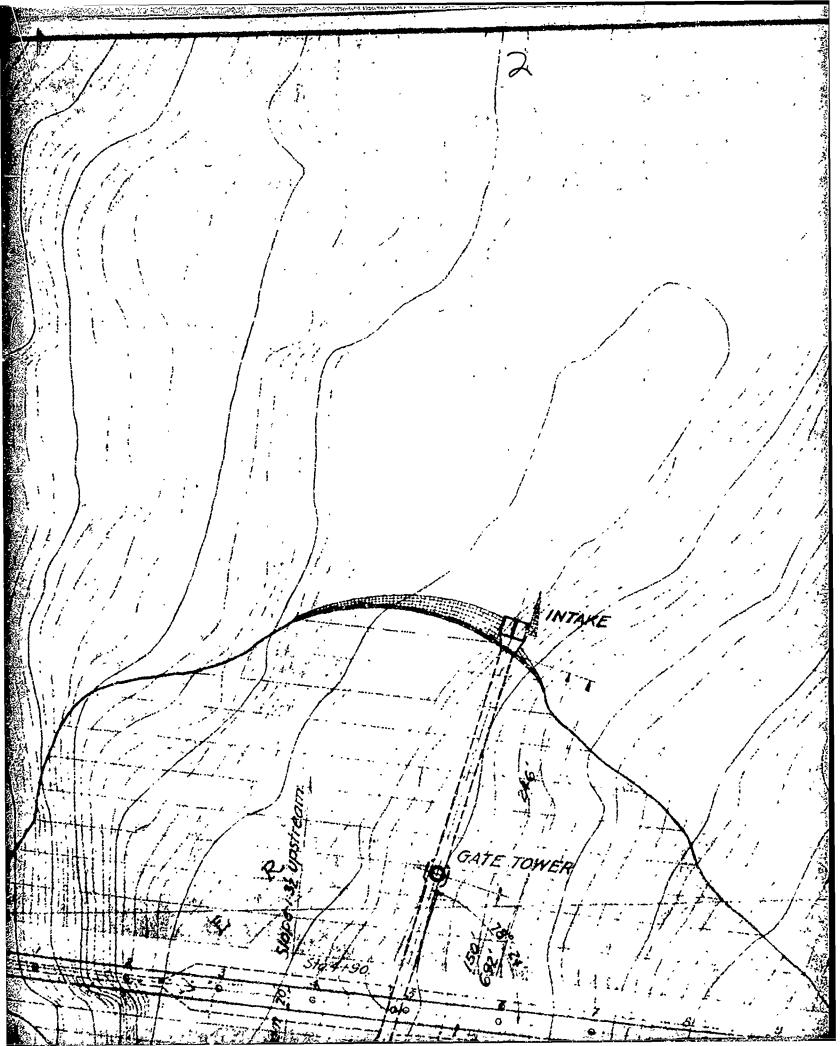
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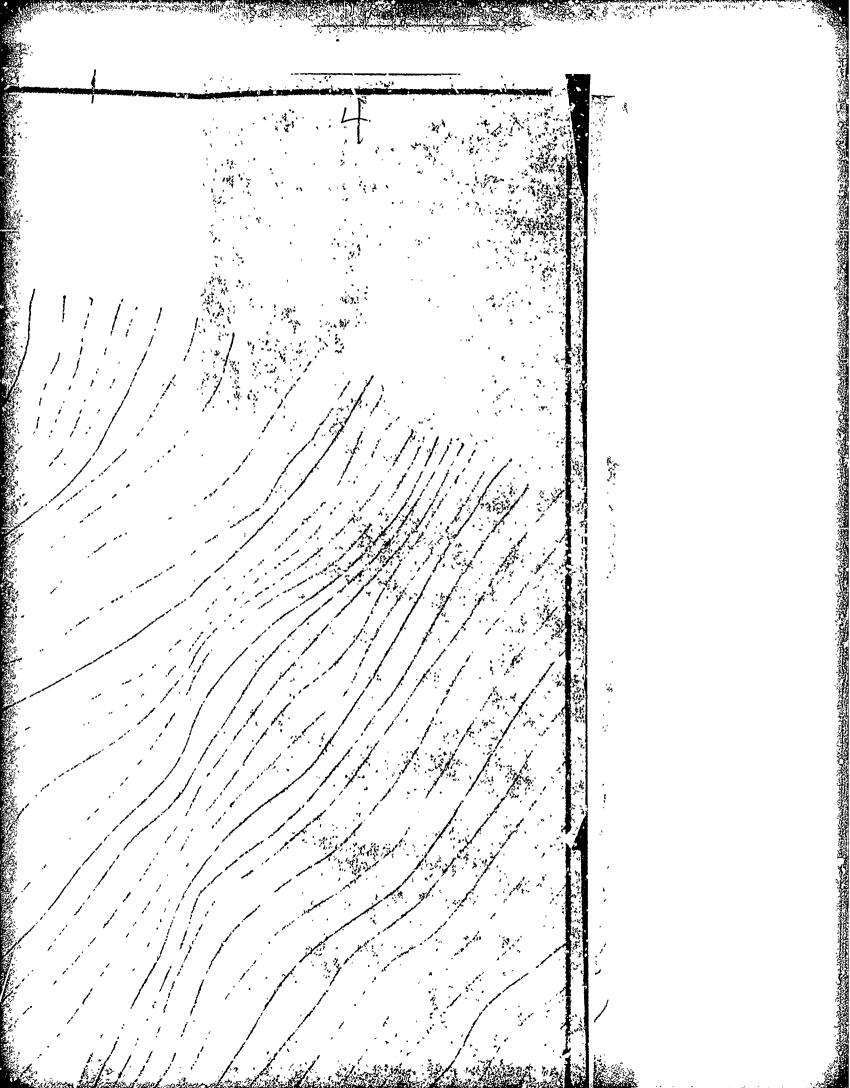
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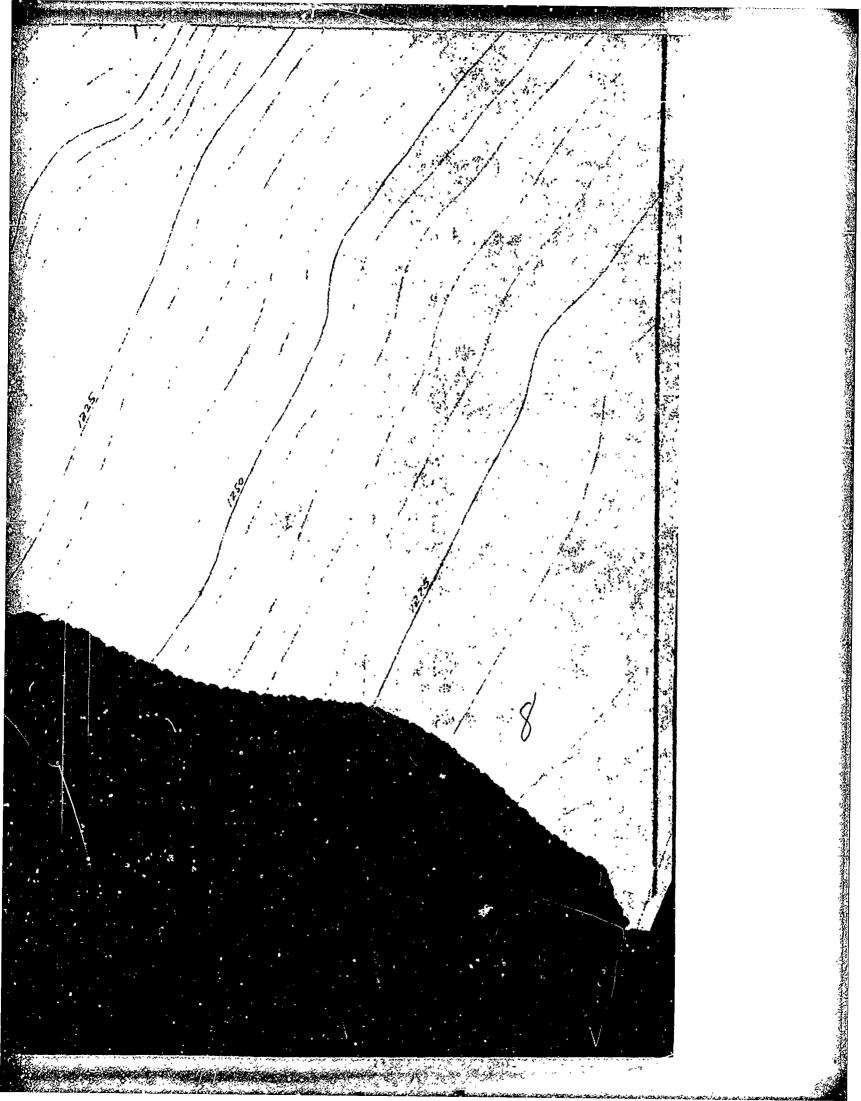




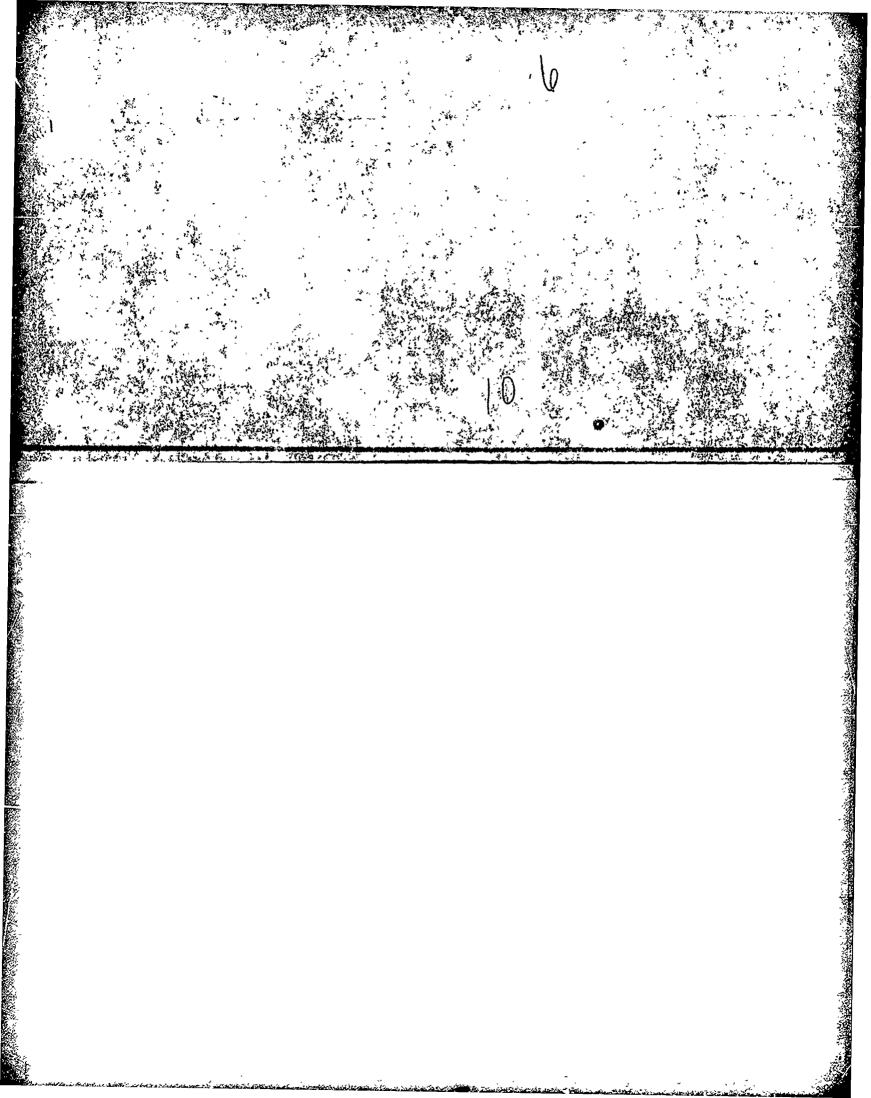
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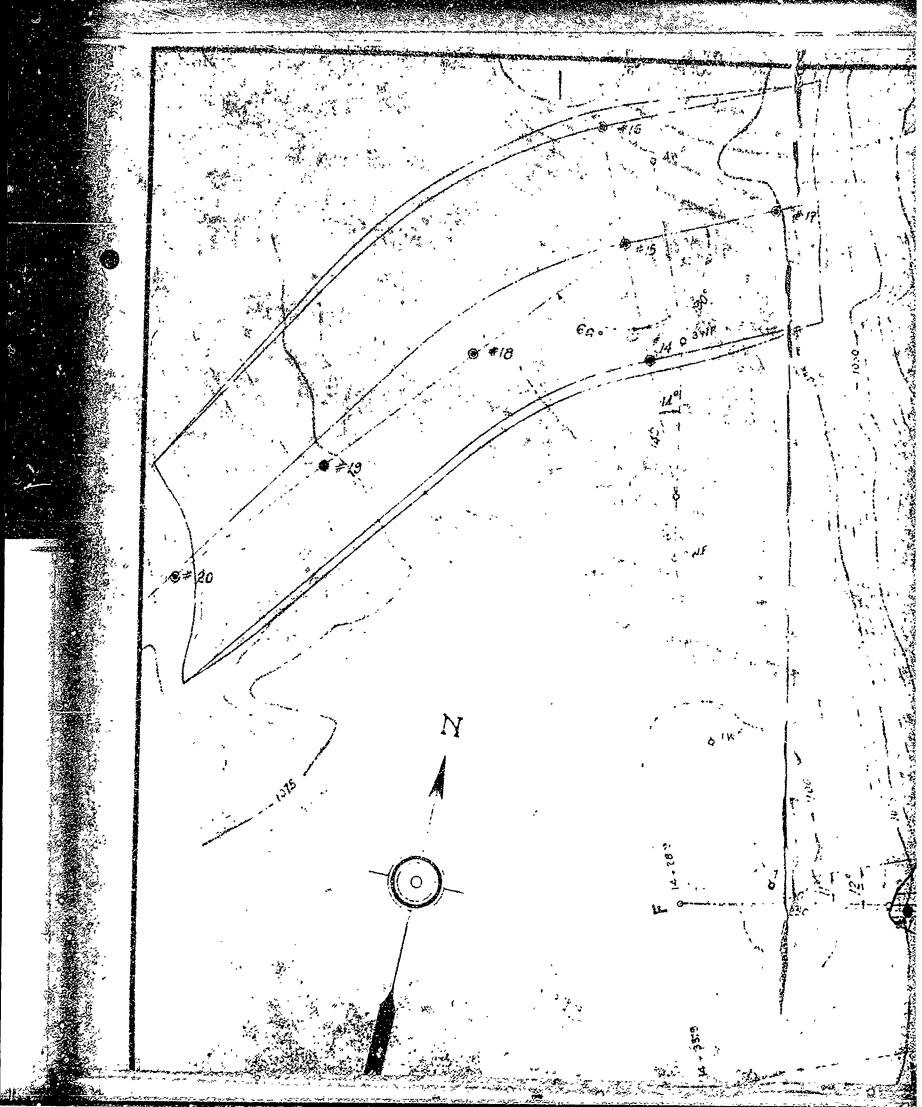
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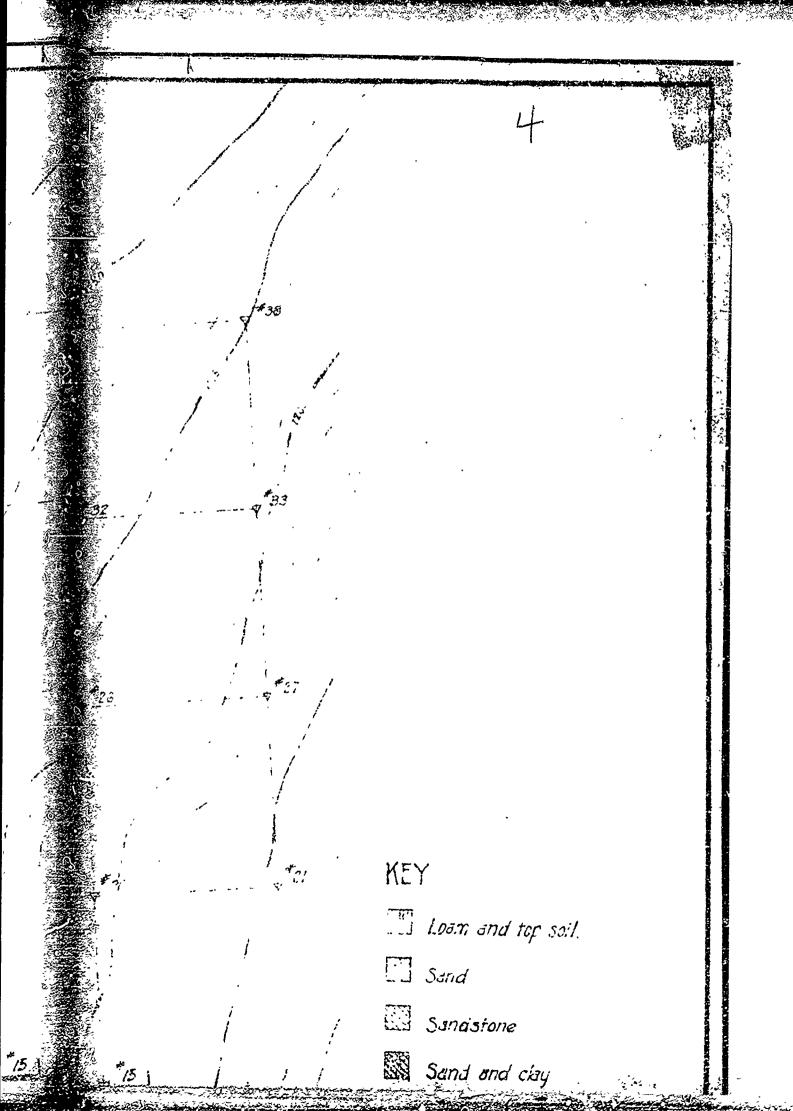
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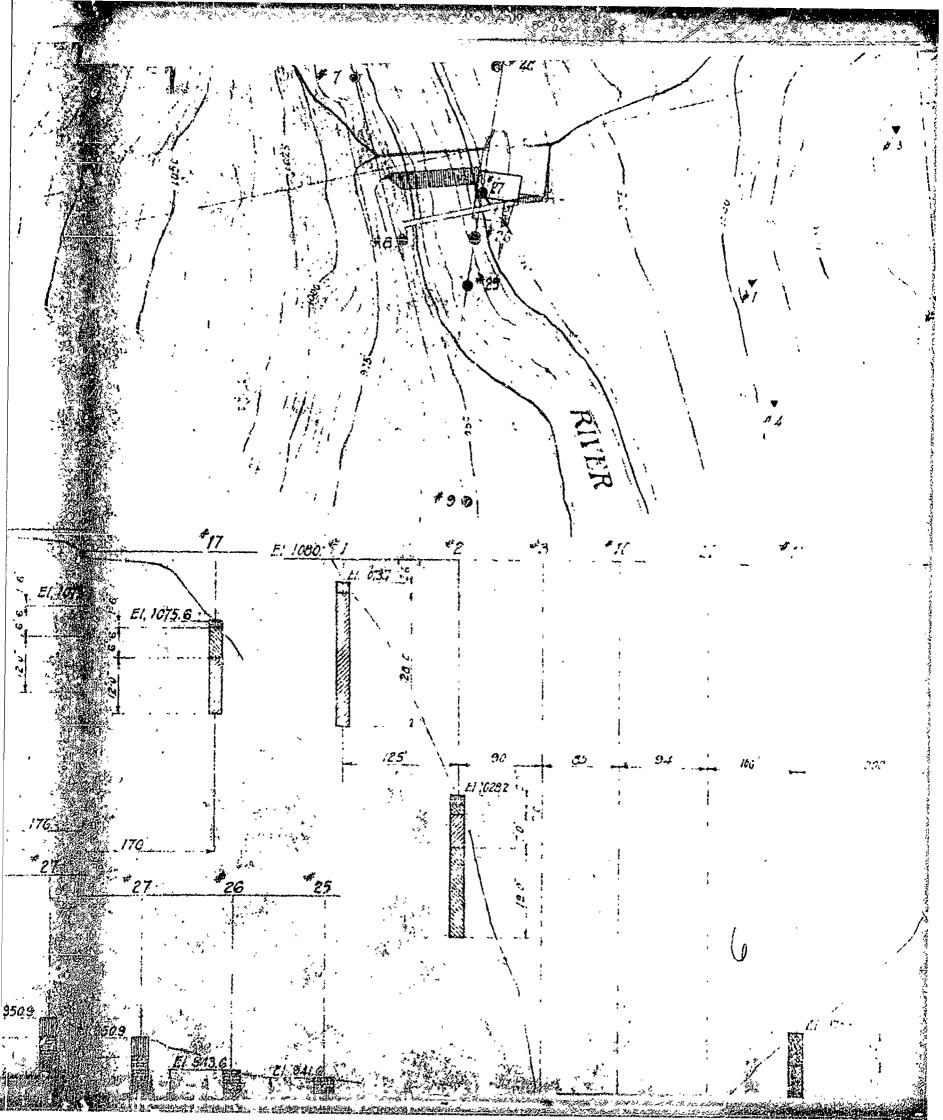




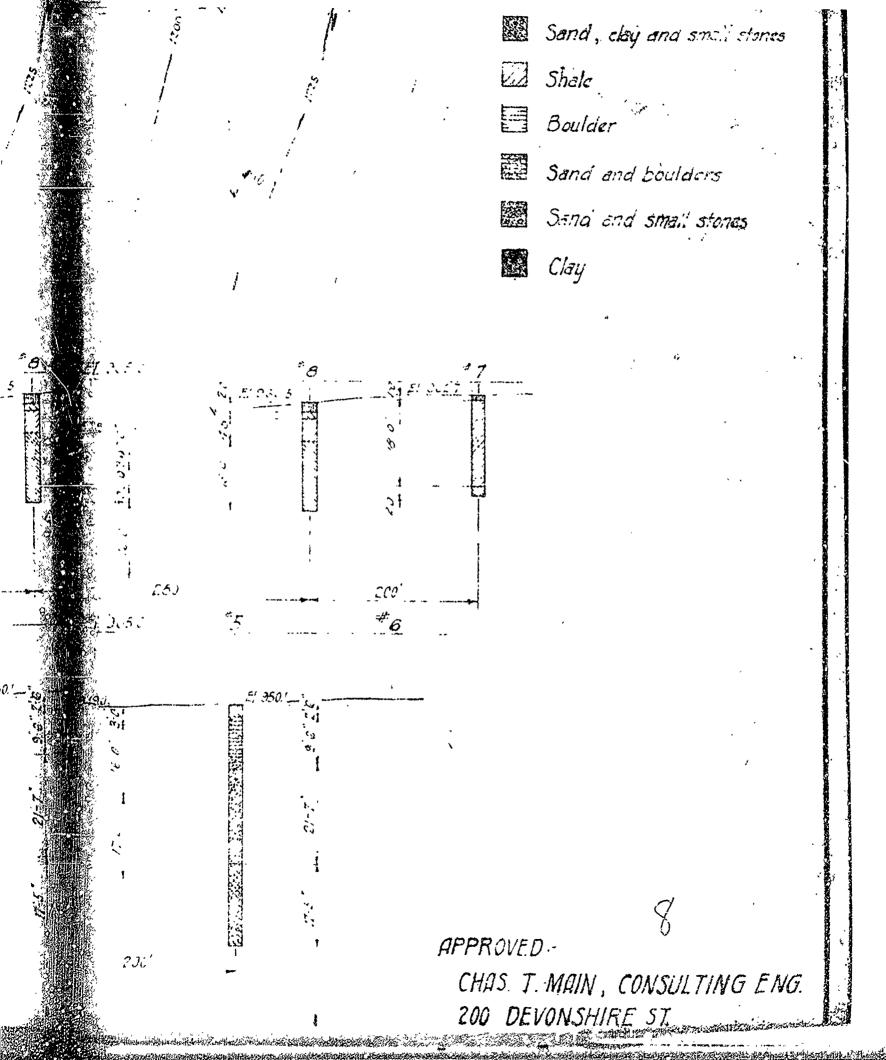
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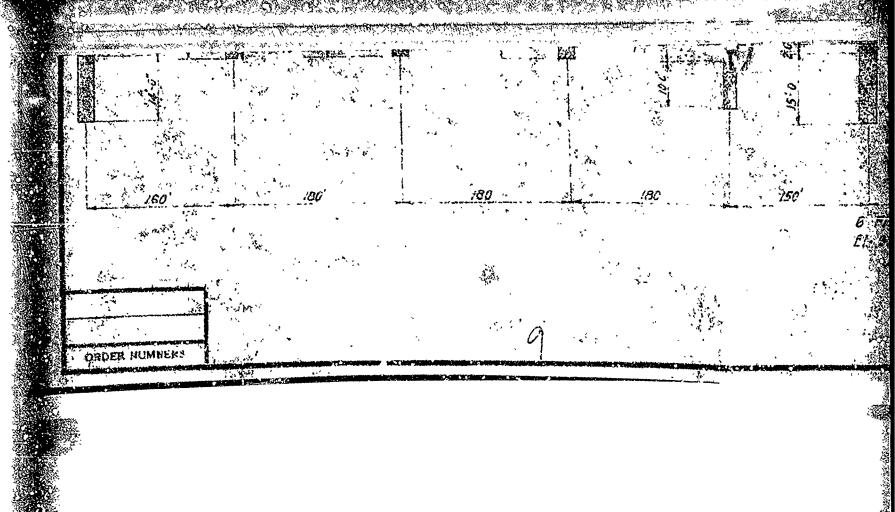


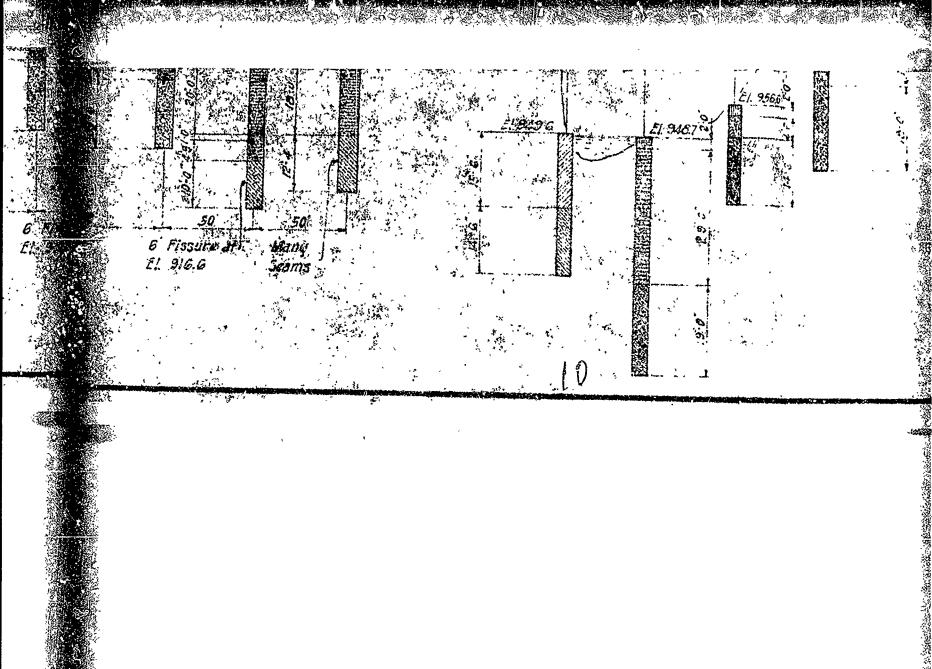




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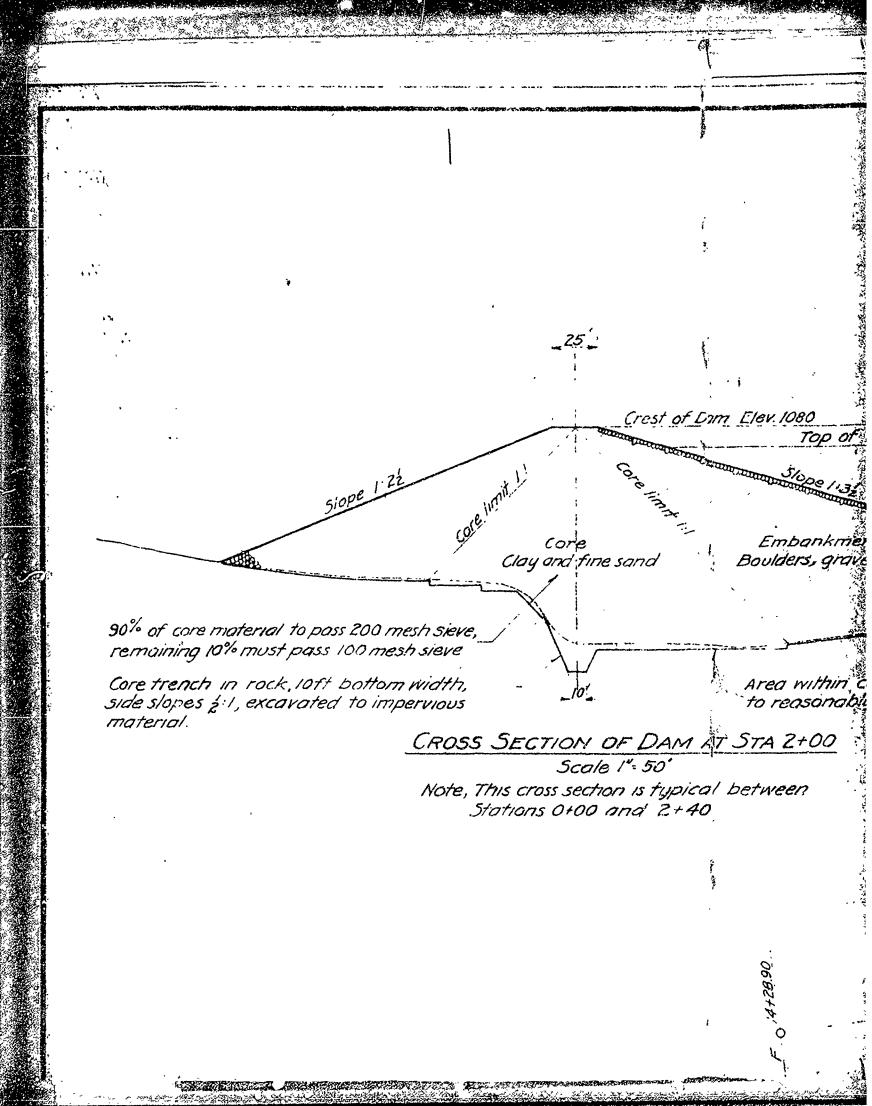


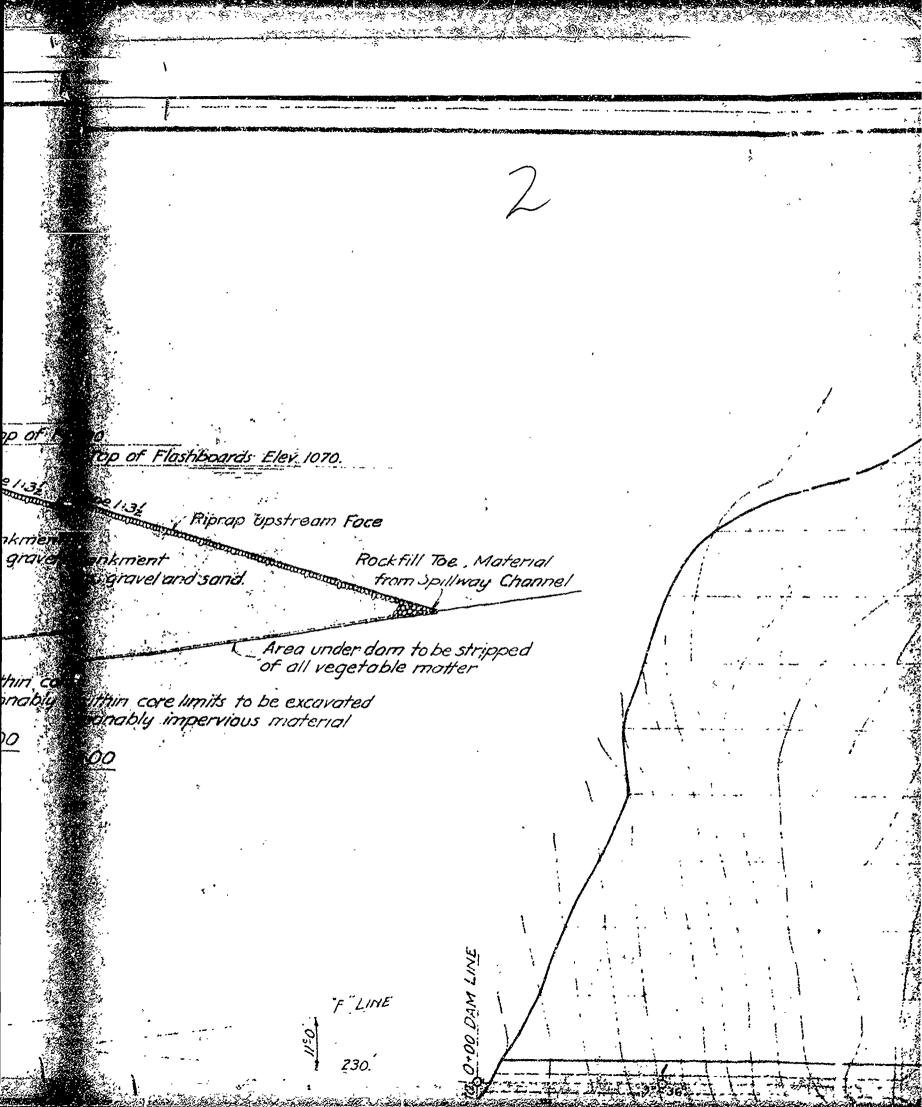
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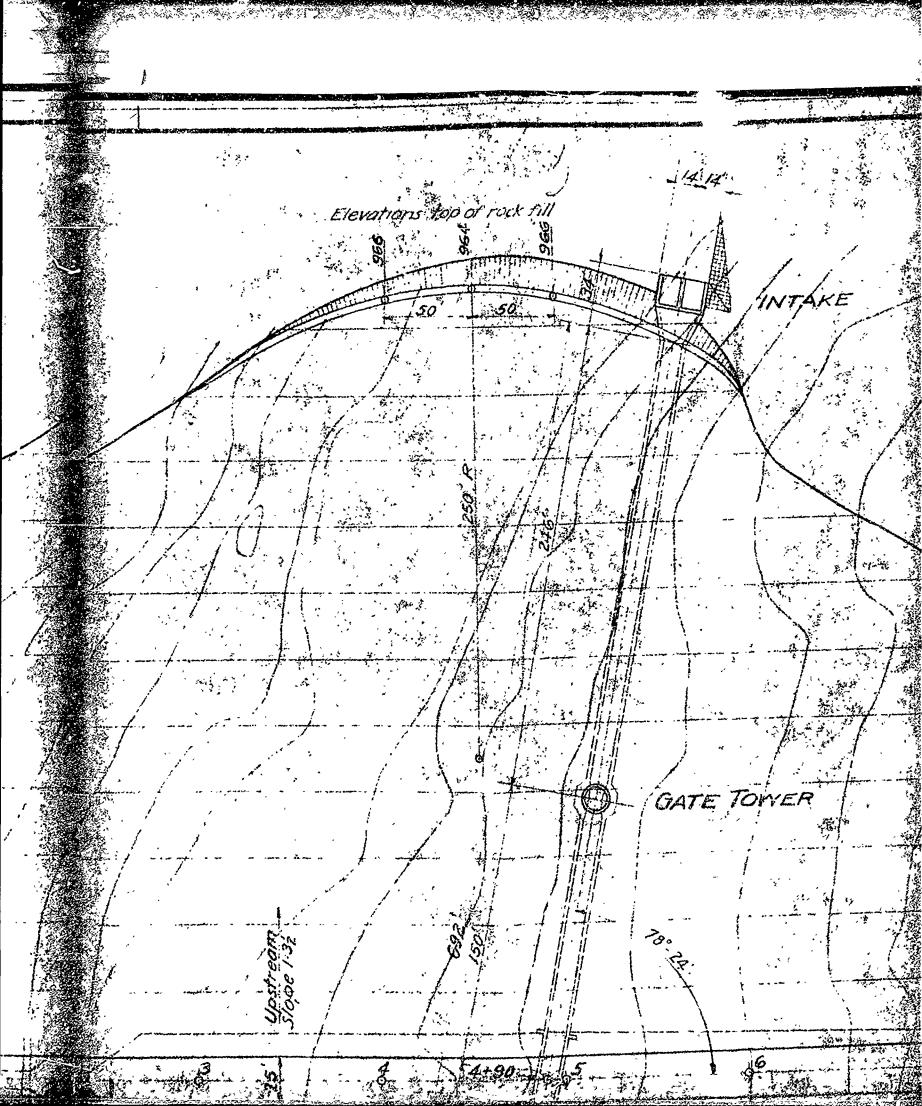
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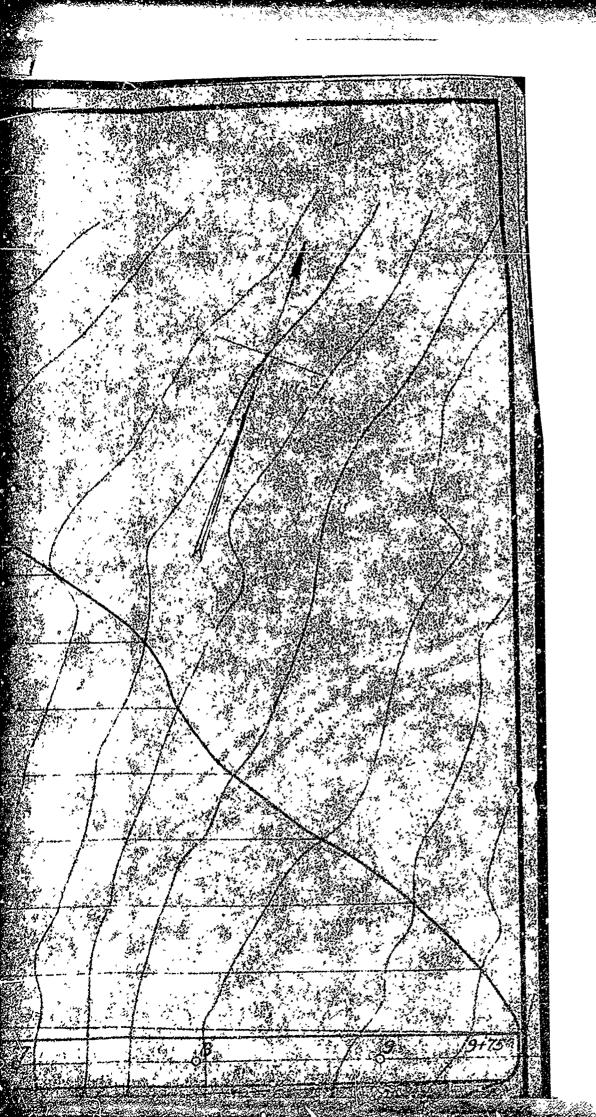
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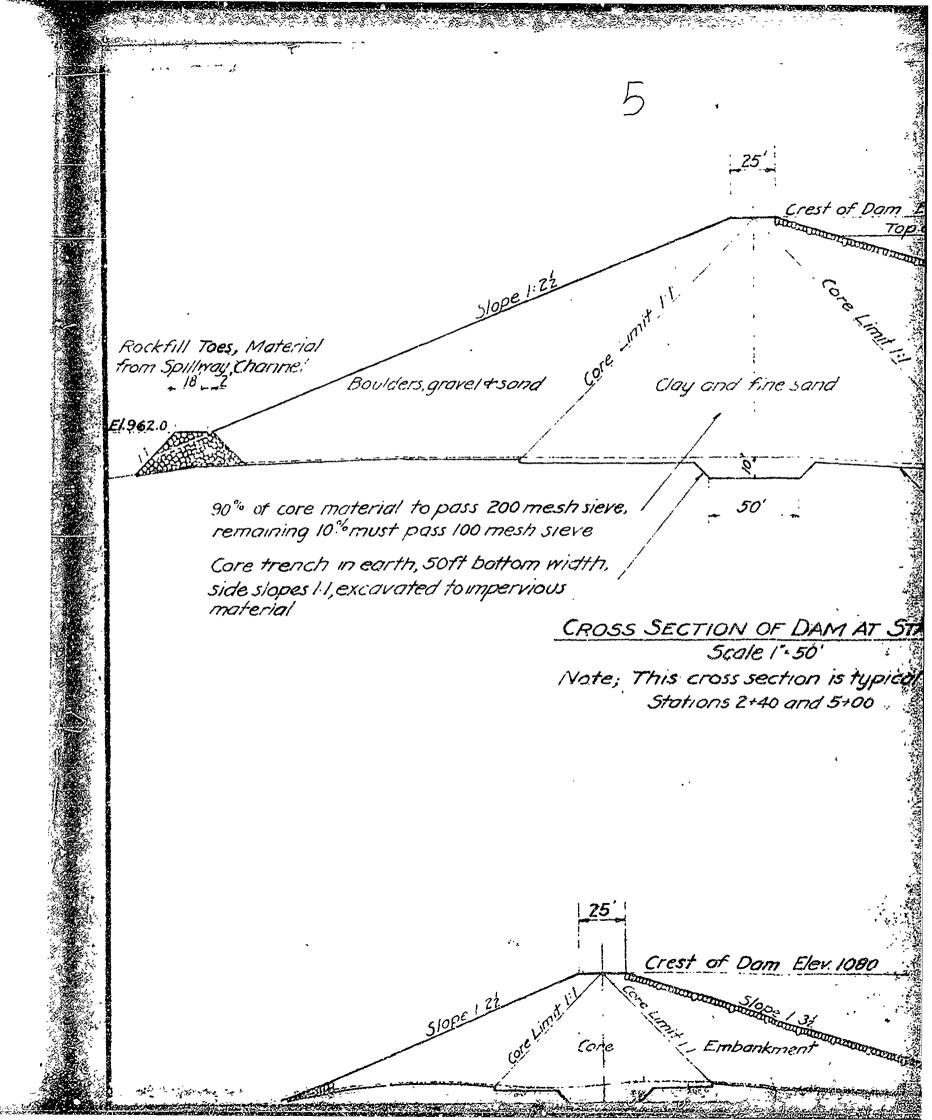
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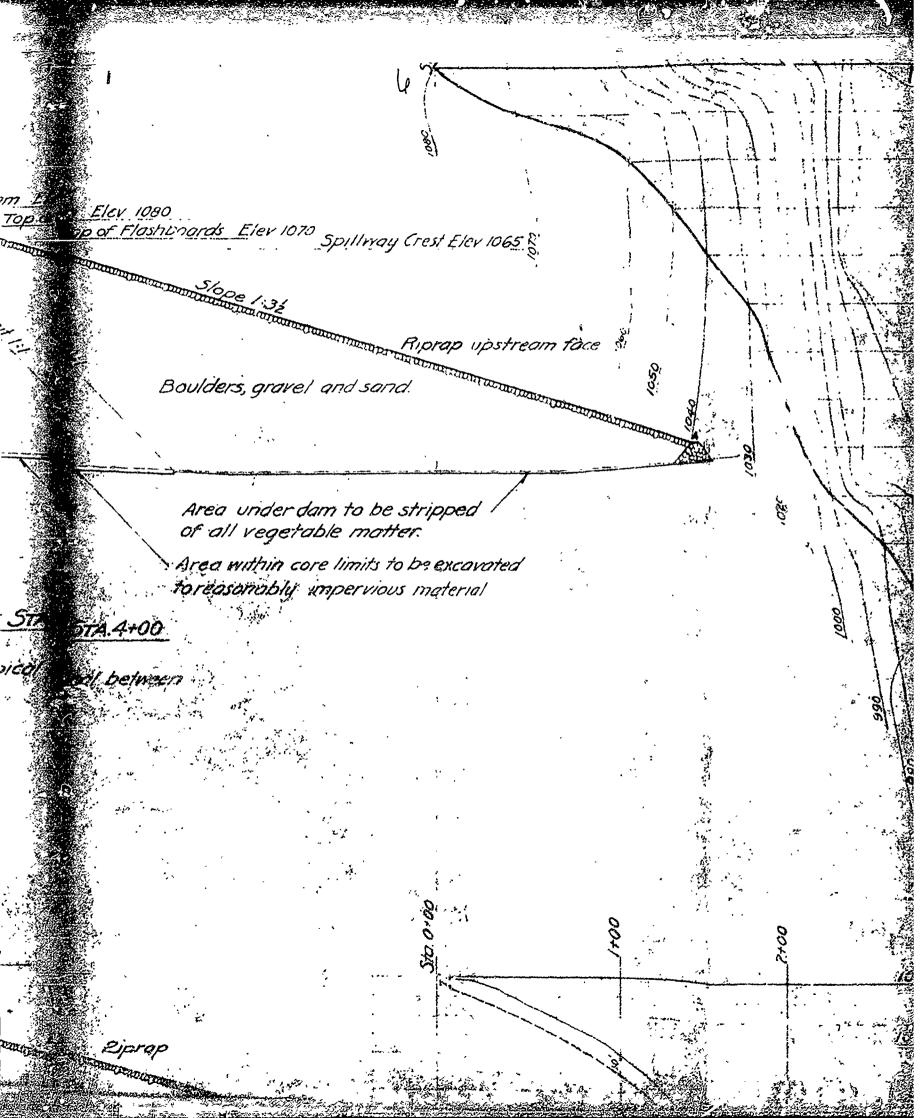


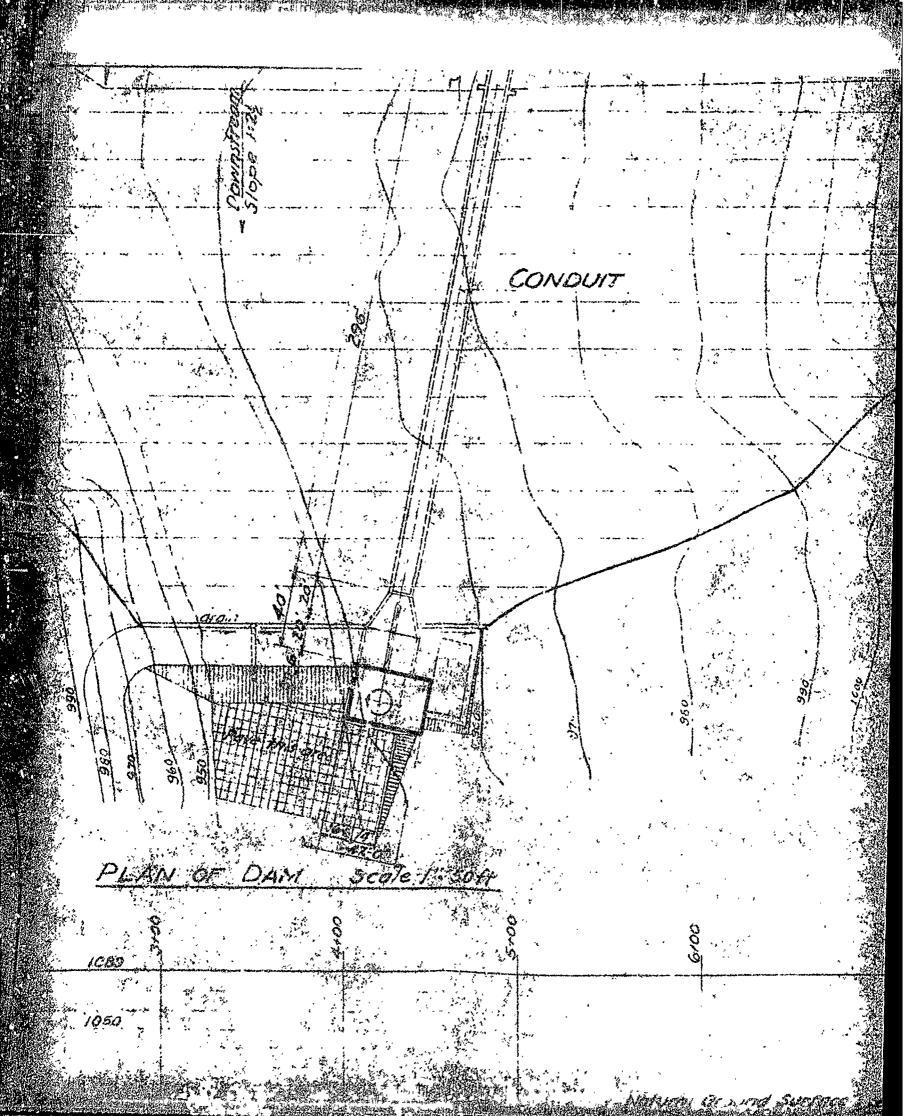


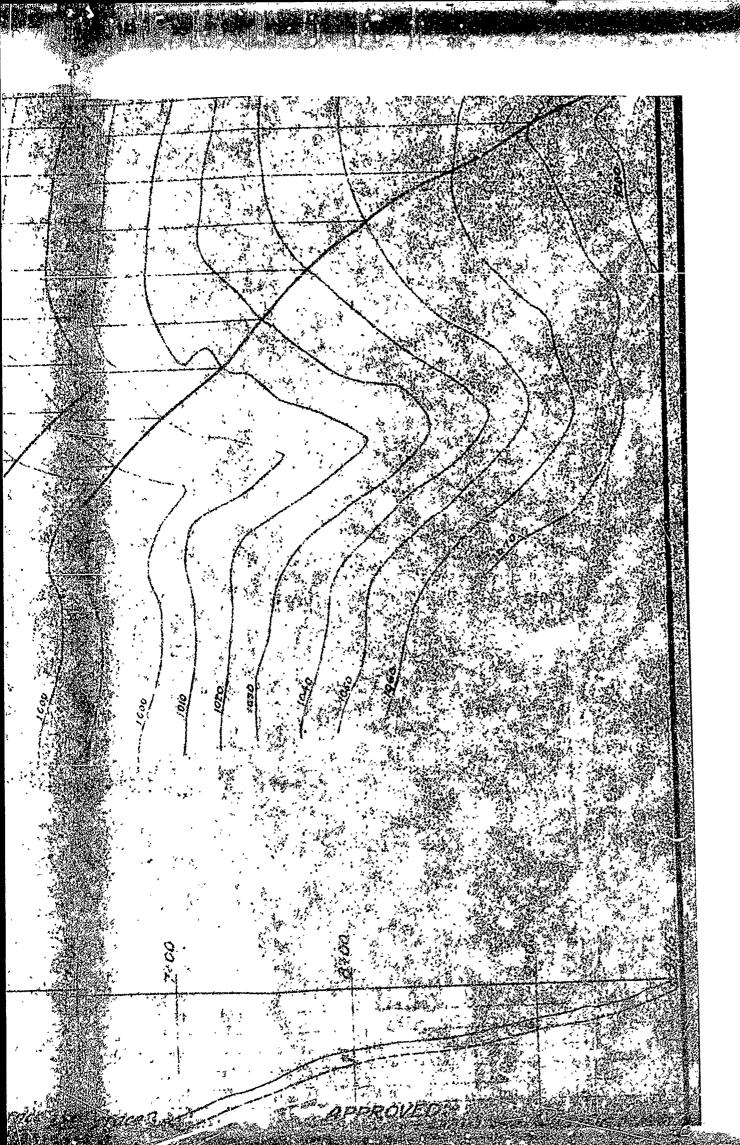


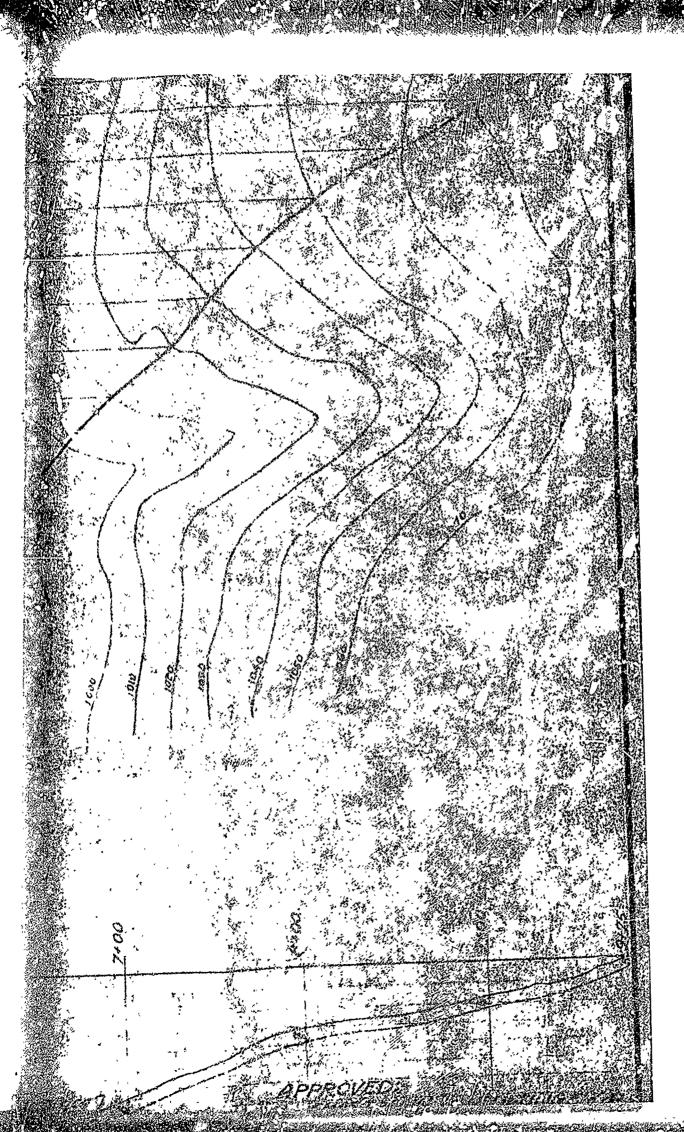


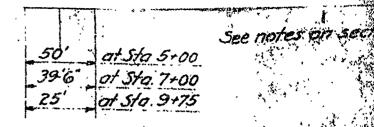






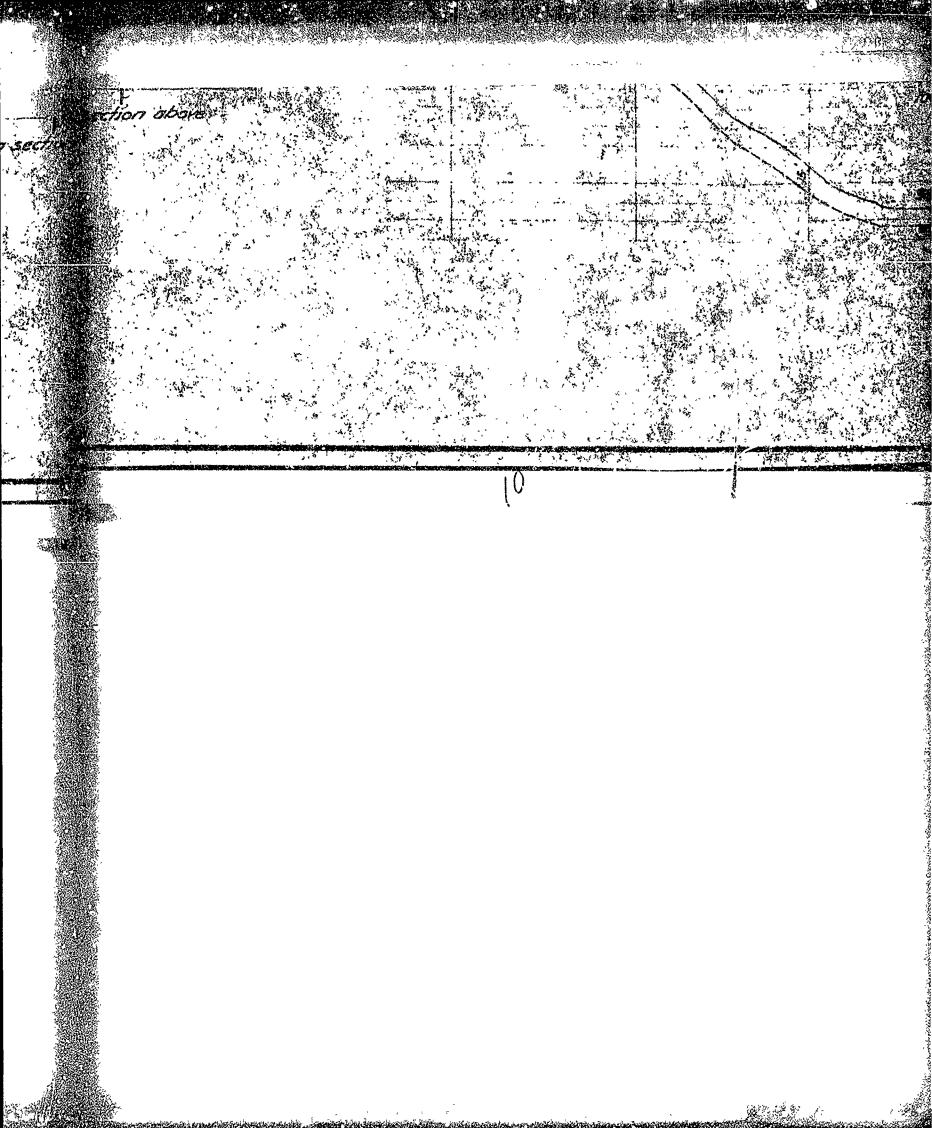






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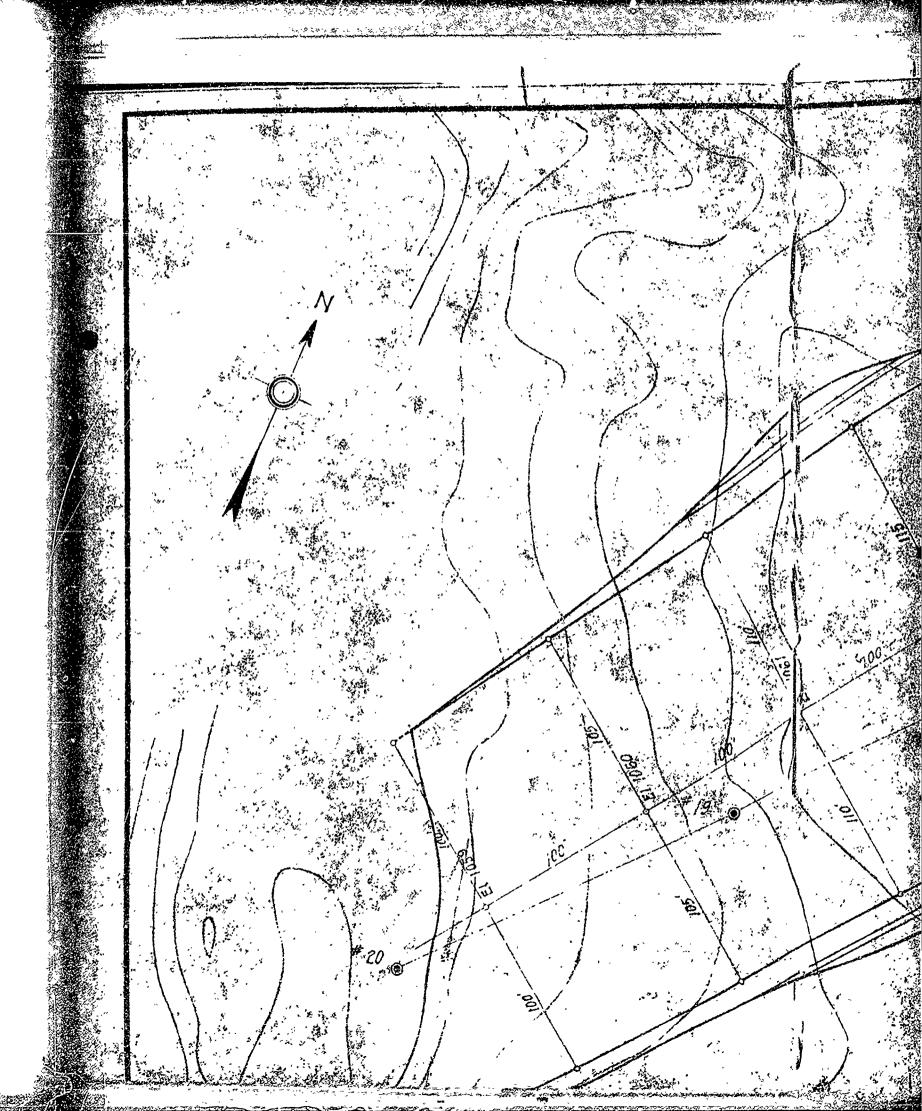
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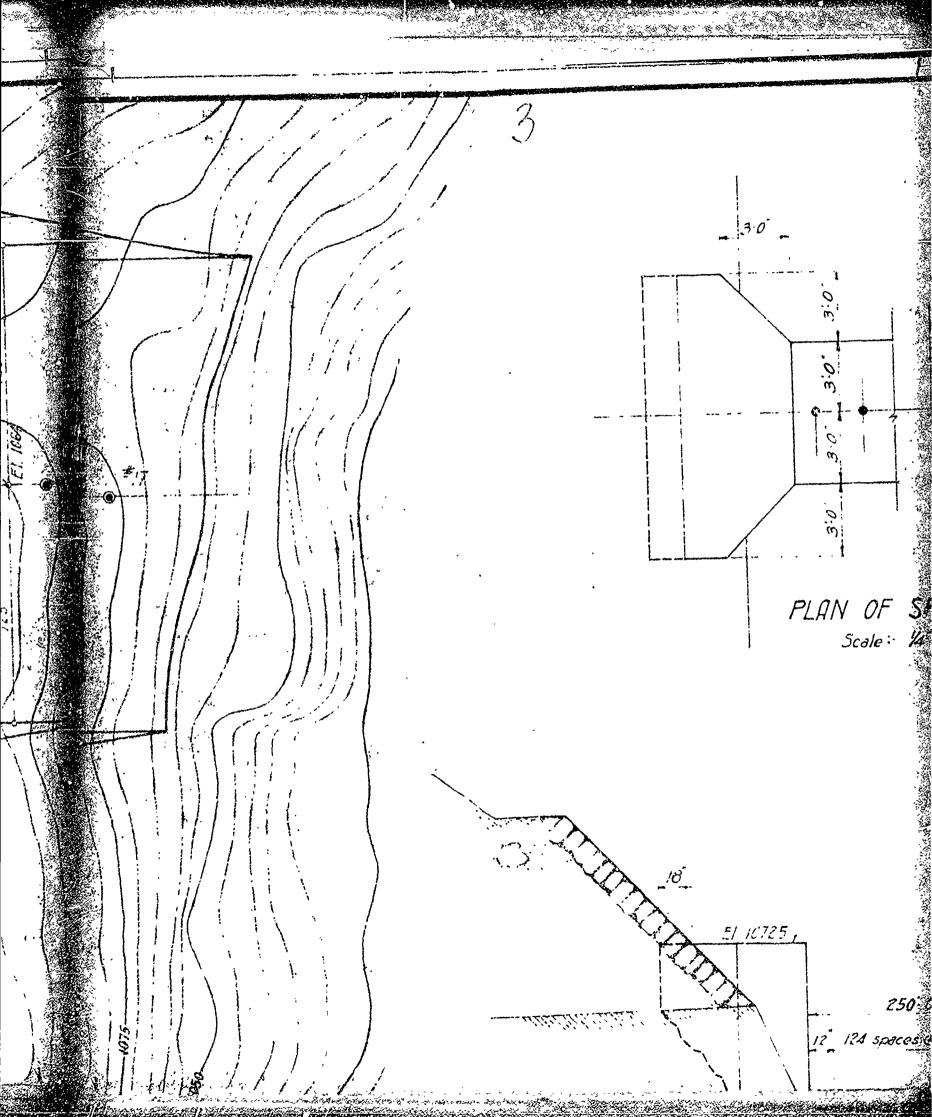
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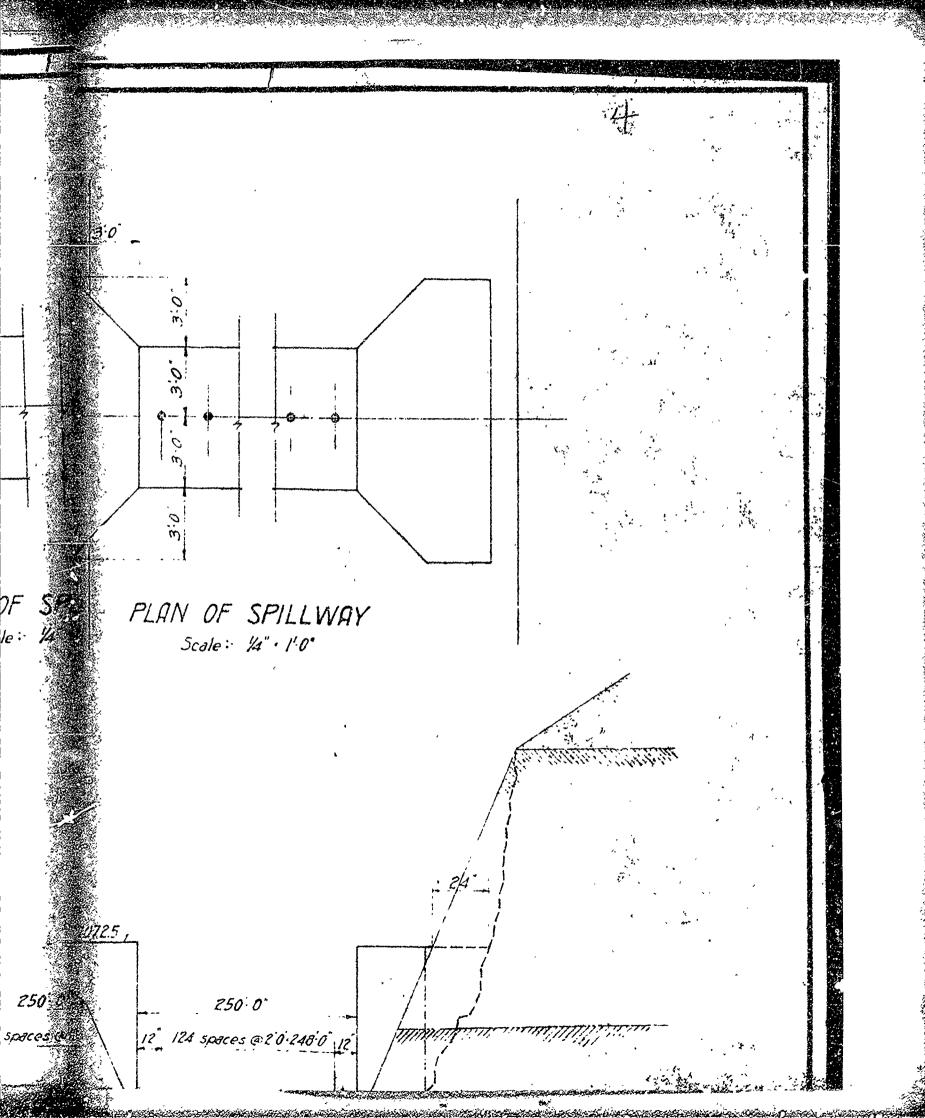
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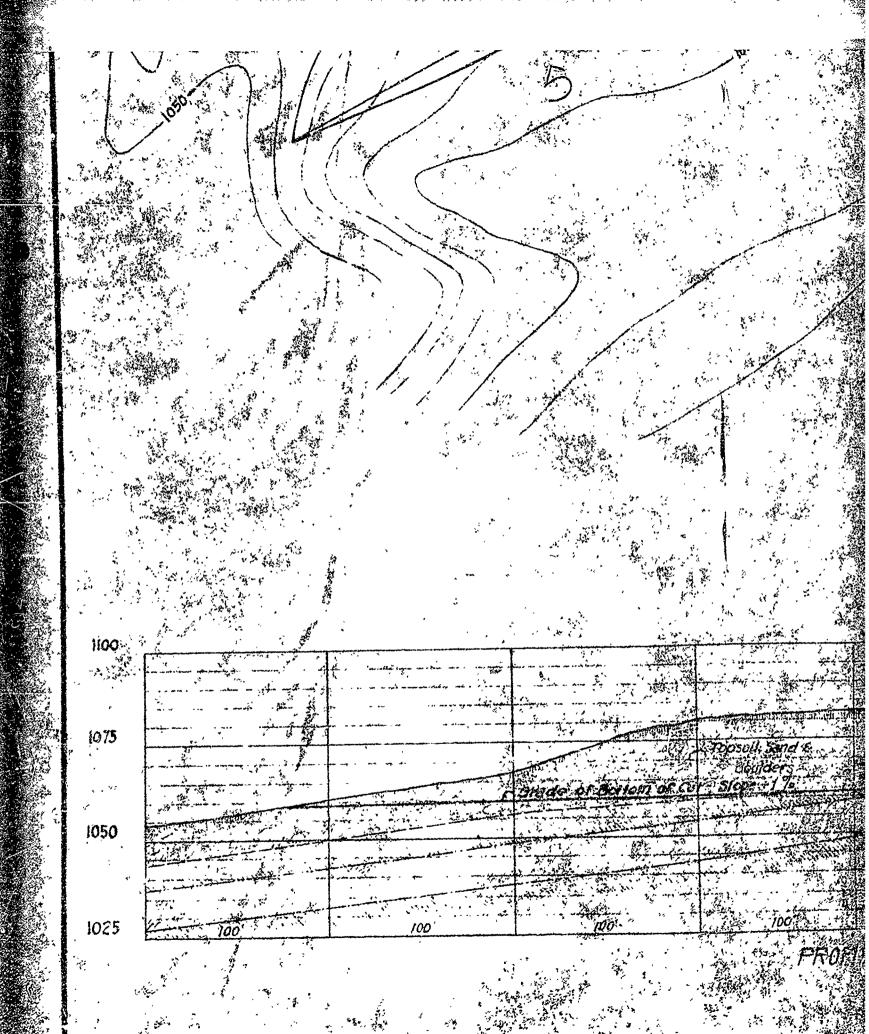
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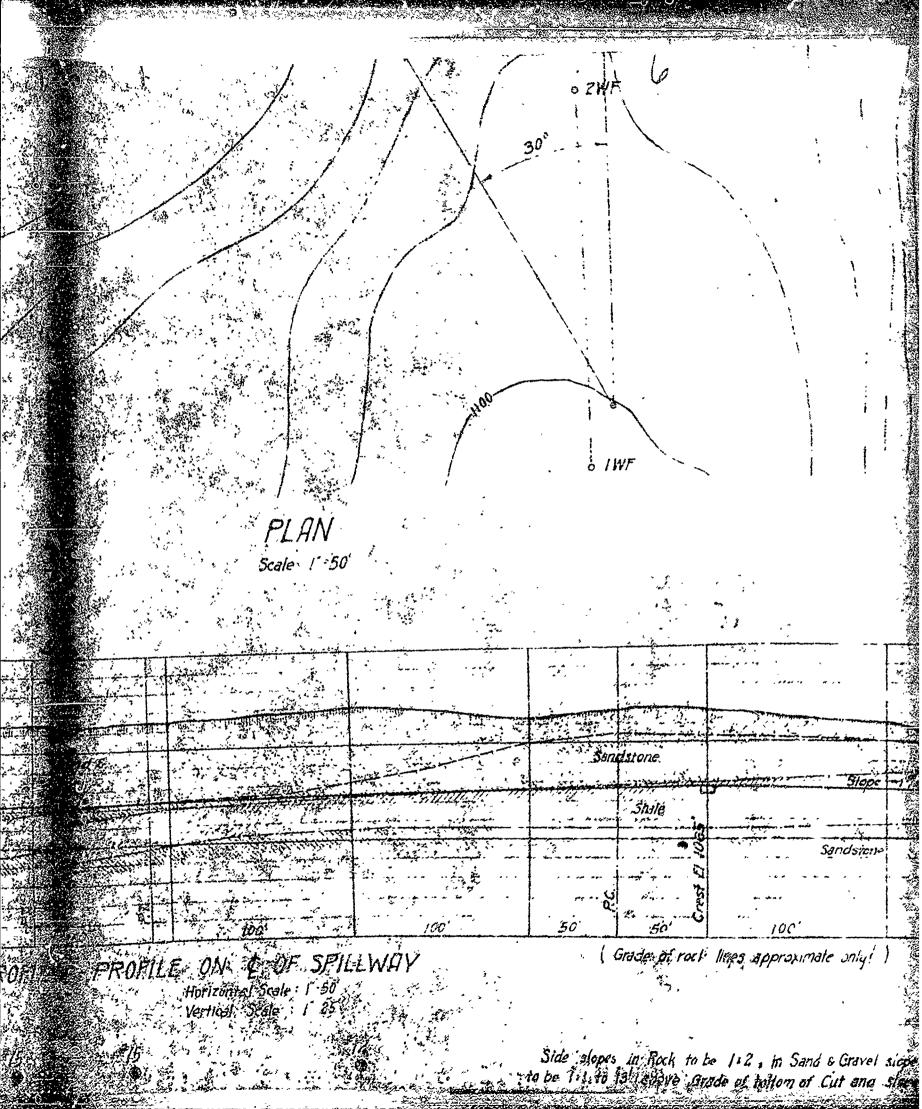


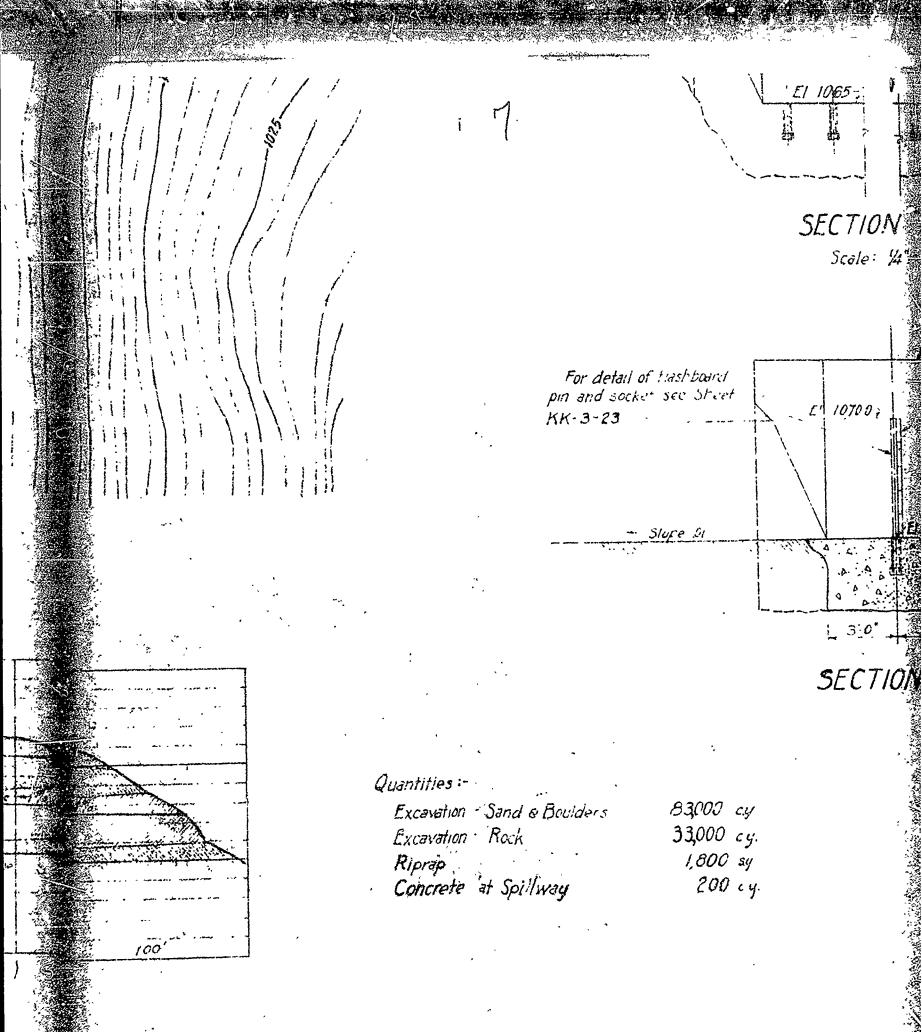


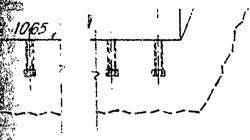






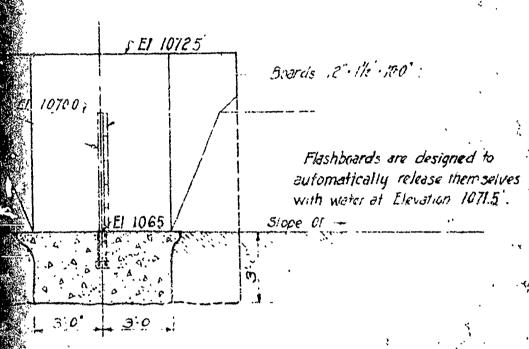






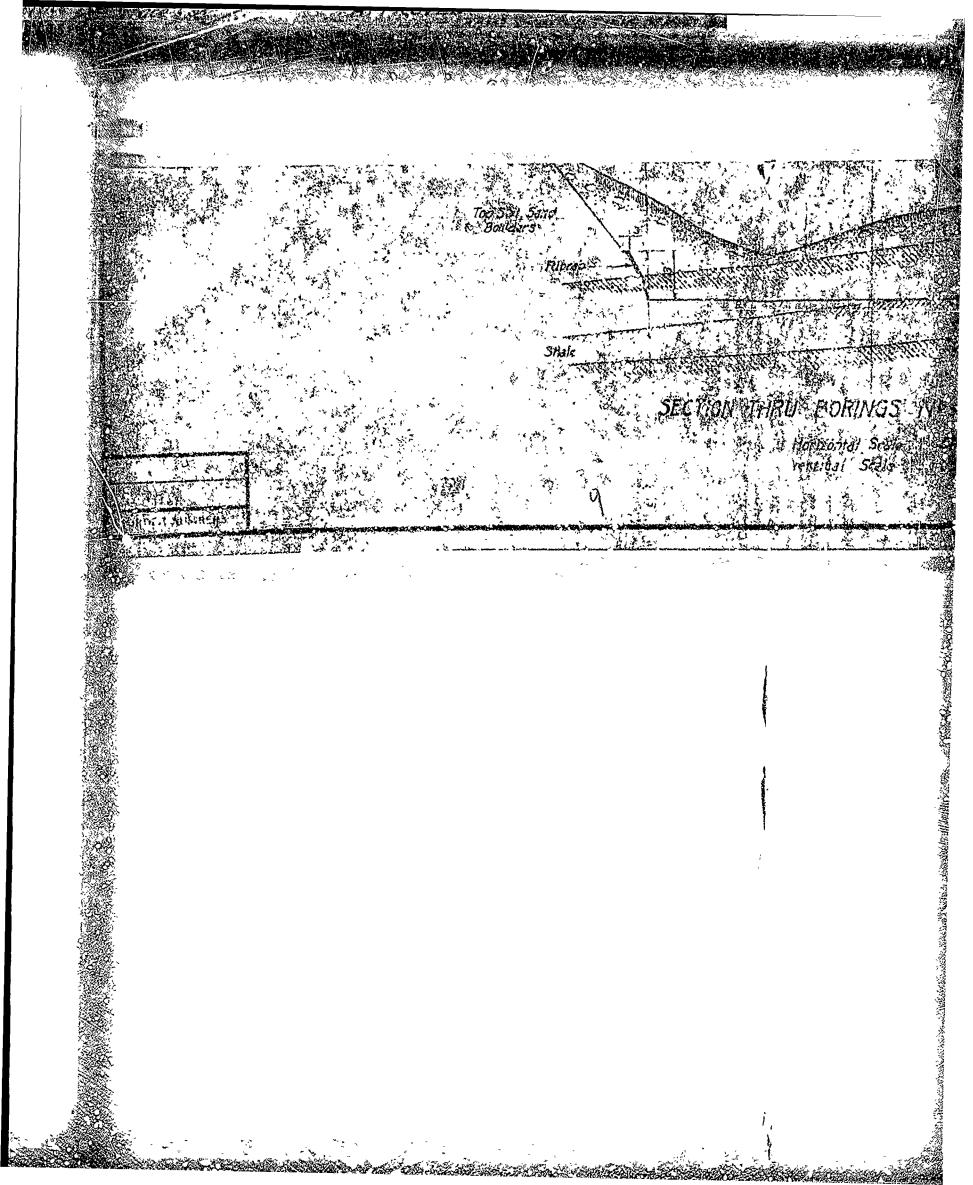
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SECTION BB

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CHAS. T. MAIN, CONSULTING ENGR.
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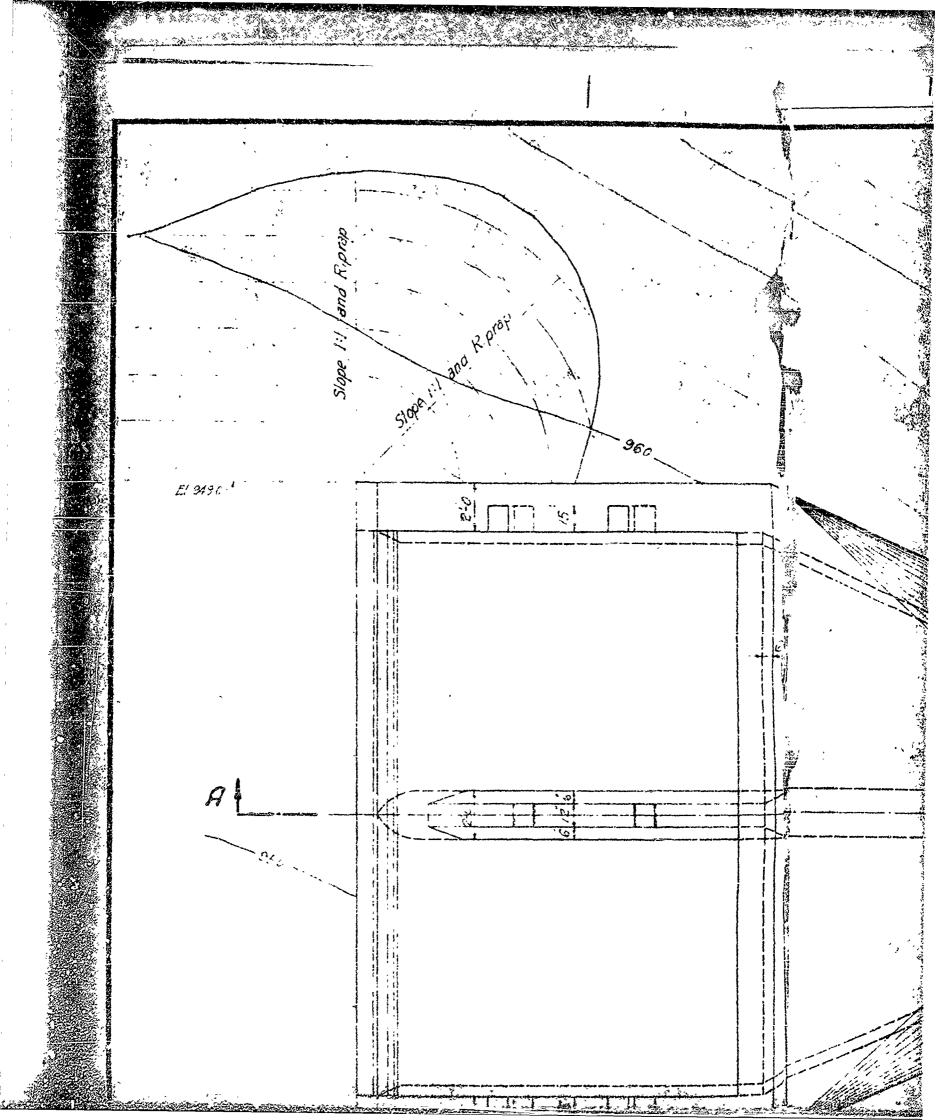
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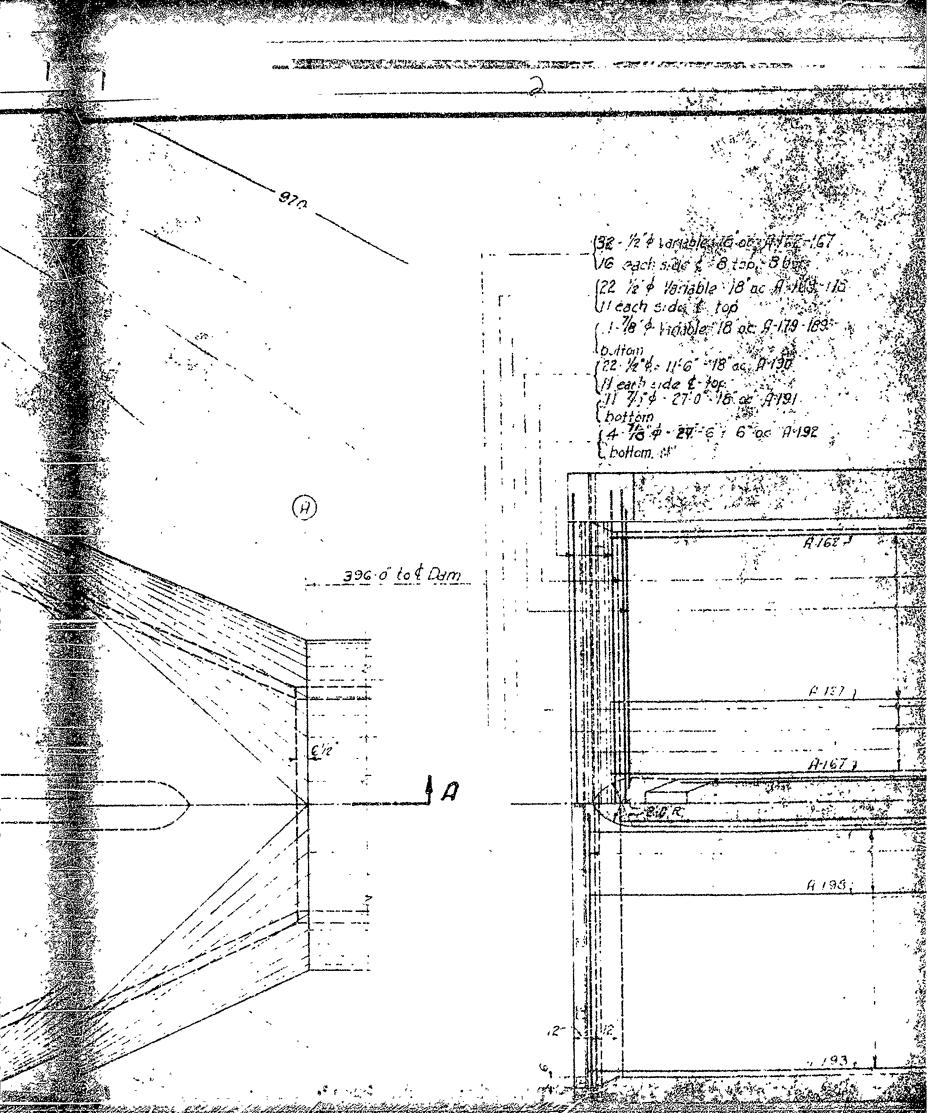
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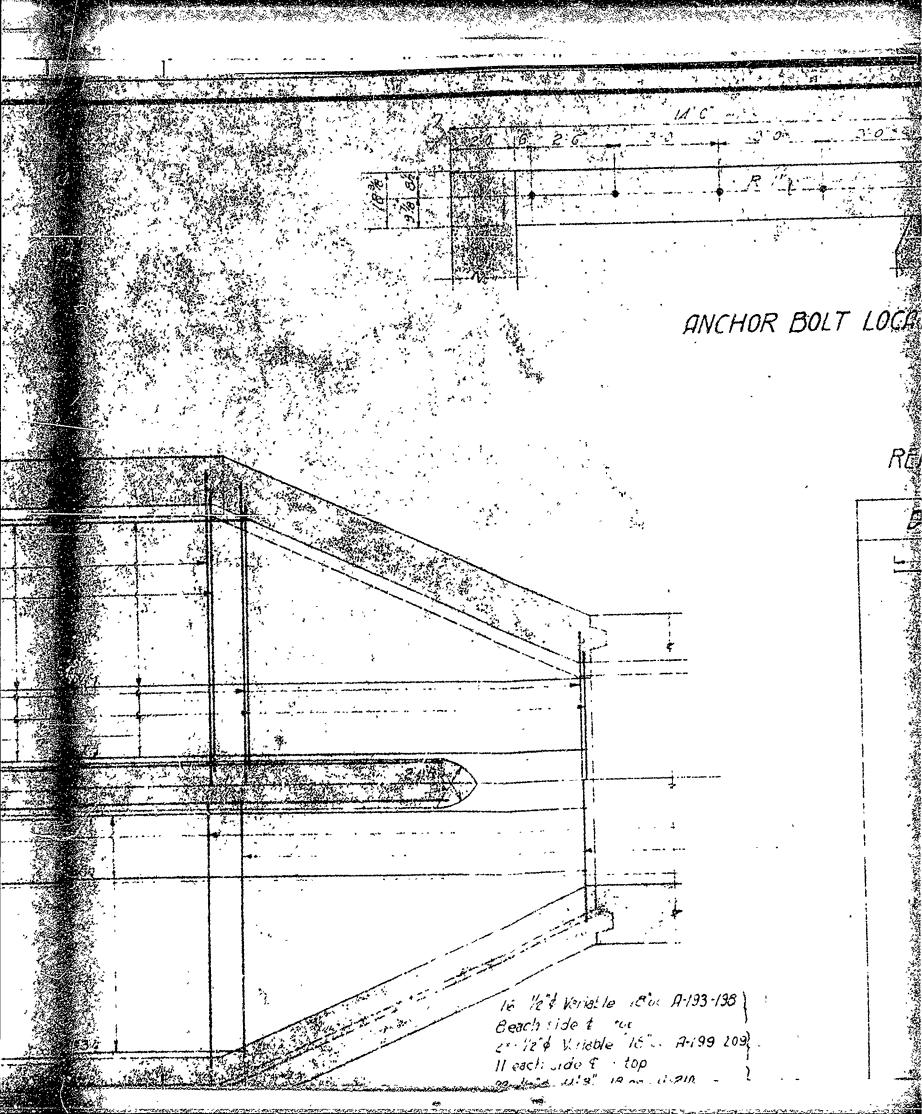
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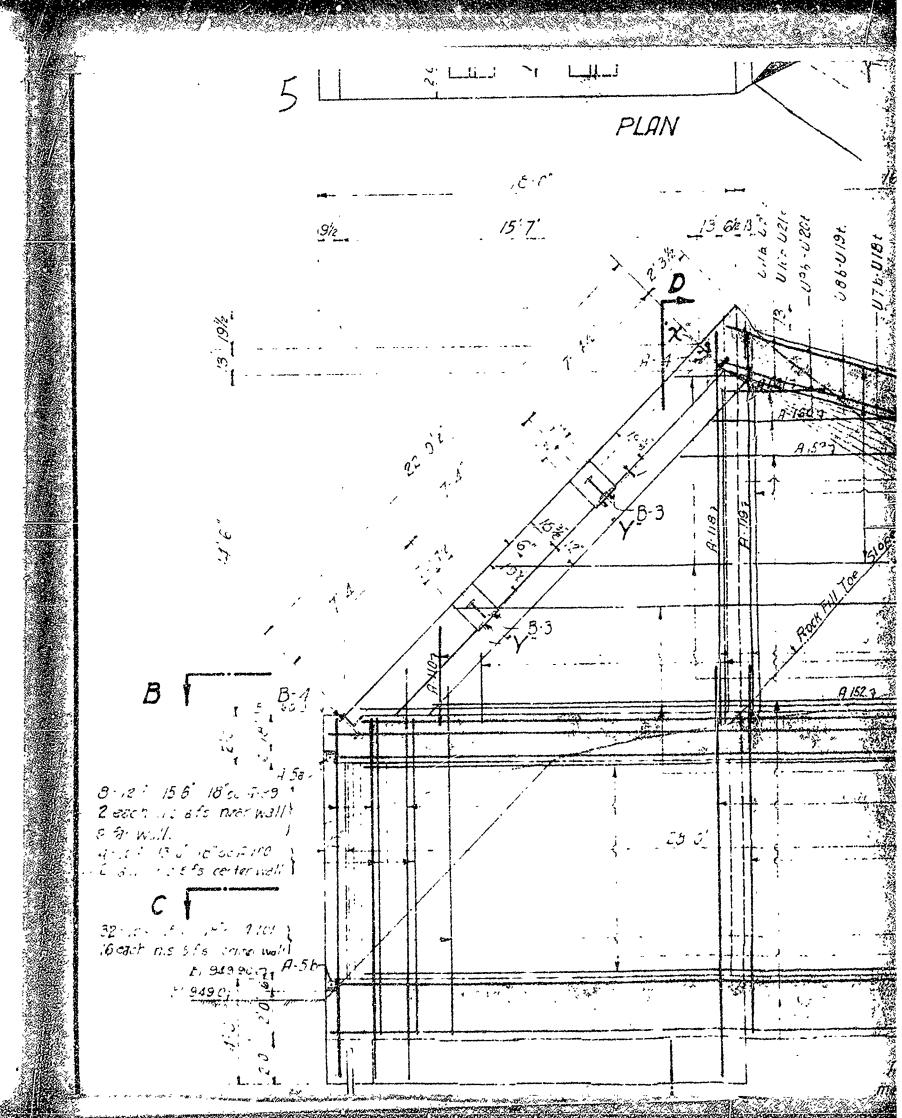


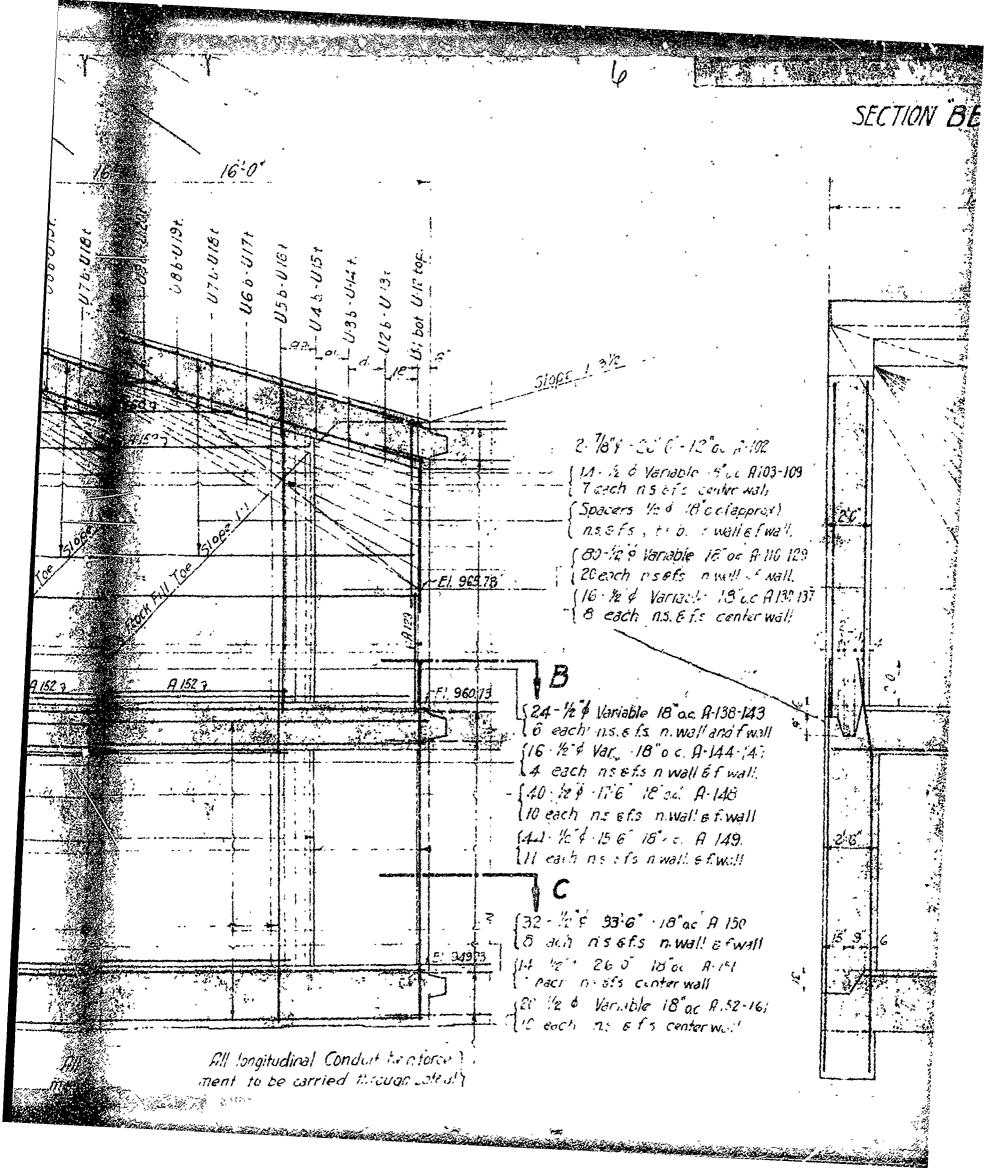


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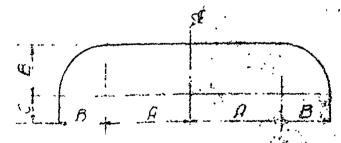


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BENDING TABLE FOR U-1 to U-22

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() ()	$U \cdot I$	C-0*	5'3"	/	18' €"	U 2	(É	60	1-0	£3'-3"
	UZ	: 2"	49	ĿŰ	19.3"	U 13	1:9"	5:9"	10"	23 9
î	U 3	2:5	4.4	10	29.0	U-14	3: 2"	51	1.00	24:3"
	U 4	35	3.10	1:0	21:0"	U-15	4.6	4.5	1:-("	25.0
	U 5	4'8"	3:5	10"	21: 9"	U 16	5'1/	3.8	1.0	25` 3"
	UC	5 8"	2:16	!! O*	22.6"	U 17	7'3'	3'0"	4-C"	25:9.
	U 7	£ 9	2-5"	1. D	23' 3"	U-16	8.7	2.3	10	-26:3
	\mathcal{U}	72	1'11	/ <u>'</u> -6"	24 0"	U 13	9' /'°	1:7"	1:0	26-9"
	U 3	90	″ €	1.0	24' 9"	U-20	11:4	0'10	1:0."	27/3"
	$U \cdot \mathcal{C}$. 2"	1:0	/· l³*	25 €	U · 21	12'-8"	0.2"	10	27 9"
	(1)	1.5	û 7°	1:0"	26' 3"	U-22	13.6"	.0'-G"	f: C"	29:0



TYPICAL U-BAR FOR TOP OF INTAKE

Use 16 4 See Above Table.

USE 15 Characte 124

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DO Notes:

Generate Mix 1:2:4 (2000**

All Reinforcing Steel to be 3 fron; face of forms

for Ceneral Escation & Connecting Structures

see drawings KK-3-17 & KK-5-26.

The Track Rack Steel Details see araw 24

MKT 2004.

THIS DRAWING COME PROPERLY OF CONFIDENCE TENNEY & CO.

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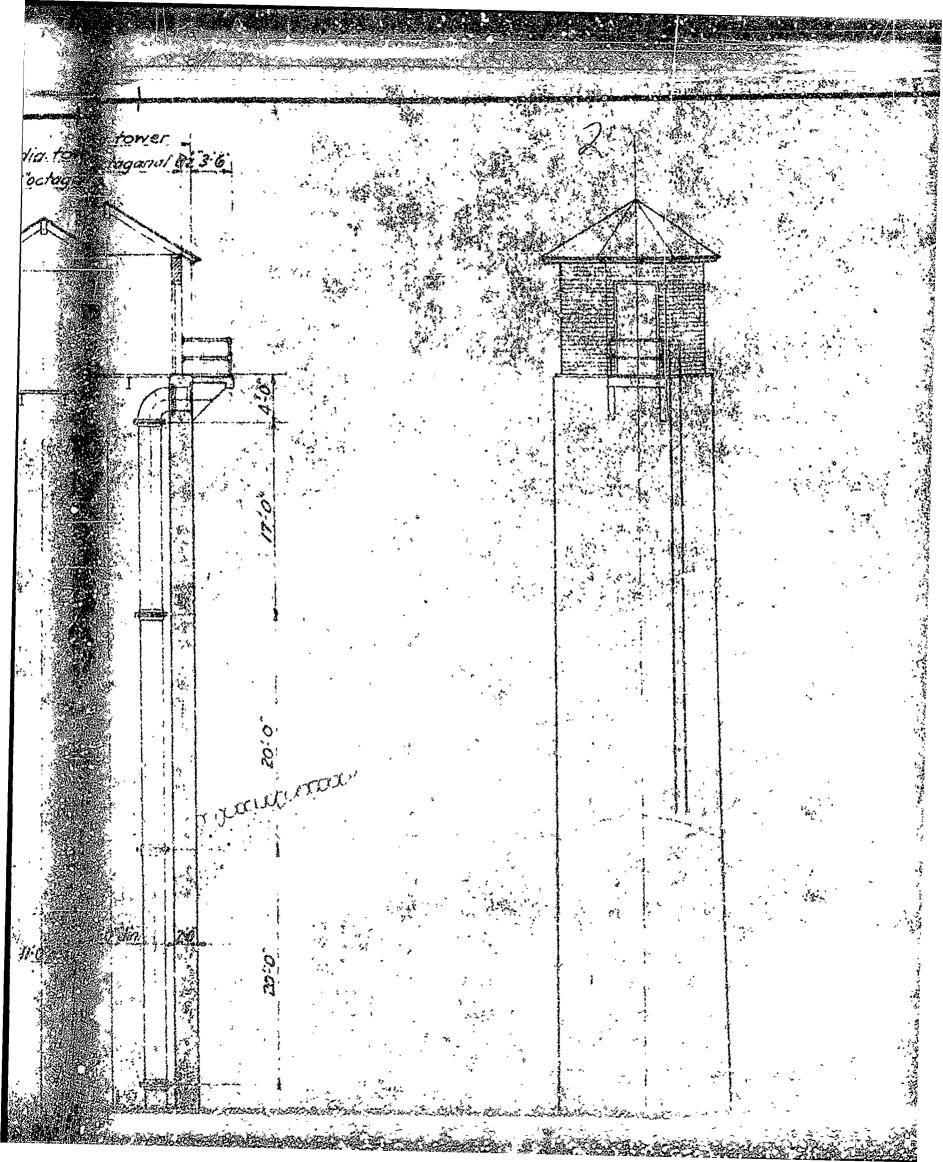
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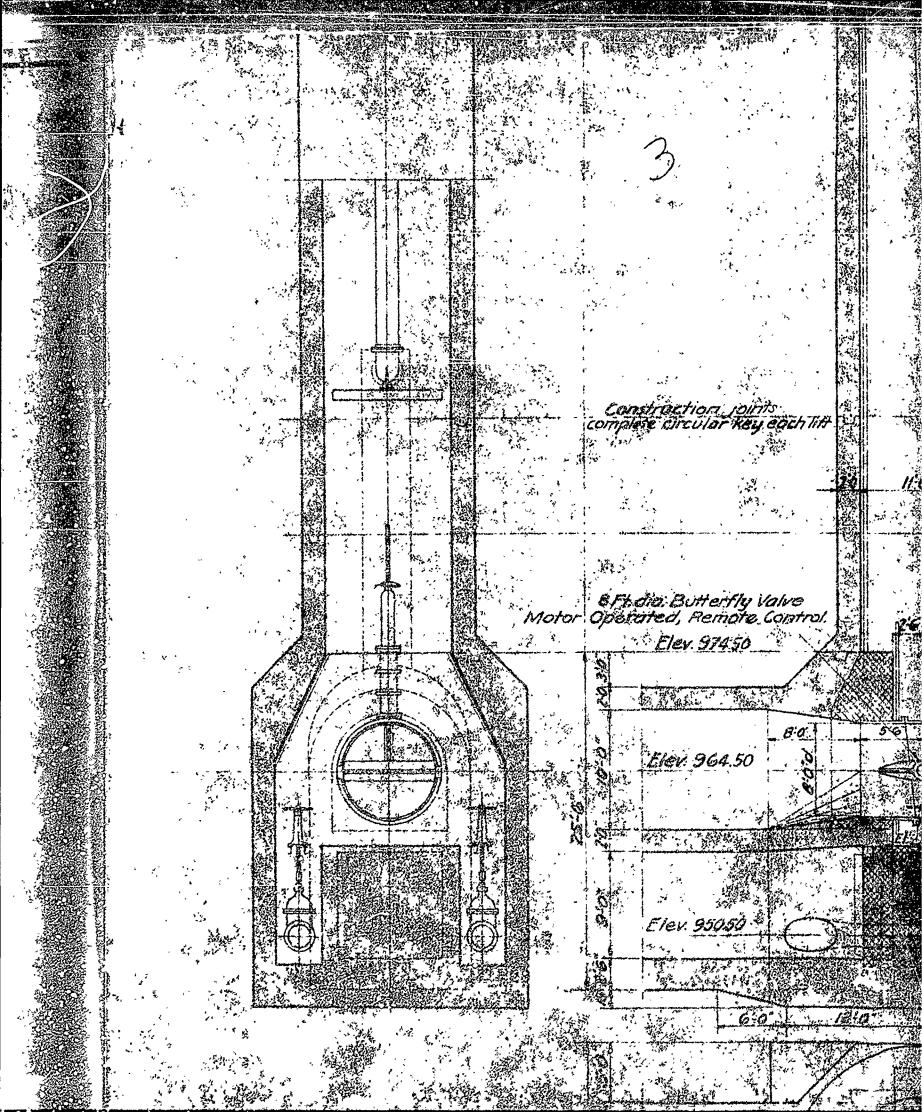
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ENGINEERS

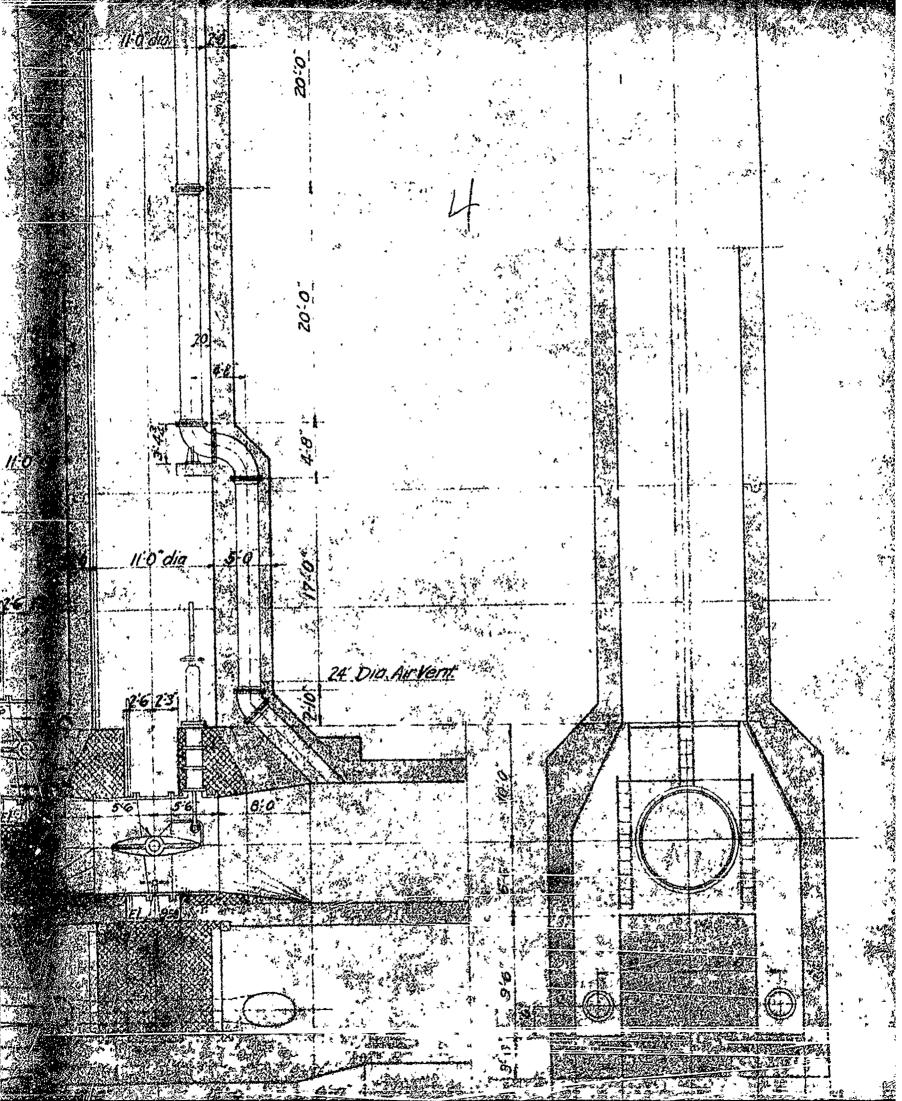
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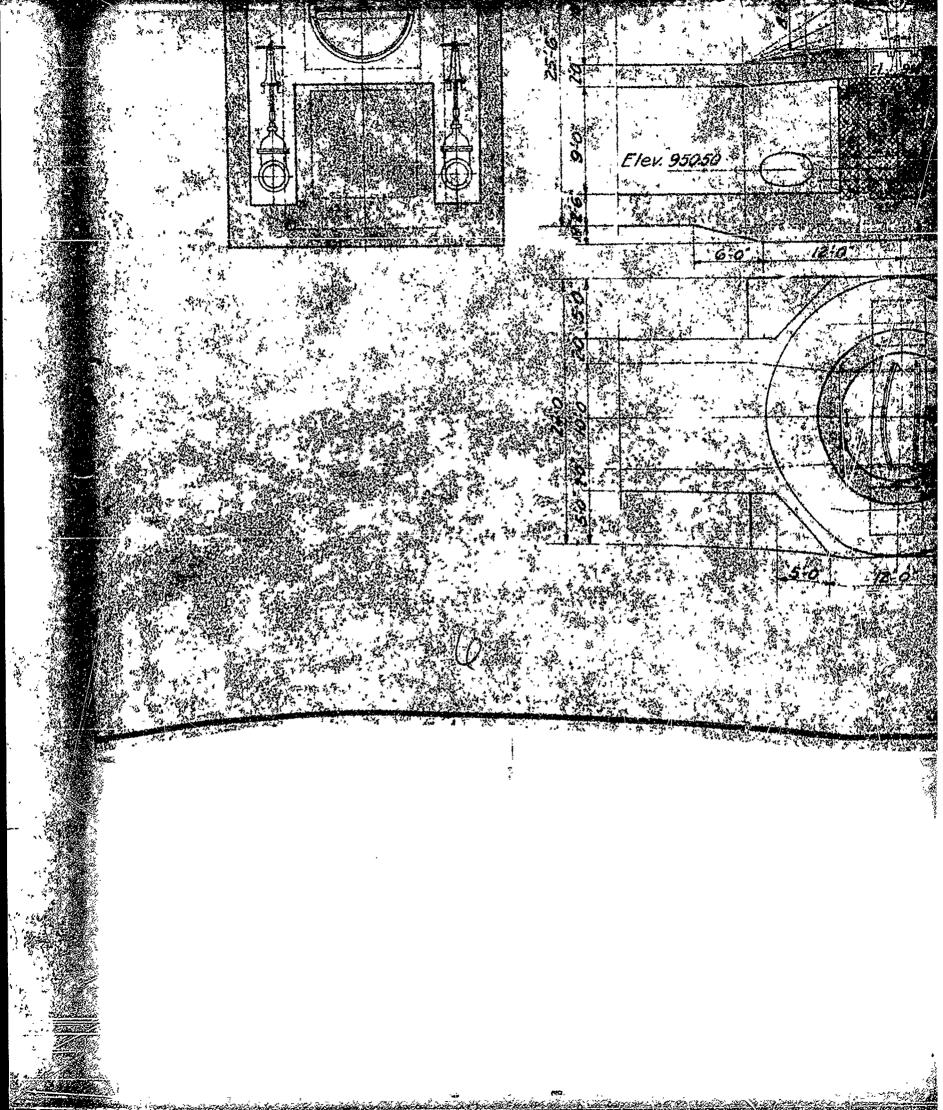
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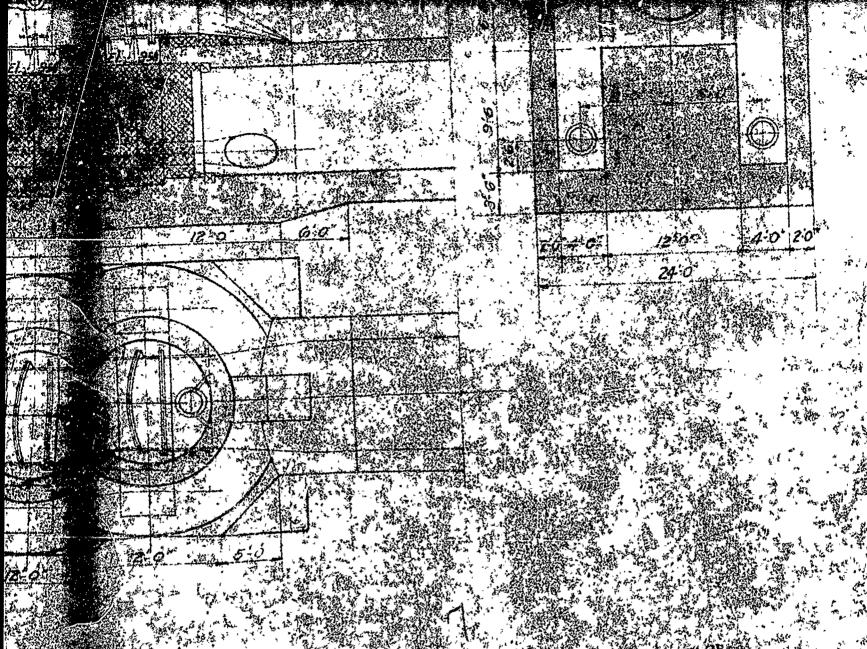
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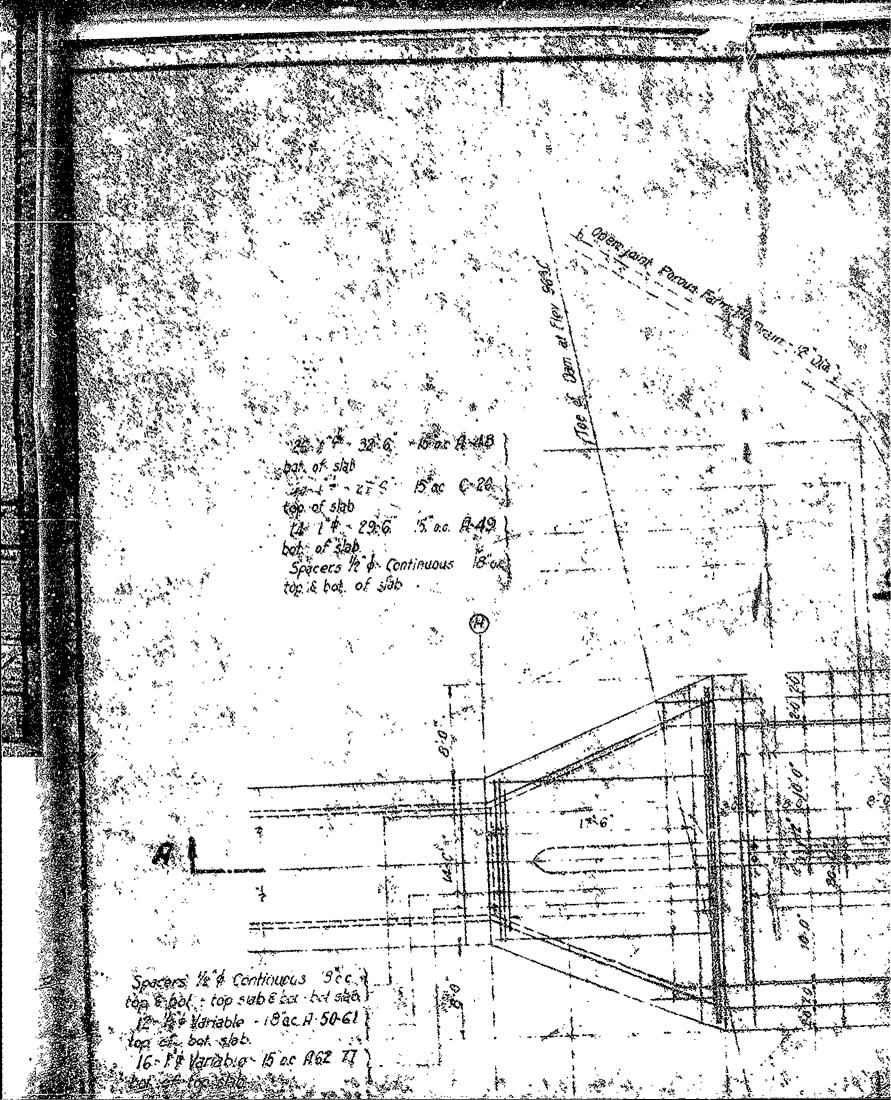


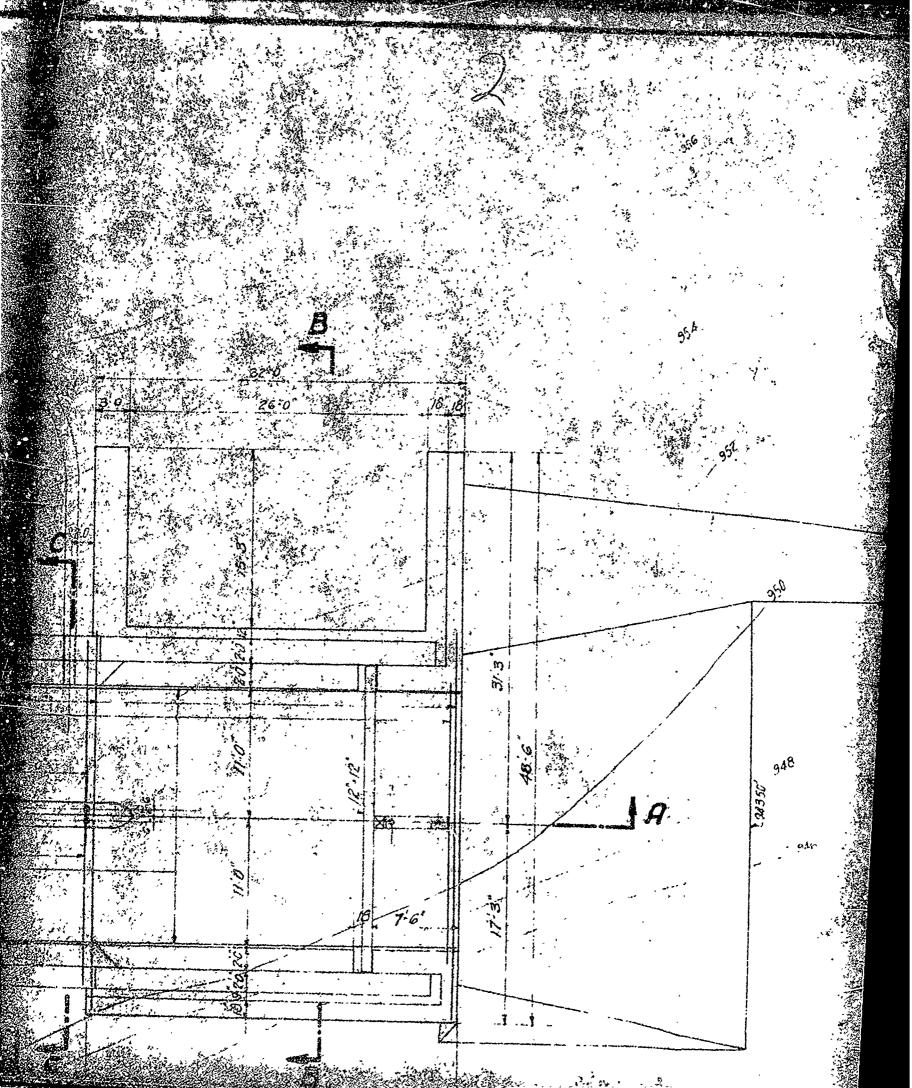
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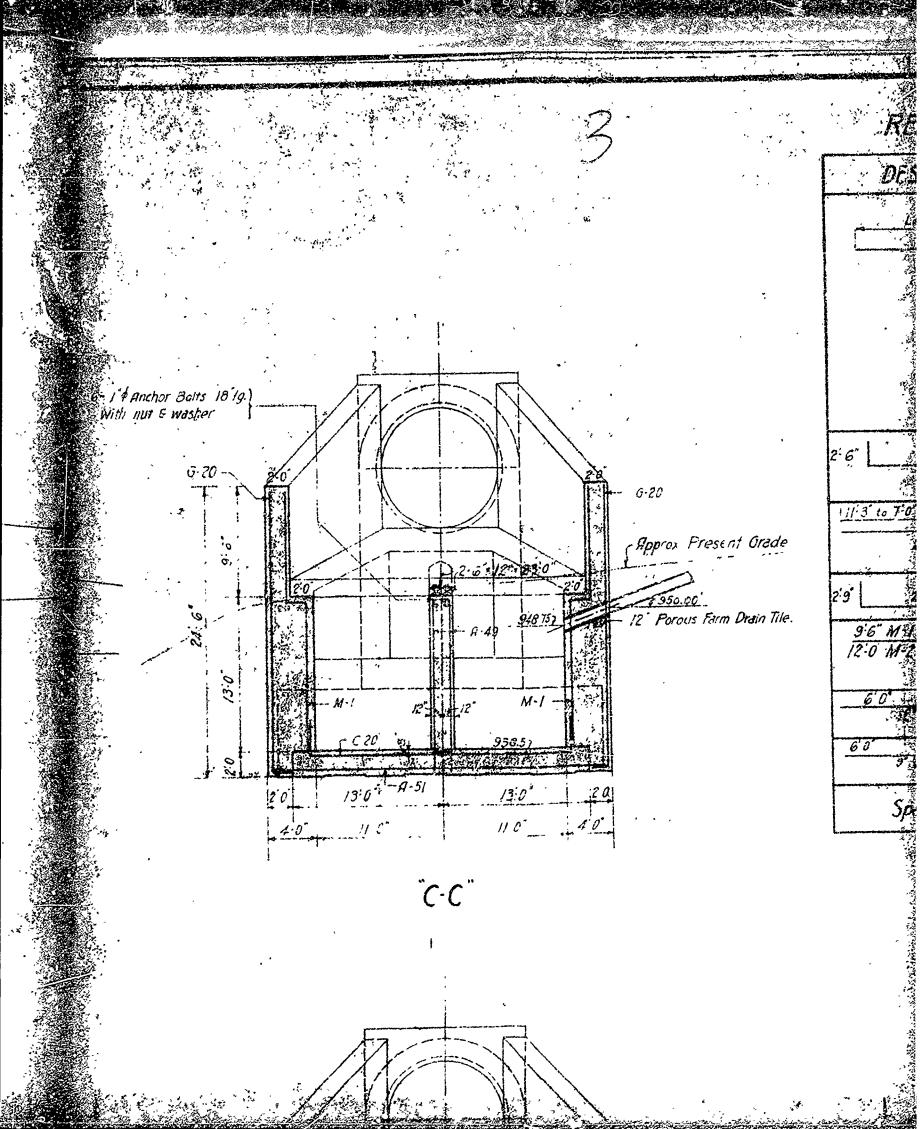
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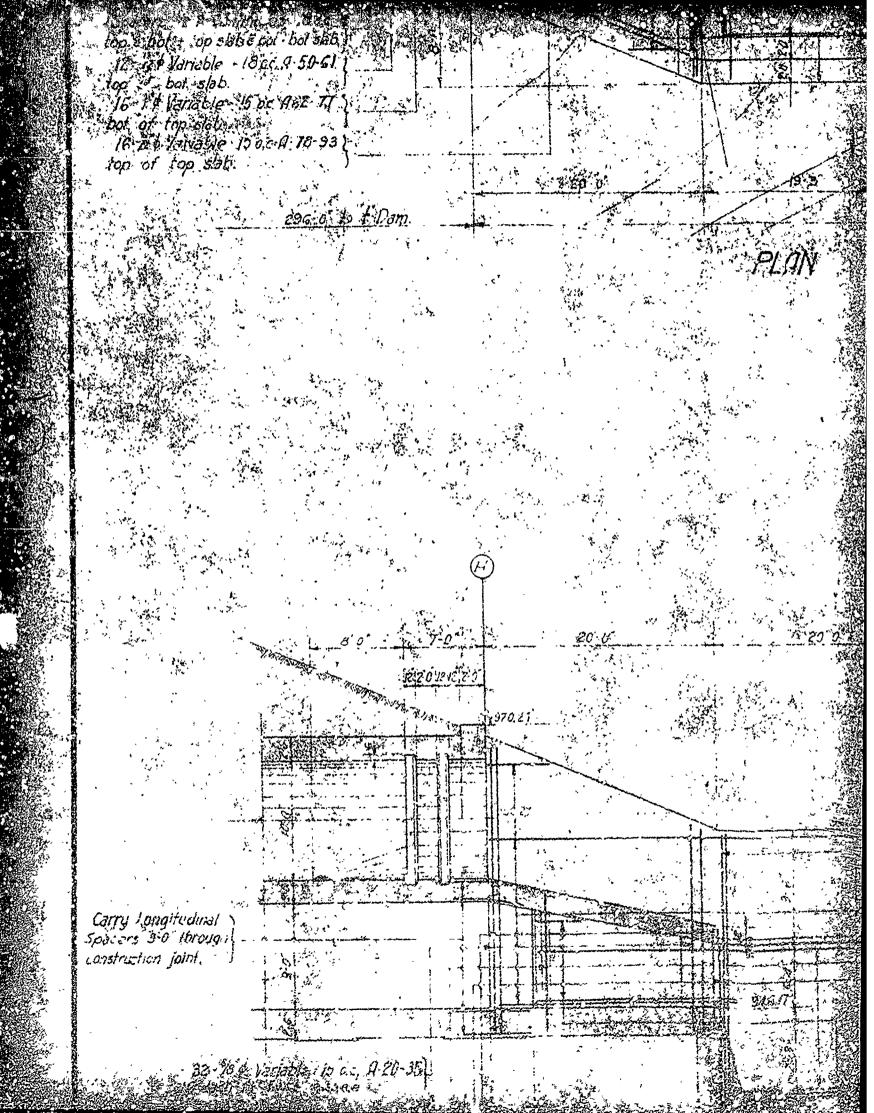
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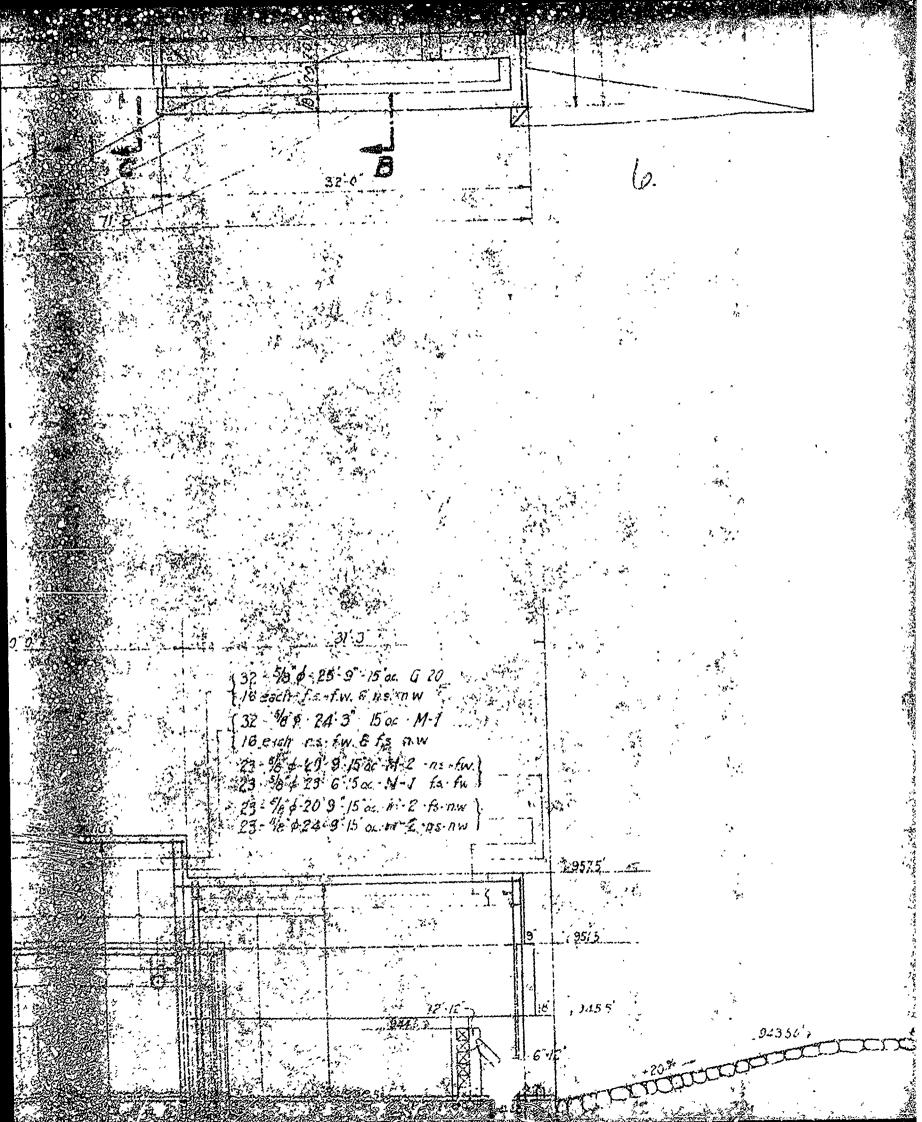
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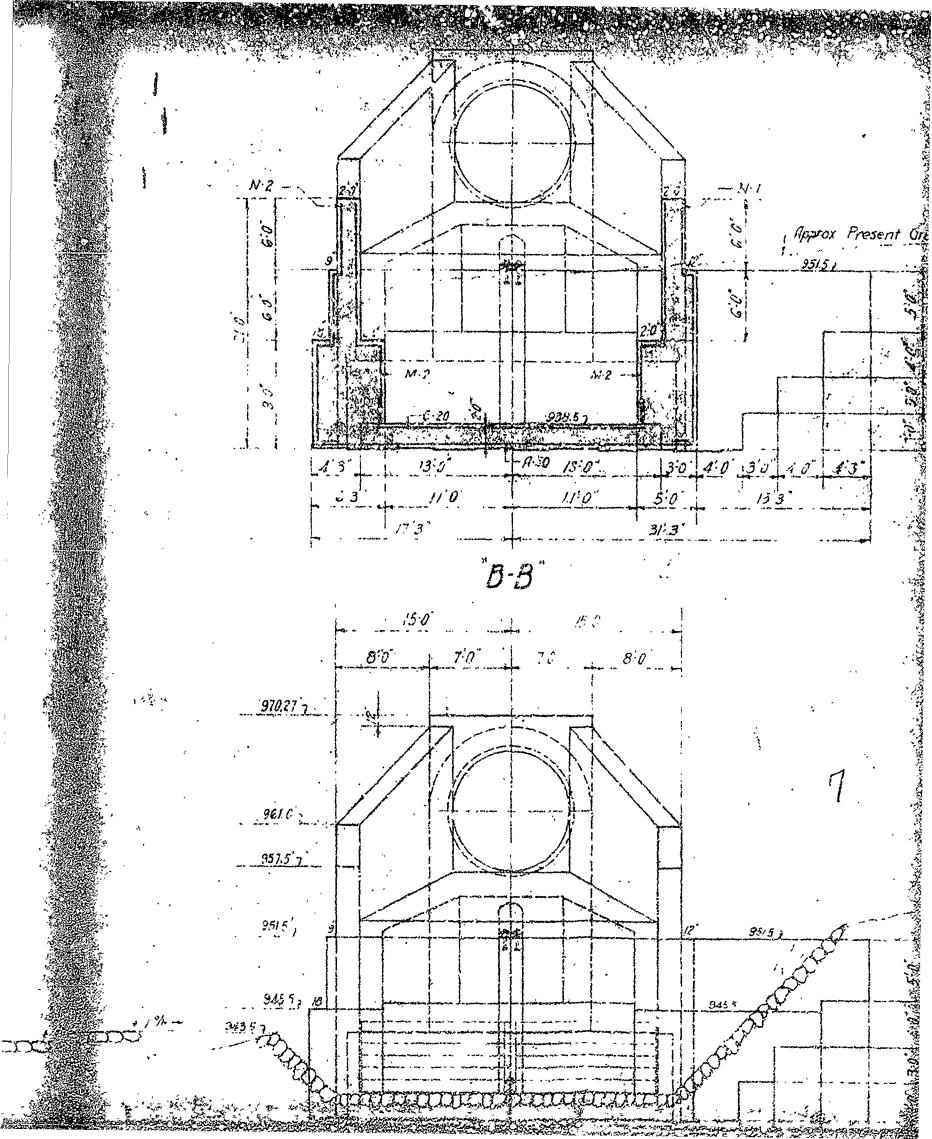
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Notes:

Concrete Mix 118-4 (2000*)

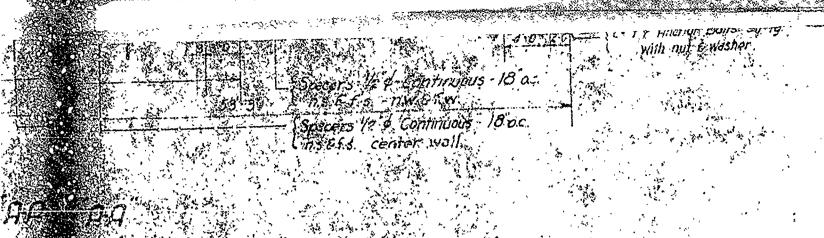
Bil Reinforcement to be a from face of forms

For General Location & Connecting Structures

see Drawings KK-3-17, KK-3-26 & KK-3-28

APPROVED: CHAS, T. MAIN, CONSULTING INST

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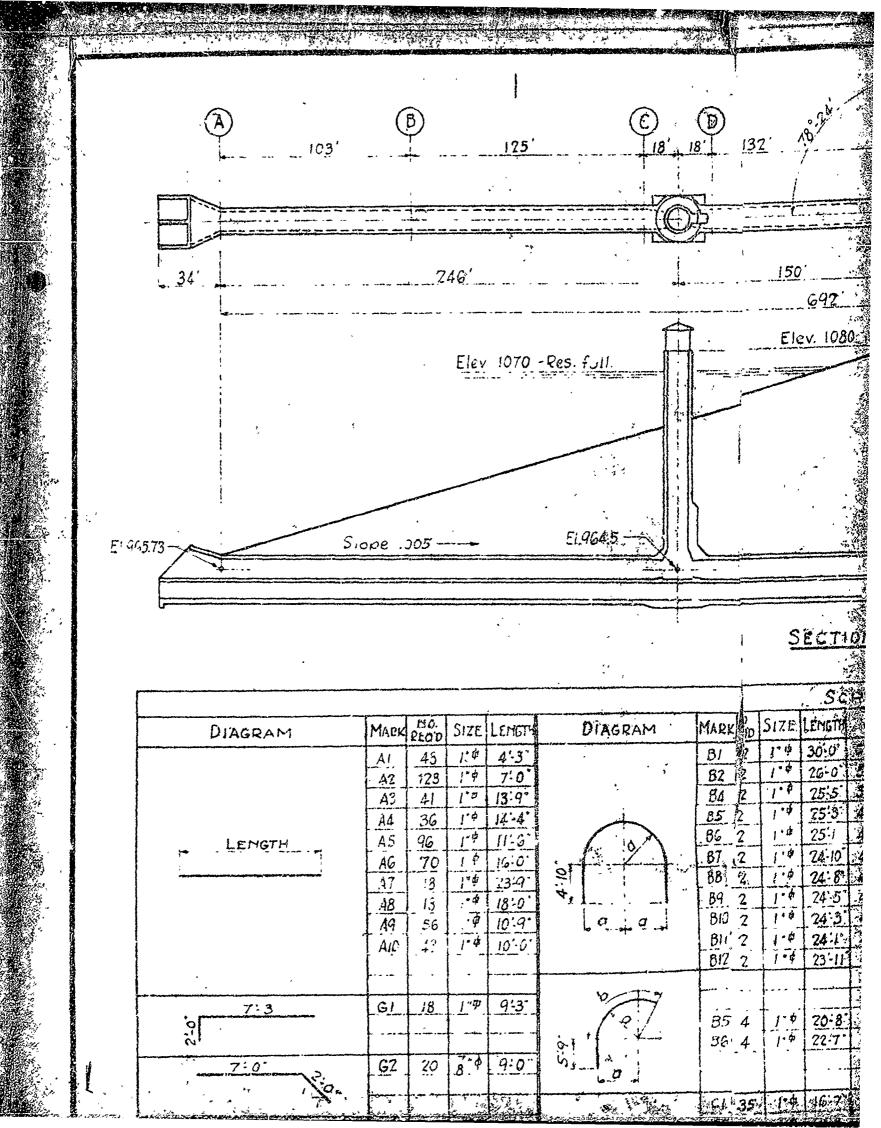
DOWNSTREAM ELEVATION

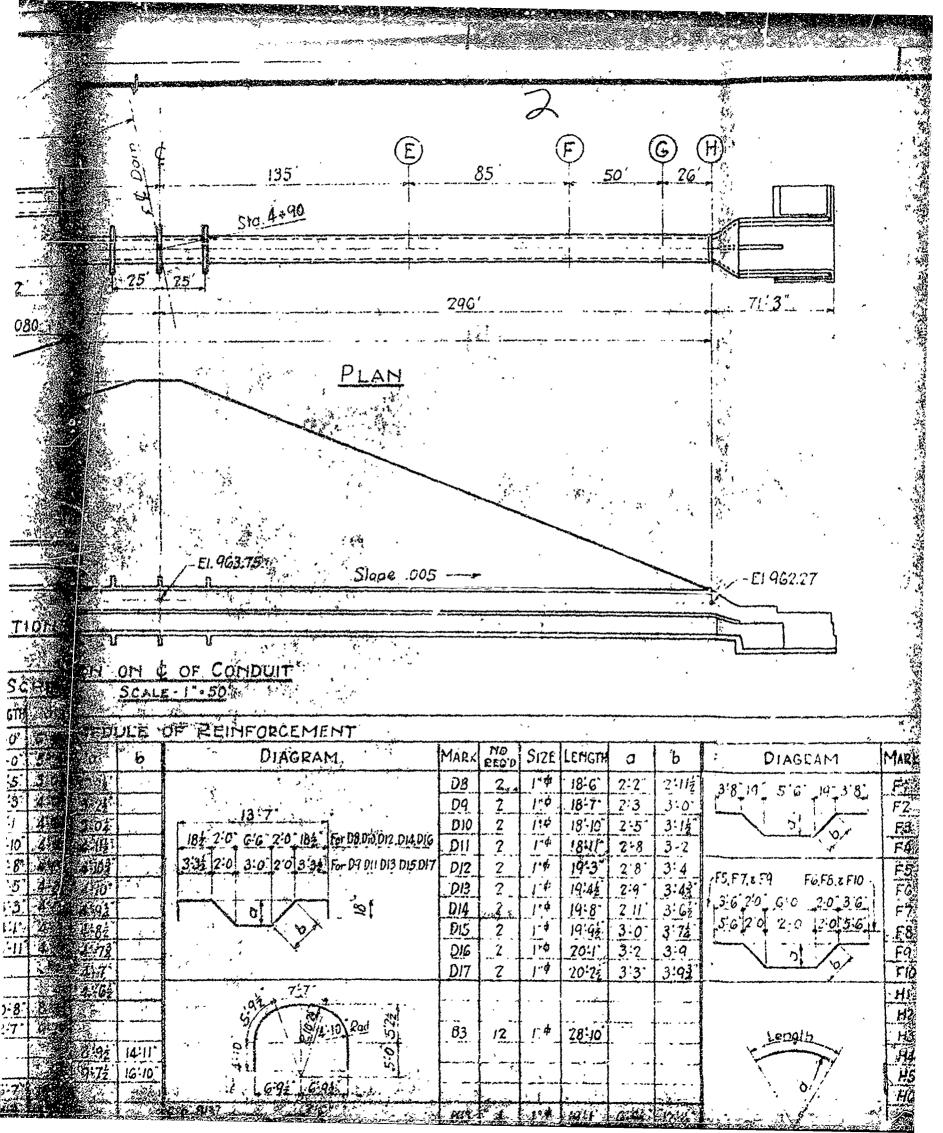
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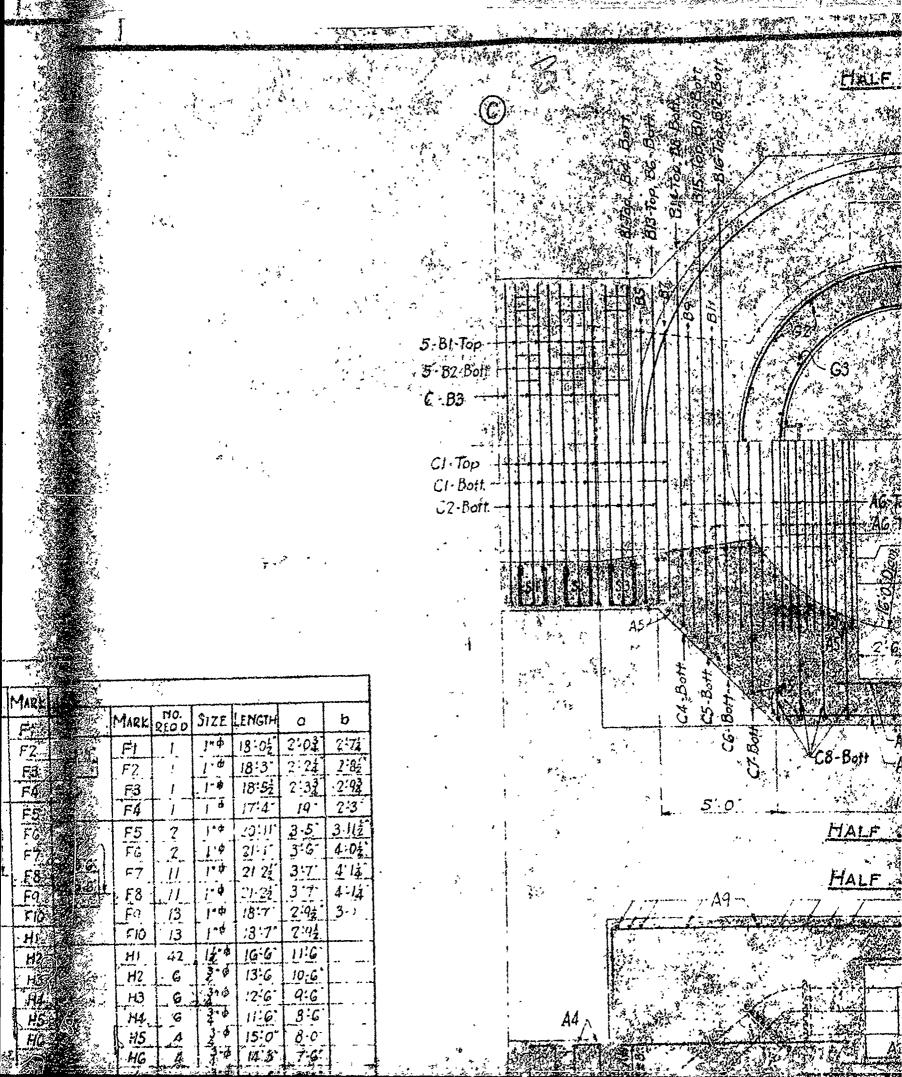
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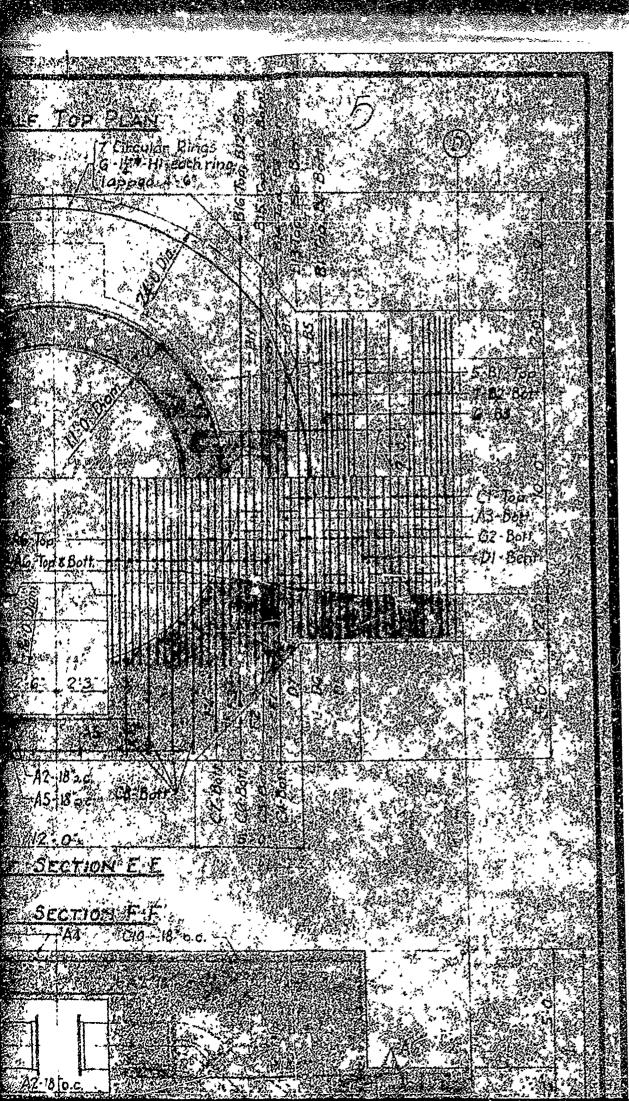
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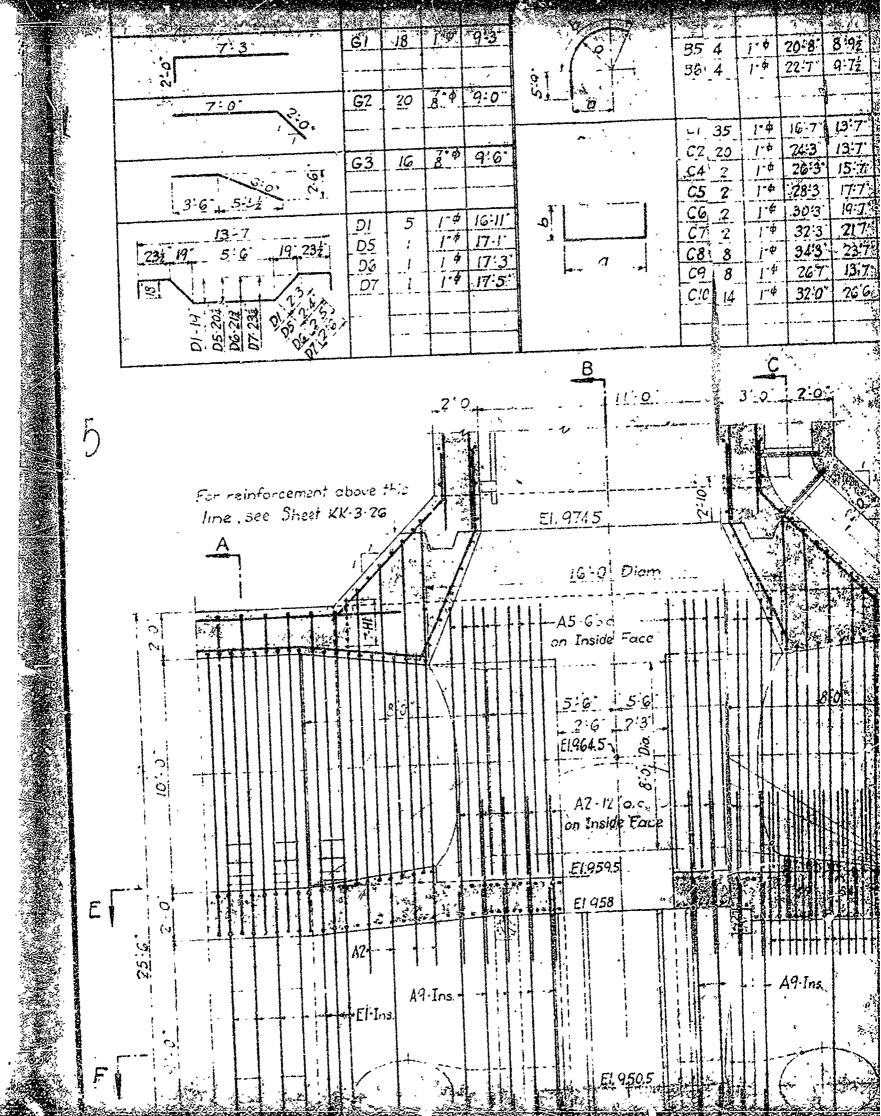
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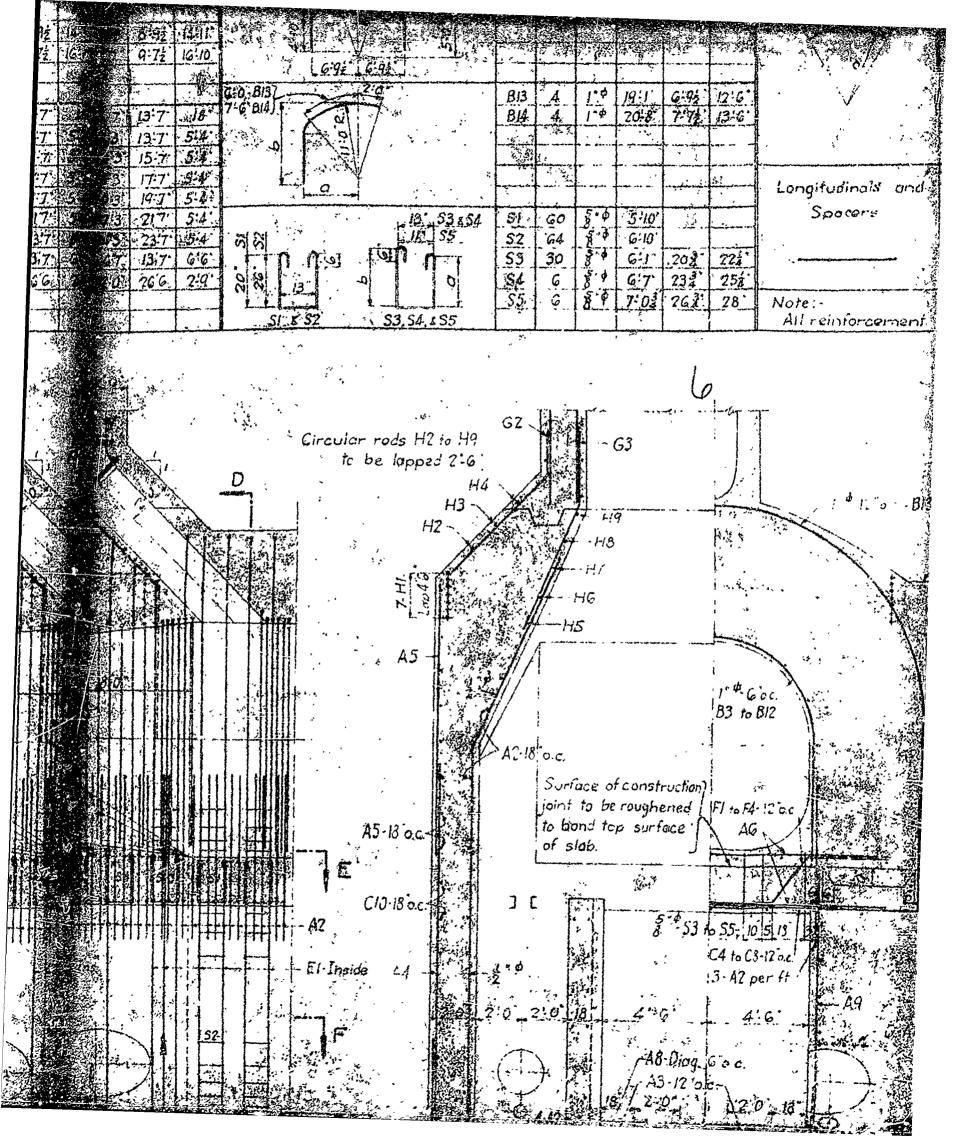


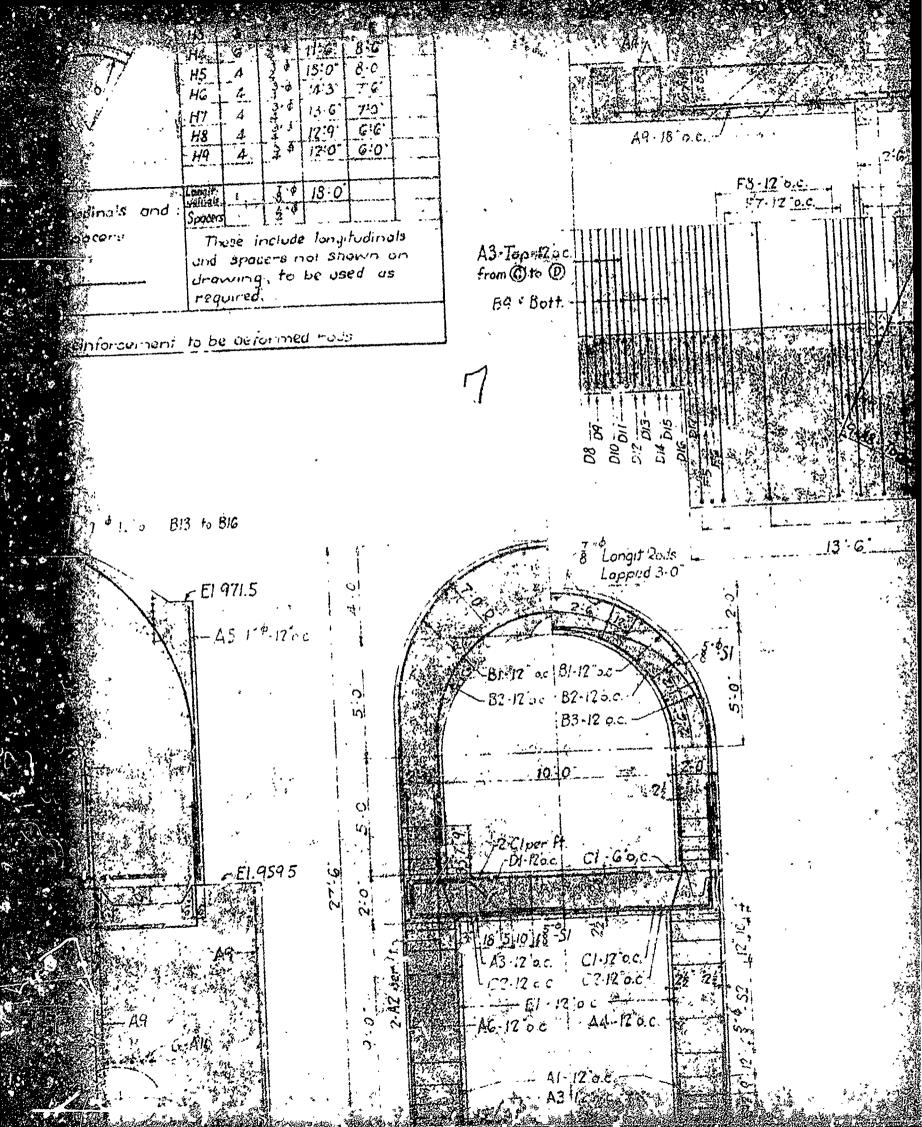


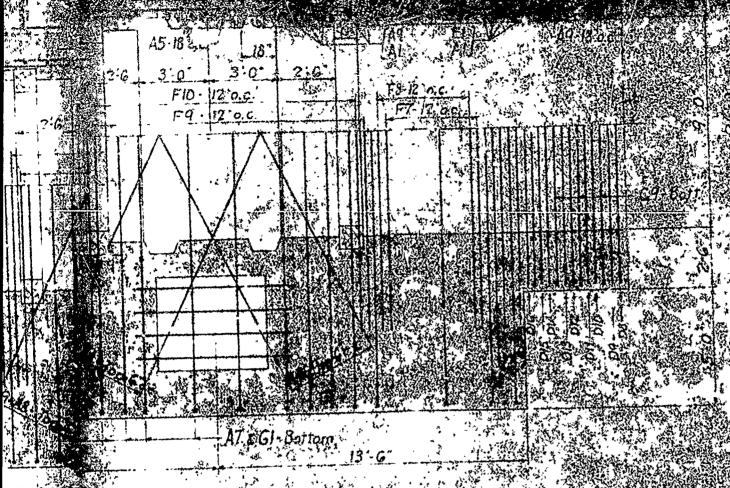












HALF BOTTOM PLAN

HOTES

Concrete Mix - 1:2:4

Concrete to be poured continuously between construction laints

All construction joints to be keyed, and channed still slushed with

1:2 pointland cement before converte is poured.

All longitudinal rods to be 8 with 3 in lab except as shown Spacers not shown on drawings to be 2 in sedice that the

REFERENCE DRAWINGS

KK 3-21 GATE TOWER .

KK- 3- 22 GATE TOWER - TEMPORARY MATES

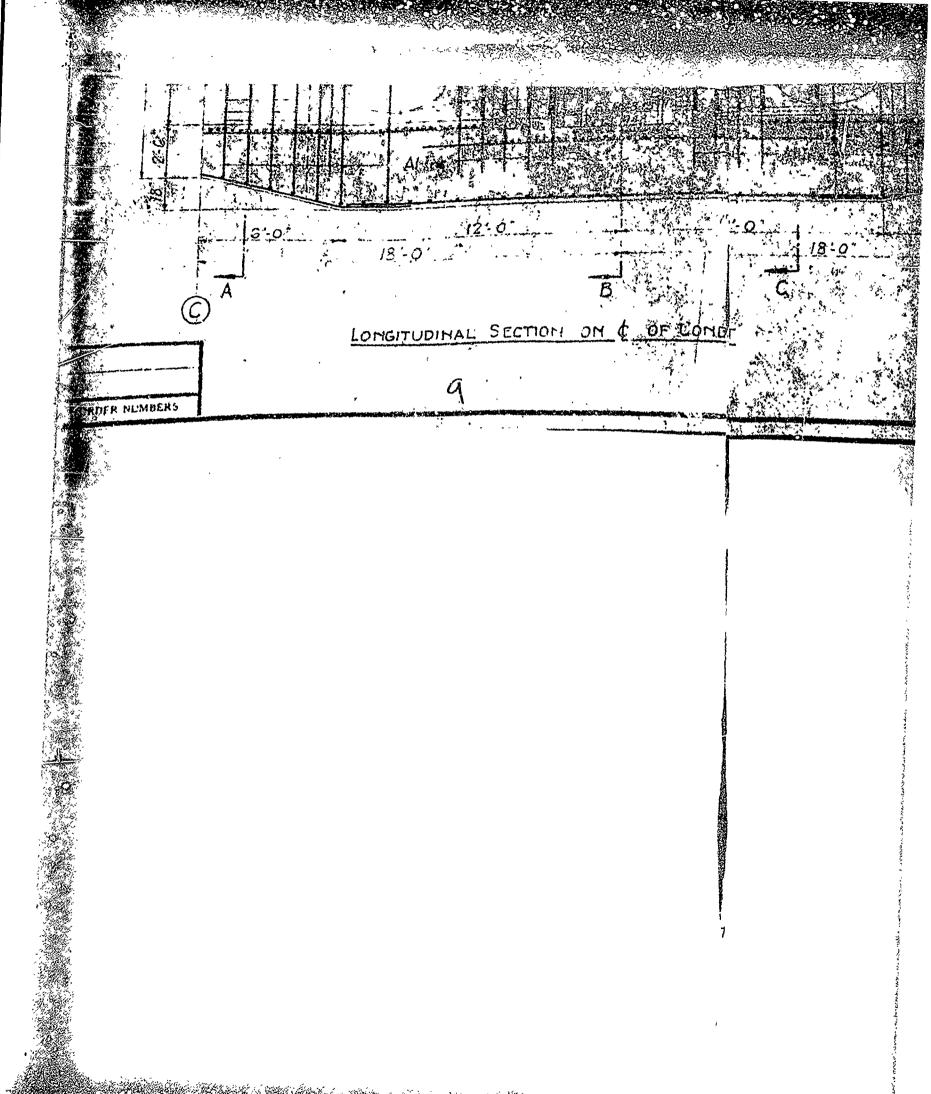
VK-3. 23 S. S. EEL DETRICE STEEL

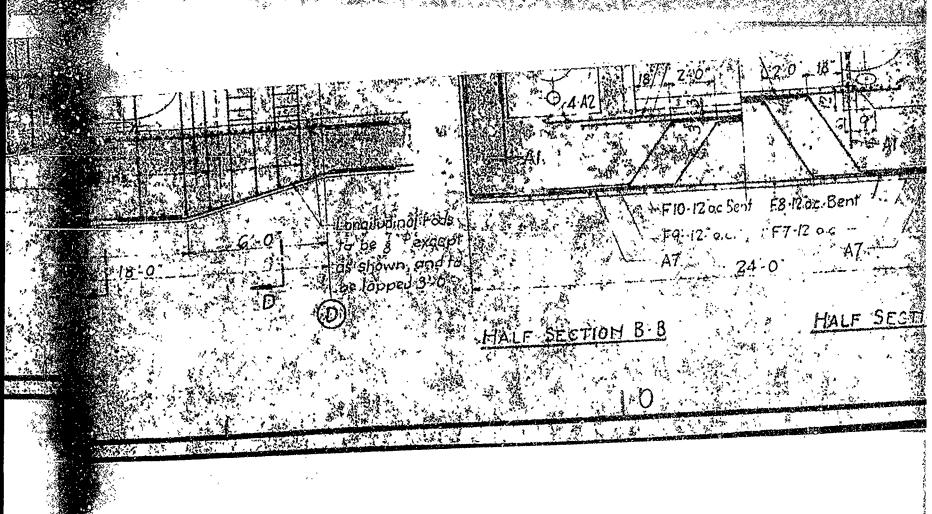
KK-3:-24

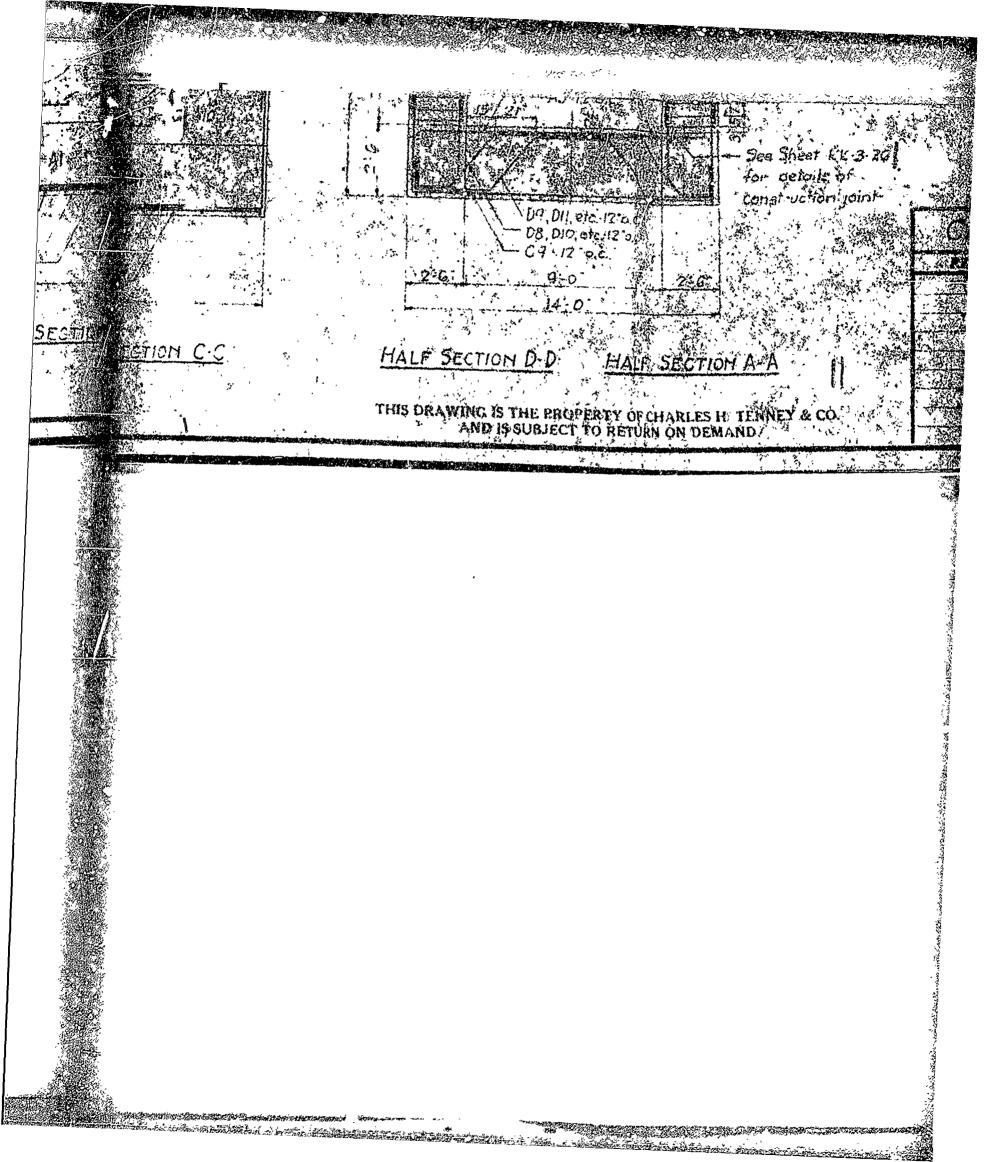
KK-3-26 CONDUIT - SHEET

APPROVED

CHAS. T. MAIN CONSULTING ENGINE 200 DEVONSHIRE ST

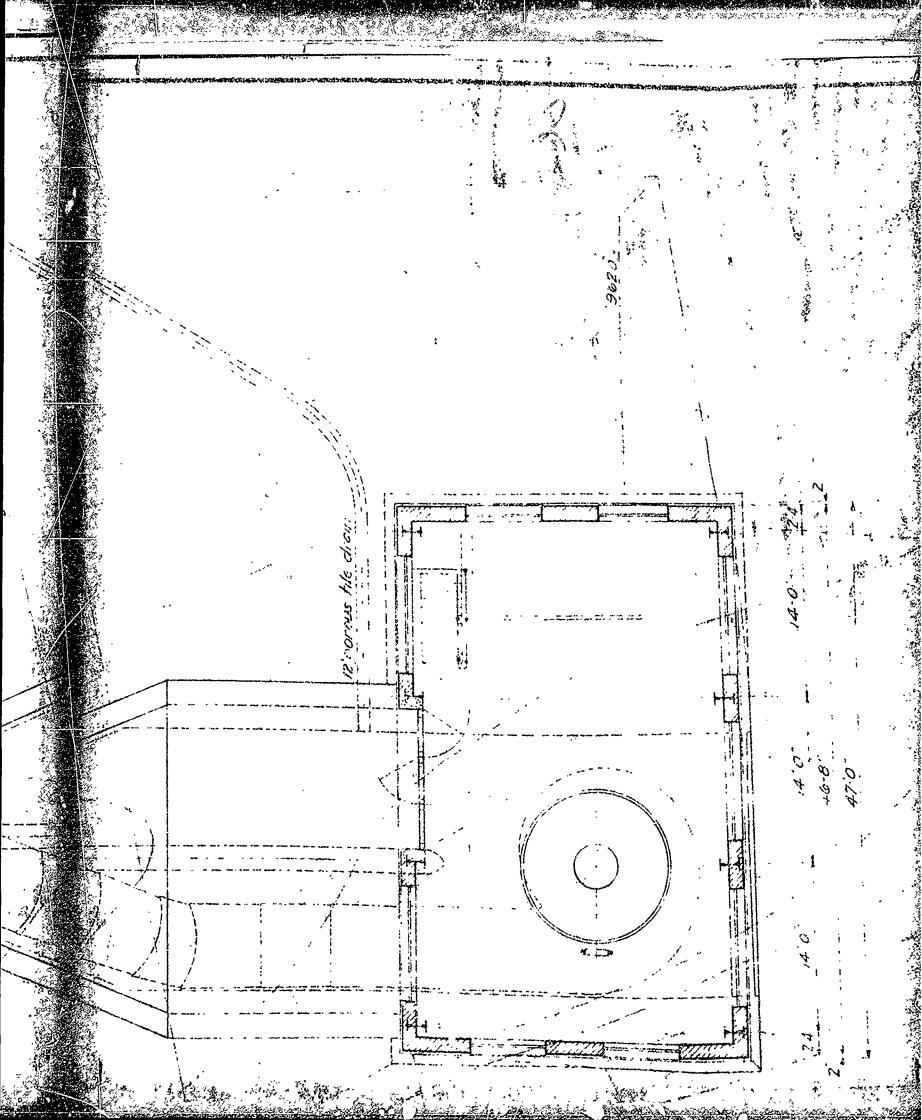




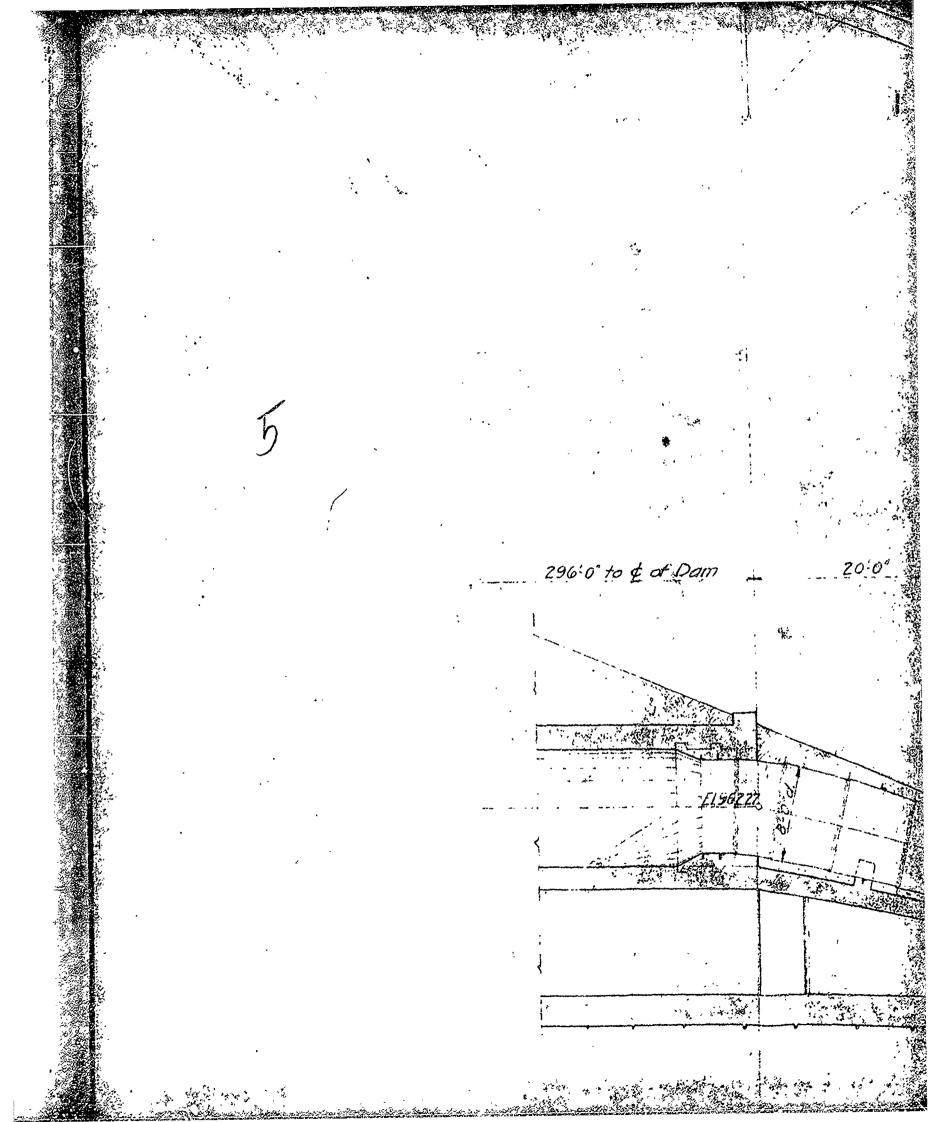


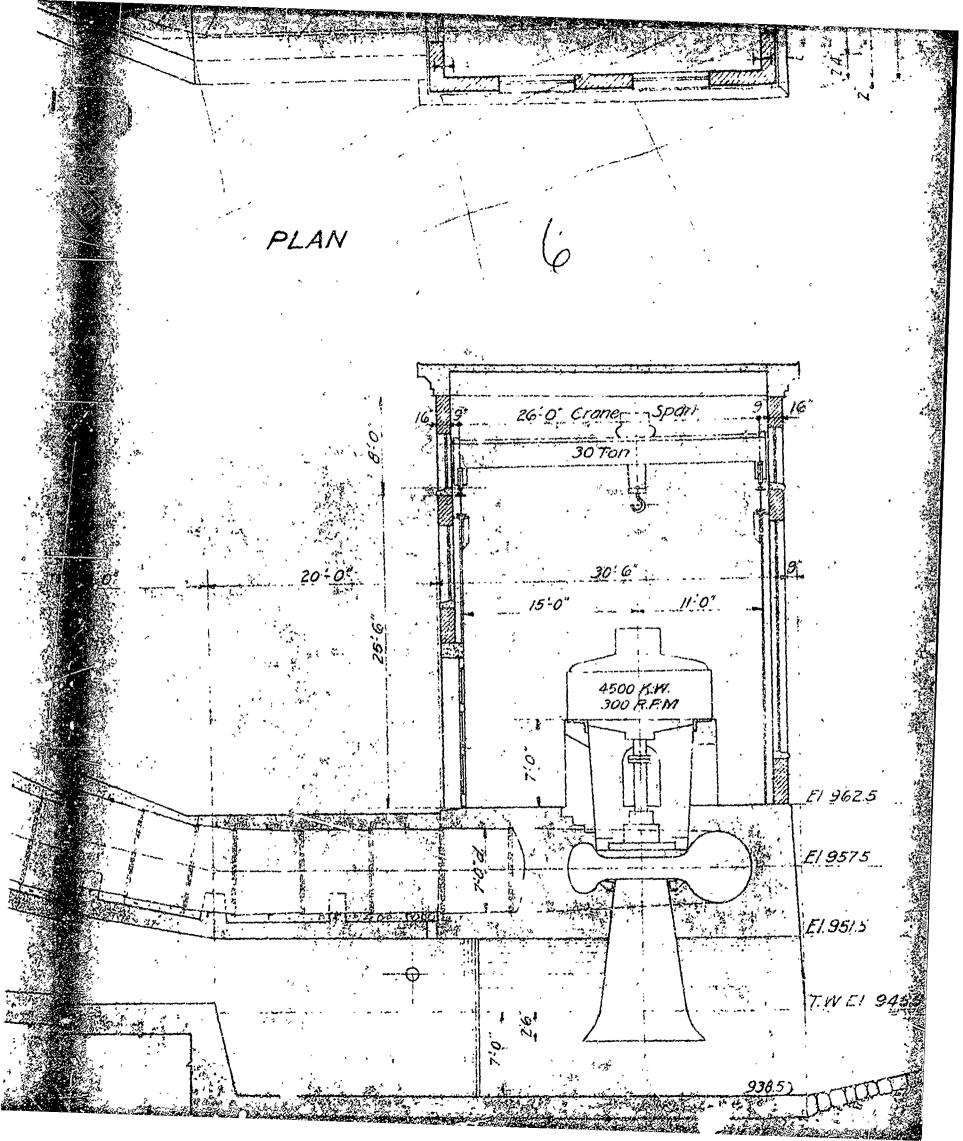
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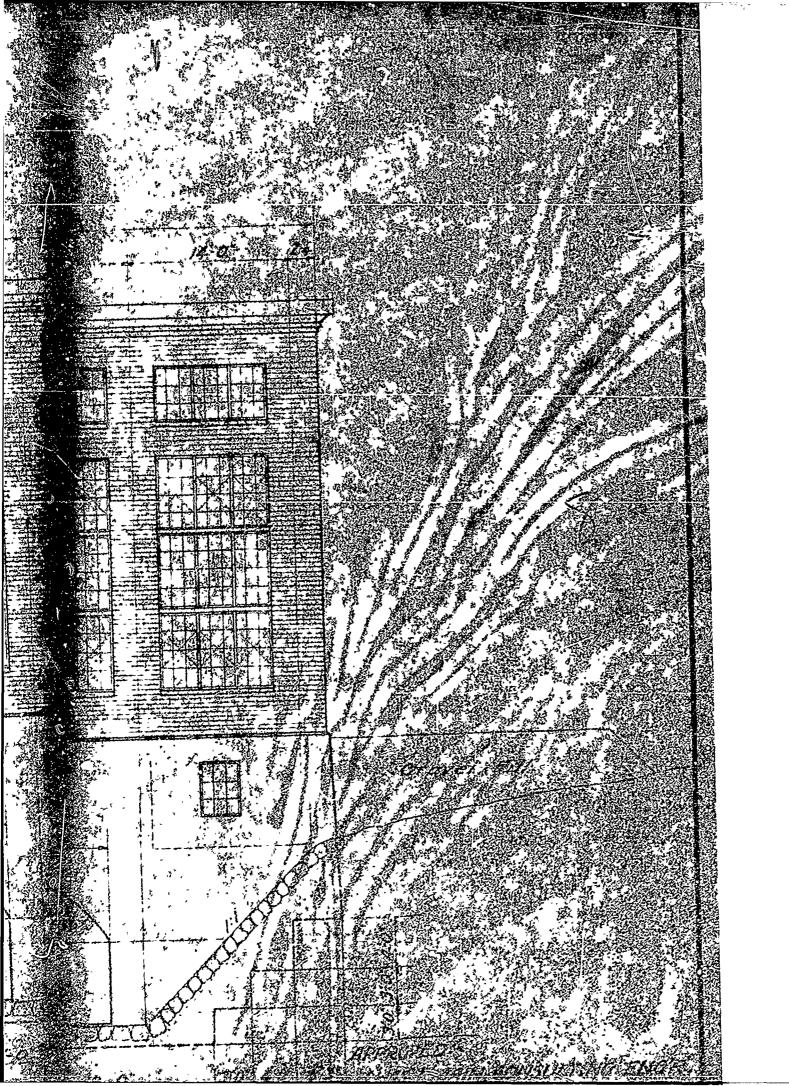
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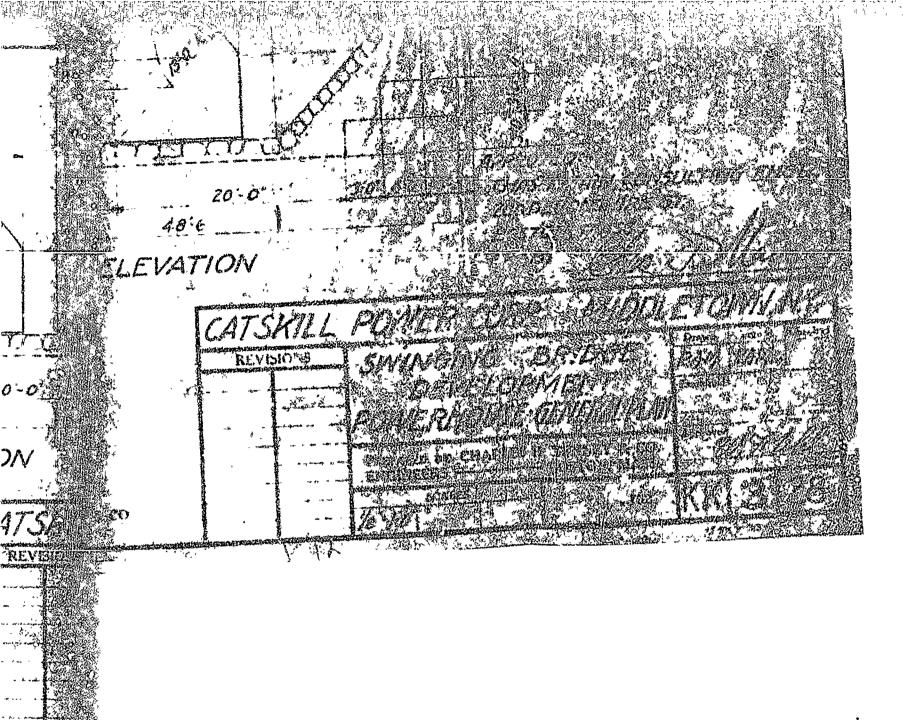
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